

**COMMISSION ON ACCREDITATION FOR CORRECTIONS  
STANDARDS COMPLIANCE REACCREDITATION AUDIT**

Arkansas Department of Correction  
North Central Unit (ARDOC)  
Calico Rock, Arkansas

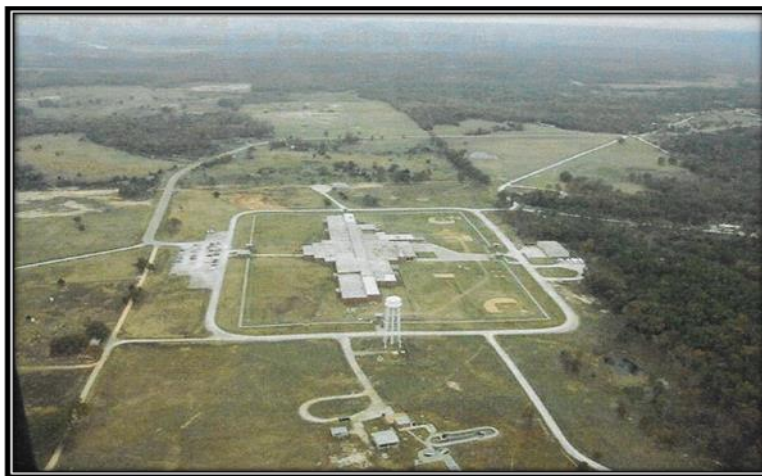
June 15 - 17, 2021

**VISITING COMMITTEE MEMBERS**

James H. Allen, Chairperson  
ACA Auditor

Maria Haynes  
ACA Auditor

William Gallaher  
ACA Auditor



**A. Introduction**

The re-accreditation audit of the ADC, North Central Unit, Calico Rock, Arkansas, was conducted on June 15-17, 2021, by the following team: James H. Allen, Chairperson/Health Care; William Gallaher, Member; and Maria Haynes, Member.

**B. Facility Demographics**

Rated Capacity: 800

Actual Population: 846

Average Daily Population for the last 12 months: 755

Average Length of Stay: 1 Year, 9 Months, 9 Days

Security/Custody Level: Maximum, Medium, Minimum

Age Range of Offenders: 18-82

Gender: Male

Full-Time Staff: 203

Administrative 20, Support 1, Program 5, Security 173, Other 4 plus 18 Medical Contract Staff (WellPath)

**C. Facility Description**



The North Central Unit is an eight hundred-bed medium/maximum custody facility that holds incarcerated adult male inmates. The facility is located in Izard County, approximately three and a half miles north of Calico Rock, Arkansas and employs over two hundred staff members. The Unit and grounds sit on over seven hundred acres of land with ten acres situated within the security perimeter. The facility opened on February 20, 1990 and provides post-conviction incarceration and rehabilitative services for males convicted of felony crimes.

The facility is approximately 125 miles from the Little Rock, Arkansas Airport. North Central Unit operates under the Arkansas Department of Correction (ADC) whose Central Office is located in Pine Bluff, Arkansas which is 75 miles from the facility. The facility opened in 1990 with an estimated designed capacity of 300. The facility has expanded since then with a current capacity of 800. NCU houses adult male felons, is a medium security facility housing a small number of maximum custody inmates and equal numbers of medium and minimum custody inmates.

All ADC inmates are placed in a class status that is commensurate with custody considerations and programmatic goals, establishing criteria for class promotion, reclassification, and/or restoration of good time. All inmates are placed in Class II when they arrive at ADC, and it is up to them to earn Class I status, and their class can be reduced if they have disciplinary problems.

It is the Mission of North Central Unit to “provide a safe, humane environment for staff and inmates, strengthen the work ethic through teaching of good habits and provide opportunities for inmates to improve spiritually, mentally, and physically. To create a model correctional system by carrying out the intentions of the Courts, Governor, Legislature, and the Director of the Department of Correction”.

The North Central Unit consists of 16 buildings on over 700 acres of land; ten acres are contained within the perimeter fence. Thirteen outside buildings include: pedestrian entrance building, outside maintenance shop/ICC mechanical garage, utility tool storage, armory/lock shop, horse barn, dog kennel, Waste Water Treatment Plant, Pump House, Sally-Port (vehicle/back entrance building), and four Towers.

A Vocational-Tech storage room, Vocational-Tech greenhouse, and main NCU building are located inside the secure perimeter fence. The main support building houses offices, support services, programs, and attached Housing Units all under one roof. The main corridor was designed to be able to lock-down in case of an emergency, with two security grills located at both the south and north ends of the hall. Inmates are required to walk single file on the right side of the hall, to the right of the painted yellow line.

#### North Central Unit Mission Statement

The mission of the Arkansas Department of Correction is to provide public safety by carrying out the mandate of the courts, provide a safe humane environment for staff and inmates, strengthen the work ethic through teaching of good habits, and provide opportunities for staff and inmates to improve spiritually, mentally, and physically.

#### **D. Pre-Audit Meeting**

The team met on June 14, 2021, in Mountain Home, Arkansas, to discuss the information provided by the Association staff and the officials from ADC, North Central Unit. A meet and greet dinner had been arranged so that the Audit Team and some of the Executive level staff could meet and discuss the conduct of the audit, and the arrival time at the facility on Tuesday morning.

The chairperson divided standards into the following groups:

Standards #4-4344 to 4-4530 to James H. Allen, Chairperson/Health Care

Standards #4-4001 to 4-4173 to William Gallaher, Member

Standards #4-4174 to 4-4343 to Maria Haynes, Member

## **E. The Audit Process**

### **1. Transportation**

The team was escorted to the facility each day of the audit by Shelly Lawrence, ADC Internal Auditor/Agency Accreditation Manager.

### **2. Entrance Interview**

The audit team proceeded to the office of Michelle Gray, Warden. The team expressed the appreciation of the Association for the opportunity to be involved with ADC, North Central Unit in the accreditation process. The process of the audit was discussed at this time.

Michelle Gray, Warden, escorted the team to the Visiting Room where the formal entry meeting was held.

The following persons were in attendance:

LaJetta McMahan, Human Resource Assistant/Scribe

Karissa Sights, Deputy Warden's Administrative Specialist  
II/Visitation/Scribe

Sergeant Jared Dover, Armory/Dog Kennel

Sergeant Chris Yancey, Transportation, Escort

Captain Chris Brandon, STG/A/C Shift Captain

Captain Bruce Sanders, Field Captain

Captain Kaleena Watson, Drug Testing/B/D Shift Captain

Darren Waddles, Classification Assistant, Scribe

Ronnie Riley, Director of Maintenance

Dale Reed, Deputy Director, Division of Correction

Doug Reeves, Unit Training Officer

Lt. Byron Brown, Agency Fire & Safety

Capt. Christopher Brandon, A/C Shift Commander

Sergeant Chris McCullough, Fire and Safety Officer, Escort

Mike Smith, Unit Human Resource Coordinator

Corporal Kevin Sherrill, Key Control, Escort

Corporal Aaron McDonald

Corporal William Walker

Mike Barger, Grievance Officer

Larmon Van Winkle, IT Officer

Andrew Schubert, Mental Health LCSW

Linda Williams, Unit Accreditation Specialist  
Captain Alex Bentley, Unit Food Service Supervisor  
Candice Seay, Warden's Administrative Assistant  
Lori Reeves, Rehabilitation Program Manager  
Marjorie Hall, Unit HSA  
Lt. Byron Brown, ADC Fire and Safety Supervisor  
Steven Ricketts, Deputy Warden  
Major Brandon Davis, Chief of Security  
Patrick McCown, Unit Chaplain  
John Moss, Unit Recreational Supervisor  
Shelly Lawrence, Internal Auditor/Agency Accreditation Manager

It was explained that the goal of the visiting team was to be as helpful and non-intrusive as possible during the conduct of the audit. The chairperson emphasized the goals of accreditation toward the efficiency and effectiveness of correctional systems throughout the United States. The audit schedule and the conduct of the audit was also discussed at this time.

### 3. Facility Tour

The team toured the entire facility from 8:30 am to 1:30 pm. The following persons accompanied the team on the tour and responded to the team's questions concerning facility operations:

Michelle Gray, Warden  
Lejetta McMahan, HR Assistant, Scribe  
Karissa Sights, Dep Wardens Admin Assist., Scribe  
Kaleena Watson, Captain, B/D Shift Captain  
Darren Waddles, Classification Assistant, Scribe  
Dale Reed, Deputy Director, Division of Corrections  
Chris McCullough, Sergeant, Fire & Safety Officer  
Kevin Sherrill, Corporal, Key Control  
Steven Ricketts, Deputy Warden  
Brandon Davis, Major, Chief of Security  
Shelly Lawrence, Internal Auditor/Agency Accreditation Mgr

Facility notices were posted throughout the facility.

### 4. Conditions of Confinement/Quality of Life

During the tour, the team evaluated the conditions of confinement at the facility. The following narrative description of the relevant programmatic services and functional areas summarizes the findings regarding the quality of life.

## Security

The Security Department at the facility is staff with: 1 Major, (Chief of Security), 3 Captains, 9 Lieutenants, 41 Sergeants, 114 Corporals (Correctional Officers).

A 3,340 foot linear fence surrounds the main buildings, which is a 12 foot high double fence with razor wire. The Exterior fence has 6 rolls of razor wire, and the Interior fence has 1 roll of razor wire at the top of the fence. Within the double fences are a Senstar sensor alarm system split within 10 Zones and 2 microwave systems under North and South Towers. In addition, there is One Sally-Port entrance, 4 Towers: North Tower, South Tower, East Tower, and West Tower, 2 Sally-Port Entrances, (1 Pedestrian and 1 Vehicle) 28 area pole lights and 56 area flood lights on Utility Poles with all on generator back up.

There is a total of 179 internal, and 19 external cameras located around the perimeter fence area. These cameras are either PTZ or stationary with a 45 day retention, and a 7 year retention for taped serious incidents. Silent Knight 5820XL Alarm System, Panic alarms are located throughout the facility in the Infirmary, School, Mailroom, Chapel, Commissary, Mental Health, Front Entrance, Administration, and many more, for a total of 31.



The facility has a K-9 Unit that is staffed with three Security Staff.

The mission of the North Central Unit Dog Kennel is to provide basic, intermediate, advanced, and maintenance training to handlers and tracking canines. The North Central Unit Chase Team is dedicated to producing effective canine teams that will be highly skilled with the competency necessary to perform their duties with professionalism and integrity in any routine or emergency situation. There are currently 6 Bloodhounds with 3 puppies, and one to give birth in a few days.

The dog kennel was established in 1994 and was the first kennel in the Arkansas Department of Corrections to use leashed dogs as trackers. The dog kennel is not only necessary in the event of an emergency but also serves as a deterrent on a daily basis as it assists local, state, and federal agencies in locating missing persons, recovering evidence, and with felony apprehensions. This helps to provide public safety as well as building and strengthening inter-agency relationships. At the time of the audit, a Canine Team was assisting the FBI in a tracking and apprehension, which resulted in a successful operation.

The dog kennel and chase team are committed to maintaining the highest of standards and assisting in carrying out the mission of the Arkansas Department of Corrections.

The armory is located in a separate building outside the perimeter fence, adjacent to the vehicle sally port and west tower. The armory building provides separate storage rooms for chemicals, ammunition, weapons, and the lock shop. The brick enclosed, double reinforced building is new construction within the audit cycle. It is surrounded by a locked fence and has inner and outer locking doors. There is a camera located on the outside of the armory where the officers unload weapons that also captures whomever enters the armory. There is also a camera located in the weapons room.

Access to the armory is strictly controlled and limited to the warden, deputy Warden, Major, Field Captain, Emergency Preparedness Coordinator, and the Armory Officer. There are entry and exit logs and logs for weapon and equipment checkout.

The armory inventory consists of 19 .223's, 8 37MM Gas Guns, 60 .40 caliber handguns, 22 12 Gauge Shotguns, and 2 Semimuniton 171 Glocks. There are also inventories of munitions for all weapons. In addition, there is O.C. spray. A check of inventories found them all to be accurate and up to date.

NCU maintains an Emergency Response Team (ERT), that consists of as few as 10 members, and a maximum of 15 members. All team members receive monthly specialized training. The ERT room stores ready bags with equipment, munitions and weapons, and larger cans of chemical gas.

The lock shop is located inside the armory building. A corporal is assigned to key Control. This Officer is responsible for the inventory, replacement, and when necessary, destruction of keys. Keys were stored in the lock shop, control center, east tower, west tower, and restricted housing. Blank keys were located in the lock shop. Non-restricted, restricted, and emergency keys are maintained and issued from the control center.

The red keys are emergency keys; a shift supervisor or above must give permission for these keys to be pulled. The yellow keys are the restricted keys; these keys are for administrative and other offices. The blue keys are the non-restricted keys; these keys access visitation, shakedown, and school. One hundred twenty-five sets were identified as KOP take home keys.

The North Central Unit grounds encompass' the Calico Rock-Izard County Airport which is located adjacent to the unit. This general aviation facility covers sixty acres and has a single asphalt runway that is three thousand feet long and sixty feet wide. Over two hundred aircraft use the facility on average each month and there are four single-engine aircraft based at the airport.

### **Special Management and Restrictive Housing**

Staffing for this area consists of 2 Corporals and 1 Sergeant on each shift.

Whenever an inmate is locked up in this area, Medical is notified, and medical staff goes to the RHU to prepare a medical assessment to determine if the inmate requires any medical attention. Medical staff go to the RHU daily to pass medications and make stops at all occupied cells to inquire if any inmates need medical attention.

A Self Guide program is offered.

### **Environmental Conditions**

The North Central Unit meets the requirements of the standards for Light Levels, Noise Levels, Temperature, and Air Circulation. All areas of the facility were free of any clutter, and the inmate living areas were being very well maintained.

The grounds were being very well maintained. The horticulture program is responsible for maintenance of the garden areas around and within the facility grounds. It was obvious that the inmates assigned to this program were being very well trained.

### **Sanitation**

During the initial tour and re-visits to many areas of the facility it was obvious that sanitation was important to both staff and inmates. The team members did not see any old dirt in any areas of the facility. It was obvious that the facility had a housekeeping plan, and that plan was being adhered to. There was no clutter in any of the areas visited. Closets with cleaning tools in the housing areas were being maintained in a clean and orderly condition.



It was obvious that sanitation inspections were being conducted as required, and any areas identified as not being up to par were addressed immediately, to bring them up to an appropriate level of cleanliness.

During the tour of the facility, the audit team observed inmates performing cleaning duties throughout the facility.

Inmates are trained in the proper use of all cleaning equipment.

Sanitation inspections are conducted daily and weekly by area correctional officers and monthly by the Fire & Safety Officer.

### **Fire Safety**

The Fire Safety Department is staffed with one Fire & Safety Officer.

Fire drills are conducted as required, and evacuations are completed in less than 4 minutes. Fire evacuation diagrams are located throughout the facility.

Daily fire inspections are conducted in all departments by the staff in each facility area. All staff are trained in how to identify what could be a fire safety hazard. The garage and maintenance areas are handling all fire hazard materials as required, to include oily rag canisters, and flammable and caustic materials cabinets.

The facility has the Silent Knight fire control panels. These panels were last inspected by Johnson Controls on October 28, 2020. There is a Sprinkler System which was last inspected by Johnson Controls on October 28, 2020. Smoke Detectors are located throughout the facility. There are 9 Stand Pipes which were last Flow Tested on June 14, 2021. The last annual fire inspection of the facility was conducted on June 11, 2021 by the State Fire Marshal. The last Ansul Hood Fire Suppression System was last inspected in April 2021 by Johnson Controls. The facility has 92 Fire Extinguishers which were all inspected on June 2, 2021 by the Fire & Safety Officer.

### **Food Service**



The inmate food staff is managed and assisted by four officers and one supervisor. The kitchen is divided into two shifts of approximately twenty-five inmates per shift.

All areas of the Food Service Department were being maintained in a clean and sanitary condition. All food preparation equipment was clean and free of any food residue. All food storage areas were being maintained at the appropriate temperature levels, and temperature logs were being maintained as required. All workers in the area were properly clothed for the job. The tour team was required to wear hair nets while in the food preparation area.

In addition, the officer's dining room provides a clean and comfortable area for unit staff and volunteers to enjoy a complete meal. Two inmates are assigned on each shift to deliver meals to staff members unable to leave their assigned post.



Tools and utensils were stored on shadow boards with proper controls for sign out/in of all tools. A check of the inventory control sheets found them to be accurate and up to date.

Special Diets available include: Medical, Pork Free, and Kosher.



The North Central Unit has an approximately ten-acre garden that produces a variety of vegetables and fruits. Inmates periodically harvest, tomatoes, okra, squash and watermelons, just to name a few. Plants are started in one of the two greenhouses on the property.

The garden yields on average ninety thousand pounds of produce yearly, which is served to the inmate population and staff.

The North Central Unit's food service staff is responsible for providing quality, nutritious meals for all inmates, including those with special diets, while maintaining a safe and secure working environment. Freshly baked goods are provided at each meal, and during agricultural growing seasons, the garden supplies the kitchen with fresh vegetables and fruits. The staff also provides special meals for the inmate population during holidays to boost morale.



There are two thousand apple trees on seven acres. These trees produce approximately ten thousand pounds of apples yearly. The apples are processed and fed to the inmate population. There are plans in place to remove the apple trees and return the land to pasture for the Horses.

#### Meal Schedule:

Breakfast 3:00am – 4:30am

Lunch 10:00am – 12:30pm

Dinner 3:00pm – 4:30pm.

Dining Room Capacity: 152 plus 4 handicap accessible seating for a total of 156.

The audit team sampled a meal on the second day of the audit. The meal was very well presented, of the appropriate temperature, and pleasant to the palate.

#### **Medical Care**

Medical Services are Contracted with WellPath. 24 hour medical coverage is offered seven days a week.

Health Department Staffing includes; 1 Physician, 1 AARNP, 2 RN's, 9 LPN's, and 2 Clerical Staff.

There are 3 Examination rooms, 2 at the clinic, and 1 in the Restrictive Housing Unit. Each area has Exam Tables, AED's, BP equipment, and all other necessary supplies and equipment. There is also an Emergency Area with Secured Supplies, Crash Cart, AED, and Emergency Medications (Narcan and Glucose Gel). Each area has Needle Cases and Red Bags for Bio-Hazard materials.

Emergency situations that cannot be handle at the clinic are sent to either IZard County Medical Center or Baxter Regional Medical Center. Levels of care at the clinic include ER Care, Secondary Care, and Specialty Care.

The North Central Unit has an eight-bed infirmary for inmates who would need to be isolated. For needed hospital services, due to its proximity to the Unit, is IZard County Medical Center located in Calico Rock, AR. For more acute or advanced medical care, inmates are transported to Baxter Regional Medical Center in Mountain Home, AR. There is hot and cold running water available, and the area is handicap accessible. At the time of the audit there were 6 inmates being housed in the infirmary.

The clinic has a medication room which is double locked, and the controlled substances are also under double lock in the medication room. Medications are procured from Diamond Pharmacy with a 24 hour turn-around time frame. Local pharmacy services are provided by the Park Street Pharmacy in Calico Rock or the Walgreens Pharmacy in Mountain Home. The Park Street Pharmacy is available Monday thru Friday, and the Walgreens Pharmacy is available 7 days a week. Turn-around time for these pharmacy's is 2 hours.

The last 2 pharmacy inspections were conducted by Diamond Pharmacy on April 17, 2021 and May 29, 2021 with no issues. The medical auditor observed the transfer of controlled medications and sharps form shift to shift, and found the procedure to be very professional, and all inventories were accurate and up to date.

Medications are dispensed from the pill call window, 4 times a day at 4:00 am to 6:30 am, 9:00 am to 11:30 am, 3:30 pm to 6:30 pm and KOP Medications are dispensed between 1:30 pm and 2:30 pm.

The clinic is converting over to electronic files, and at the time of the audit there were some paper files with the majority being electronic. All files were in appropriate order, with all of the required information being included.

Universal precautions are procured from McKesson, with no issues.

All examination and emergency rooms have needle cases and red bags for bio-hazard materials. There is a separate area for storage of Bio-Hazard materials with all of the necessary containers. Needle cases are replaced when full, and bio-hazard red bags area removed from exam and emergency rooms as needed.

Security is provided at the clinic by security staff 24/7.

The sick call process includes having inmates submitting a sick call request to the medical department. Sick call request must be obtained in the barracks from the correctional officer. They must fill out the request completely, stating the nature of their problem. Upon completion, they place the sick call or dental call request form in the sick call box located on the North and South Halls. Sick call requests are picked up daily and reviewed by the medical department healthcare personnel. Based on the nature of their sick call request, they will be scheduled within 72 hours to be seen in sick call or dental call, as appropriate. Their name will appear on the sick call lay in list provided to the officer assigned to the barracks.

Medical staff make rounds in the RHU daily for Medication dispensing, and they make stops at all occupied cells to determine if any inmates have any medical concerns.

The clinic has a Communicable Diseases/Infectious Control Coordinator who has received all of the required training.

If an inmate is diagnosed with a communicable or infectious disease, they would be sent to another facility that has Negative Pressure Cells until a determination is made about disposition.

Notifications are given to the ICN and the local Health Department. In addition, all necessary staff at the facility are informed of the situation.

All healthcare staff are CPR certified, and are required to re-certify every two years.

All newly arriving inmates to the facility are seen at reception by medical staff, and have a Health Screening Form prepared to determine if there are any healthcare concerns. All newly arriving inmates are given instruction on how access health care services (both English & Spanish). If an inmate is deemed to be illiterate, instructions on how to access health care services are given verbally. During the process, if it is determined that an inmate needs to see either dental or mental health sooner than normal process, an appointment is made for them to see either as soon as possible. In addition, the health care staff will screen inmates to determine if they would be vulnerable to sexual abuse/assault, or if they would be sexually abusive or assaultive.

Radiology services are provided by contract with Express Mobile weekly. Express Mobile reads all x-rays and are normally available the same day. Urgent results are called in. If an emergency x-ray is needed, the inmate is transported to either IZard County Medical Center or Baxter Regional Medical Center, with results being received either the same day or the next day.

Laboratory service are available at the clinic with all samples being processed by Lab Corp. Samples are picked up at the facility with results being made available within the day or within 24 hours. Some cultures could take up to three days.

The medical department has a large list of outside providers for services that are not available at the facility. Time from requesting appointment to being seen normally would be 60 to 90 days. Reports would be received with 7 days after appointment. For some services, the clinic has Tele-Medicine capabilities.

The clinic has a \$3.00 co-payment for services, and there is no co-payment for Chronic Care or Preventive Services.

The clinic participates in Disaster Drills annually, Fire drills are conducted 2 to 4 times a month, and Mock Code Drills are conducted quarterly. Critiques are written for all instances.

The clinic currently has 140 inmates on therapeutic diets. An inmate may choose not to go to diet line, but the clinic is not notified if an inmate is a no show for diet line.

The Quality Assurance Team meets monthly, and minutes of previous meeting are reviewed. Yearly Goals and Objectives are determined and progress is reviewed at these meetings.

There were no personnel issues at the time of the audit.

Medically related issues (6 deaths) are synopsisized at the end of the Medical portion of this report.

#### Dental

The Dental Clinic is staffed with 1 Dentist, 1 Dental Hygienist, and 1 Dental Assistant.

Dental services are provided Monday through Friday. A dentist is on duty 10 hours per day on Tuesday through Thursday and a dental hygienist is available 8 hours per day on Monday and Friday.

Preventive, Restorative, and Acute services are offered which include; Exams, Extractions, and Dentures (Partial and Full). If dental surgery is required, the inmate is sent to an outside provider (Jacksonville, Arkansas).

Sick call requests are seen within three days, unless the situation is emergent, and the inmate would be seen immediately.

If an inmate is having a procedure which would require more than one appointment, the wait time between appointments could be as long as three months. Inmates are given hygiene instructions for proper dental care at each appointment. Toothbrushes, Toothpaste, and Floss are available through the Commissary.

A check of the inventories of all sharps and tools found them to be accurate and up to date. Sterilization procedures are being performed as required.

#### Mental Health Services

The Mental Health Department is staffed with: 1 Psychiatrist, 1 Psychologist, and 1 Licensed Clinical Social Worker.

Mental Health counselors are available Monday through Friday 8 hours a day, with services available through on call duty during off hours. There is a psychiatrist available via video conference 8 hours one day per week. In order to obtain an appointment with Mental Health staff, inmates must complete a "Request for Interview" form and forward it to the Mental Health Department.

Services being offered include; Crisis Intervention, Groups, Dual Diagnosis, Multi-Disciplinary Teams, Individual Treatment Teams, Restrictive Housing Reviews, De Plans, Transfer Planning, Rounds in RH, Psych Referrals, and Psychological Services, all three times each week.

If there is a Mental Health emergency, staff will notify Mental Health, and if it is an emergent situation, the inmate would be seen immediately, and if it is after hours, the on call mental health staff would be notified, and if deemed necessary the on call mental health staff would come to the facility, if it is non-emergent, the inmate would be kept under close observation until he can be seen by mental health staff. When the inmate would be seen, would be determined by the situation. Non-Emergent situations would be seen in person on the next duty day for evaluation.

If an inmate is having suicidal ideations, he would be placed under close observation in the Restrictive Housing cells provided, which have camera observation as well as one on one close observation (15 minute checks). Inmates in this situation are seen by mental health staff daily. Observation is provided by security staff.

Orders are provided by the Psychologist or Psychological provider.

Inmate is provided with a mattress, shroud, or paper gown, depending on the situation. Inmate would be provided with a normal diet, served on styro-foam with a disposable eating tool.

If the inmate needs to be transferred to a facility that can treat the inmate, he is transferred to Washtenaw Unit which has a treatment unit for male inmates.

Inmates in this situation are seen initially by an MD, and then every three months as needed.

Mental Health staff make rounds in the RHU three times a week, and visit each occupied cell. The rounds are conducted by MH Advisers or the LCSW.

Restraints are used as deemed necessary, and the facility does have a restraint policy.

### **ACA Synopsis 17, 18, 19 Death Occurrences**

**6/26/2017** 64 yo. Male with Acute Mental status changes, Acute Hypoxic Respiratory failure, probable right side pneumonia, with possible aspiration. S/P 05/26/2017 CT of the head with evidence of old stroke with no swelling or bleeding. 04/05/2017 Pt. housed on the ward for worsening dementia. 05/26/2017 sent to ER for Left sided weakness and difficulty swallowing after CVA. 05/30/17 transferred to ORCU hospital. 06/03/2017 sent to BHMC-LR. Incident occurred at the hospital.

**07/14/2017** 65 yo Male Aspiration pneumonia, Severe dysphagia, Abnormal electrolytes, COPD, HX Throat Cancer Incident occurred off-site @ BHMC-LR

**07/16/20** 76 yo Male Severe Dementia-- Stroke-like symptoms 06/28/2017 C/T of the head reveals chronic ischemic changes. Repeat symptoms of Stroke-like symptoms. 07/13/2017 CT of the head ordered. CPR initiated by medical staff. Incident occurred off site @ IZARD County Medical Center

**11/15/2017** 57 yo Male Multiple enhancing tumors- wide spread intracranial metastatic disease. Extensive metastatic osseous disease several areas, Liver. LLL and modest left upper nodule mass or pneumonia Returned from oncology appointment weak and lethargic. Terminal with DNR. Incident occurred on site, infirmary ward.

**09/13/2018** 39 yo Male. Suicide by hanging. CPR initiated, ambulance arrive and took over CPR. Transported to IZARD County Medical Center. Incident occurred Off-site. Patient had recently lost his 9 yo daughter to ocular cancer. Wife asked for divorce in letter. Actively seeing mental health

**04/29/2019** New intake 04/03/2019. 57 yo Male. Spitting up blood. C/O constipation and ankle pain. With ear complaints. walk-in with weakness and difficulty breathing. Sent to ER to evaluate and treat. Patient prognosis grim. Admitted with Lung CA with mets to the liver. Placed on comfort care, family at bedside. Incident occurred off site @ BRMC.



## **Recreation**

Recreation programs that include leisure time activities and outdoor exercise are available to all inmates. These activities include basketball, volleyball, foosball, ping-pong, softball, and horseshoes as well as board games and arts and crafts. A comprehensive recreation program enhances the inmate's quality of life. Inmates are allowed at least an hour of exercise daily according to a published recreational schedule which includes both outdoor and indoor exercise. There is also an exercise group offered five days a week for those inmates aged fifty-five and older.

In addition to the recreational activities offered at the unit on a daily basis, the recreation department also offers four different intramural sports leagues throughout the year. These leagues include volleyball, basketball, softball, and handball.

Teams are organized according to housing unit. Each team is coached, and inmates referee the games. This is a great way to promote healthy competition and team spirit.

Inside gym activities and outside recreation available to all inmates. Inside Activities include; Basketball, Volleyball, Hand Ball, Shuffleboard, Ping Pong, Foosball, Bean Bag Toss, and Walking/Jogging. Outside Activities include; Softball, Basketball, Flag Football, Soccer, Walking/Jogging, Horseshoes and Push up Bars. Work craft program consist of 50 inmates in art craft, and 30 inmates in wood and leather craft. Special events during holidays include, dominoes contest, checkers, free throw, etc.

## **Religious Programming**

The religious department is staffed with one full-time chaplain.

Chaplain Staff are available 24 hours per day, 7 days per week.

The chapel/multi-purpose room serves as a multi-faith, multi-denominational meeting room. Worship services are provided multiple times weekly for the majority Christian population, but it is also used for Jumah Prayer each Friday. The room is also used for weddings and as a classroom when needed. State of the art sound and audio/visual equipment allows for an excellent learning environment.

Catholic, Islamic, and Protestant services are conducted on a regular basis. Chaplains visit barracks on a weekly basis. The Chaplains also minister to the inmate's families in their time of need.

The PAL Program (Principles and Applications for Life) purpose is to attempt to create better inmate morale, reduce disciplinary actions and prepare the inmate to be a productive citizen. Three revival services are conducted each year to encourage inmates to look to spiritual areas of their lives for strength and the power to change.

### **Offender Work Programs**



Eighteen staff members are assigned to the North Central Unit's field department. The Unit has two regional maintenance crews of thirty-two inmates each, that work an average of 110,000 man-hours yearly to assist throughout the local community.

Regional maintenance normally works on public lands only, which includes schools, parks, and fairgrounds. Crews work at local public schools through the summer months to prepare the grounds for the upcoming school year. Regional maintenance workers also help maintain local county roads by cleaning up debris and clearing encroaching vegetation.

The North Central Unit is located near the Ozark National Forest and began assisting the United States Forest Service with biking and hiking trail maintenance when budget cuts threatened the Epic status currently enjoyed by the Syllamo Bike Trail. In response, regional maintenance crews have created and now maintain over eighty miles of biking and hiking trails that are enjoyed by locals and tourists alike.

Under emergency conditions and with authorization from the governor, maintenance crews are able to assist local residents with damage resulting from storms, floods, tornadoes, or other natural disasters.



The extensive pastureland of the North Central Unit is home to a herd of approximately one hundred and fifteen horses. Each year, approximately thirty foals are born to the brood mare herd. Staff and inmates raise and train these foals which are sent to other units as trained three-year-olds ready to work in whatever capacity is needed. In this way, the horse operation serves not only the needs of the North Central Unit but also those of all correctional facilities across the state of Arkansas.

Seventeen working horses are used each day on the North Central Unit's regional maintenance, farm, and utility crews.

Each year, utility crews put up approximately three thousand square bales of hay off the farm to help feed the horses through the winter months.

Other offender work programs include, Food Service, Porters, Clerks, Laundry, Maintenance, Field Utility, Dog Kennel, Recreation, Commissary, Grounds, Barber, Horse Barn, Community Projects and Greenhouse Workers.

### **Academic and Vocational Education**

There are five teachers and one administrator employed by the North Central Unit School. The average number of students in attendance each month is two hundred and forty men. Each year an average of forty students achieve their diploma through GED testing.

The Arkansas Correctional School System is a legislatively created school in Arkansas whose teachers must be licensed by the state of Arkansas. The ACS System ranks high nationally for correctional education. Students attend class at all academic levels and develop computer skills during their enrollment. The Aztec Computer program, a nationally recognized program for preparation leading to a high school equivalency test, is used to prepare students to pass the General Educational Development (GED) test.

The North Central Unit in conjunction with the Riverside Vocational Technical School is providing inmates the opportunity to obtain an entry level certification in horticulture. The program consists of eight hours of classroom training and twenty-four hours of hands-on training per week. This program offers inmates an opportunity to make lifestyle changes, by gaining marketable skills in form of a vocational certificate or a degree.

## **Social Services**

The North Central Unit provides inmates access to the “Re-Entry” program. This is a voluntary program for inmates who are within one hundred and twenty days of their parole eligibility date. The sixteen-week program covers a wide range of topics related to the transition from living in prison to returning back to the community. A diverse group of volunteers instruct for approximately three hours a day, five days a week. The goal is to provide up-to-date and useful information that is real-life focused and timely for the participants.

Other programs offered through the Mental Health department include:

**Anger Management:** This program educates inmates on skills and coping techniques to better control and manage their anger, in order to build self-improvement and help reduce the recidivism rate.

**Stress Management:** Educates inmates on skills and coping techniques to better control and manage their stress, in order to build self-improvement and help reduce the recidivism rate.

**Communications Skills:** Educates inmates proper and effective communications skills to improve their ability to communicate more effectively, to build self-improvement and help reduce the recidivism rate.

**Domestic Violence:** Educates inmates on skills and coping techniques to decrease domestic violence, in order to build self-improvement and help reduce the recidivism rate.

**Thinking Errors:** Educates inmates on skills and techniques process problem solving, how to avoid critical thinking errors, in order to build self-improvement and help reduce the recidivism rate.

**Substance Abuse Education:** Educates inmates on skills and coping techniques to decrease substance abuse, educate them about addictive thinking and high risk situations, in order to build self-improvement and help reduce the recidivism rate.

**Parenting:** Educates inmates who currently have children and provide basic skills to help improve their parenting skills, break the cycle of family incarceration, in order to build self-improvement and help reduce the recidivism rate.

**Transgender Education:** Educates inmates on transgender individual and gender identity, in order to build self-improvement and help reduce the recidivism rate.

## **Visitation**

Inmates at Class 1-A, 1-B and 1-C visit every weekend, last names beginning with A-L visit on Saturday, last names beginning with M-Z Visit on Sunday from 12:00 pm to 4:00 pm.

Inmates at II, III, and IV visit every other weekend, last names beginning with A-L visit on the 1<sup>st</sup> and 3<sup>rd</sup> Saturday, last names beginning with M-Z visit on the 2<sup>nd</sup> and 4<sup>th</sup> Saturday from 12:00 pm to 4:00 pm.

Non-Contact visits are scheduled weekdays from 8:00 am to 12:00 pm and 12:00 pm to 4:00 pm by appointment only.

Inmates assigned to punitive isolation may receive one visit during a calendar month, if he has not received any new disciplinary while on punitive status and has complied with all rules while in isolation. These 2 hour visits are non-contact and scheduled during the week by appointment only and are approved by the Deputy Warden or Warden.

The Department of Corrections and the North Central Unit understands the importance of family and encourages families to visit. The staff at the North Central Unit makes every effort to accommodate visitors, there is a children's area where a television and DVD player are available. Inmates are allowed to take photographs with their loved ones and a vending area is provided.

Inmates are allowed to visit between the hours 12 to 4 pm every Saturday and Sunday. Inmates are allowed up to four visitors, all visitors must submit to a background check prior to being allowed to visit.

Areas are provided for confidential visits.

## **Library Services**

The library serves as a legal resource center and provider of fiction and non-fiction books. Inmates are provided daily access to a diverse assortment of over fifty magazines and 7,488 books contained within the library. The majority of the books are donated from libraries and civic groups.

In addition, the law library contains a large selection of legal manuals, guides, State Annotated Code, and the West Law legal database. The law library is available upon request. Inmates serve as librarians for both the library and law library.

The RHU and Punitive confinement areas can access the Library, both general and law through requests being sent to the main library.

An inter-library loan program is in effect, and inmates can make requests to the library for books that are not available in the library.

### **Laundry**

Three security staff members are assigned to the laundry, with approximately fifty inmate workers.

The North Central Unit laundry, launders inmate clothing seven days a week, three hundred sixty five days a year. There is an Inmate “Picket man” assigned to each barracks who is designated to gather and inventory all soiled clothing. The clothing is collected, transported to the laundry area, washed, and returned to the barracks where it is distributed by the “Picket man”. The laundry department is also responsible for the ordering and issuance of all inmate clothing, footwear, linens, undergarments, towels, washcloths, and hygiene items. Inmate records are kept to document and account for all issued items.

## **F. Examination of Records**

Following the facility tour, the team proceeded to the Conference Room to review the accreditation files and evaluate compliance levels of the policies and procedures.

The facility has zero notices of non-compliance with local, state, or federal laws or regulations.

### 1. Litigation

Over the last three years, the facility had no consent decrees, class action lawsuits or adverse judgments.

### 2. Significant Incidents/Outcome Measures

Based on the professional judgment and experience of the audit team, and what they personally observed at this facility, the team felt that what was indicated in the Significant Summary and the Outcome Measures was what would be expected of a facility with this facility’s Security and Custody Level.

### 3. Departmental Visits

Team members revisited the following departments to review conditions relating to departmental policy and operations:

<u>Department Visited</u>	<u>Person(s) Contacted</u>
Administrative	Michelle Gray, Warden Dareen R. Waddles, Admin Spec I Steven L. Ricketts, Deputy Warden
Security	Bruce D. Sanders, Captain Kaleena R. Watson, Shift Building Captain Brandon A. Davis, Major, Chief of Security Ethan W. Porter, Shift Sergeant Chester R. Pool, Shift Lieutenant Katherine H. Dennis, Corporal Terry L. Fulfer, Corporal Joe D. Vincent, Corporal Lincoln N. Fowler, Corporal Kaleb M. Droz, Corporal Robert M. Cross, Sgt, Fusion Ctr Sgt
Parole	Stanley R. Robinson, Institutional Parole Officer
Fire Safety	Christopher R. McCullough, FSO
Information Tech	Larmon D. Vanwinkle, Computer Support Tech
Food Service	Christopher A. Brandon, Captain Alex F. Bentley, Captain, Preparation Manoswager Tillman Treat, Food Prep Supervisor Steven P. Kaczmar, Food Prep Supvr
Medical	Margarie Hall, HAS Bernard Williams, WellPath Regional Manager Sandra Lake, APRN Crystal Boyce, LPN Danielle Duncan, LPN Deborah Jordan, LPN Kayla Boswel, CAN Nathan West, Director of Nursing Lawrence Davis, Dentist Lena Jones, LPN Lisa Murray, RN Minnie Turner, Health Records Clerk Esther Mendez, C/C, Corporal
Recreation	John S. Moss, Recreation Activities Supvr
Religious Programming	Patrick C. McCown, Chaplain
Academic/Vocational ED	Michael M. Stewart, Principal Aaron M. Browning, Horticulture
Library (Gen/Law)	Jearl M. Barger, Library Supervisor
Laundry	Russell J. Hicks, Sgt, Laundry Supervisor
Commissary	Leanne M. Miles, Manager
Maintenance	Keith A. Perry, Corporal (Outside Maint) Ronald L. Riley, Maint Supervisor
ICC Garage	Matthew S. Adams, Sergeant, ICC Garage Supvr
Armory/Lock Shop	Kevin D. Sherill, Corporal

Control Center	Christopher R. McCullough, Sergeant Jarod W. Dover, Sergeant Stephanie E. Crouch, Corporal John L C Smith, Corporal
Housing	John A. Barnett, Corporal, Unit #8 Christopher C. Mariott, Corporal, Unit #8 Christopher A. Brandon, Captains Lloyd W. Camp, Corporal, RHU Robert M. Morrow, Corporal, RHU
Horse Barn	Cody E. Douglas, Corporal Bruce D. Sanders, Captain (Field Captain)
Waste Water Facility	Ronald R. Riley, Director of Maintenance
Kennel	Christopher S. Yancey, Sergeant Jarod W. Dover, Sergeant

4. Shifts

The North Central Unit operates on two twelve-hour shifts, 6:30 am to 6:30 pm and 6:30 pm to 6:30 am. In addition, there is an Administrative shift which operates Monday thru Friday from 8:00 am to 4:30 pm.

a. Day Shift

The team was present at the facility during the day shift from 8:00 am to 6:30 pm. During this time frame the audit team along with facility staff toured the facility, and after touring the facility, the audit team returned to the conference room to work on the standards. During the tour of the facility, the audit team members had the opportunity to speak with and interview both staff and inmates. The Medical auditor visited the medical department to observe the validation of control medications sharps and tools between the two shifts.

b. Evening Shift

The team was present at the facility during the evening shift from 6:30 pm to 7:30 pm. During this time frame, the audit team visited the shift change muster, interviewed several officers coming on duty, and the Chairperson addressed the shift staff, and thanked them for what they do each and every day, and for the efforts that they expended in getting the facility ready for the audit.

5. Status of Previously Non-compliant Standards/Plans of Action

The team reviewed the status of standards previously found non-compliant, for which a waiver was not granted, and found the following:



Standard #4-4062 is now in compliance.  
Standard #4-4134 is now in compliance.

## **G. Interviews**

During the course of the audit, team members met with both staff and offenders to verify observations and/or to clarify questions concerning facility operations.

### **1. Offender Interviews**

During the course of the audit, the audit team members had the opportunity to interview 53 inmates. The general consensus was that NCU was a good facility to do your time. For the most part, all inmates stated that security staff were very professional in the way that they treated them, and that they were always available if there were any concerns. There were a few comments about the food that were not complimentary, but all comments about medical care were good.

### **2. Staff Interviews**

During the course of the audit, the audit team had the opportunity to interview 59 staff members. All of the line staff that the audit team interviewed were very knowledgeable of their duties and responsibilities, and knew exactly what to do in an emergency situation. The line staff stated that they felt that their supervisors and the executive level staff were always available if they had any concerns. The supervisory and executive level staff always had an open door policy to address any concerns that their line staff may have.

## **H. Exit Discussion**

The exit interview was held at 10:00 am in the visiting room with the Warden, Michelle Gray and 38 staff in attendance.

The following persons were also in attendance:

Division of Corrections Director Dexter Payne  
Division of Corrections Chief Deputy Director Dale Reed  
Division of Corrections Assistant Director Richard Cooper  
Division of Corrections Public Information Officer Cindy Murphy  
Warden Moses Jackson III, Grimes Unit  
Agency Accreditation Manager Shelly Lawrence  
Agency Fire and Safety Lieutenant Byron Brown  
Well Path Regional Manager Bernard Williams  
Well Path PPO Kim Rosenthal  
Rehab Program Manager Lori Reeves

The chairperson explained the procedures that would follow the audit. The team discussed the compliance levels of the mandatory and non-mandatory standards and reviewed their individual findings with the group.

The chairperson expressed appreciation for the cooperation of everyone concerned and congratulated the facility team for the progress made and encouraged them to continue to strive toward even further professionalism within the correctional field.

AMERICAN CORRECTIONAL ASSOCIATION  
AND THE  
COMMISSION ON ACCREDITATION FOR CORRECTIONS

**COMPLIANCE TALLY**

<b>Manual Type</b>	Adult Correctional Institutions 4 <sup>th</sup> Edition	
<b>Supplement</b>	2016 Standards Supplement	
<b>Facility/Program</b>	ADC, North Central Unit, Calico Rock, Arkansas	
<b>Audit Dates</b>	June 15-17, 2021	
<b>Auditor(s)</b>	James H. Allen, Chairperson, Maria Haynes, Member, and William Gallaher, Member	
	<b>MANDATORY</b>	<b>NON-MANDATORY</b>
Number of Standards in Manual	62	465
Number Not Applicable	4	44
Number Applicable	58	421
Number Non-Compliance	0	0
Number in Compliance	58	421
Percentage (%) of Compliance	100%	100%
<ul style="list-style-type: none"> <li>● Number of Standards <i>minus</i> Number of Not Applicable <i>equals</i> Number Applicable</li> <li>● Number Applicable <i>minus</i> Number Non-Compliance <i>equals</i> Number Compliance</li> <li>● Number Compliance <i>divided by</i> Number Applicable <i>equals</i> Percentage of Compliance</li> </ul>		

COMMISSION ON ACCREDITATION FOR CORRECTIONS

Arkansas Department of Correction  
North Central Unit (ARDOC)  
Calico Rock, Arkansas

June 15 - 17, 2021

Visiting Committee Findings

Mandatory Standards

Not Applicable

**Standard #4-4353**

IF FEMALE OFFENDERS ARE HOUSED, ACCESS TO PREGNANCY MANAGEMENT IS SPECIFIC AS IT RELATES TO THE FOLLOWING:

- PREGNANCY TESTING
- ROUTINE PRENATAL CARE
- HIGH-RISK PRENATAL CARE
- MANAGEMENT OF THE CHEMICALLY ADDICTED PREGNANT INMATE
- POSTPARTUM FOLLOW-UP
- UNLESS MANDATED BY STATE LAW, BIRTH CERTIFICATES/REGISTRY DOES NOT LIST A CORRECTIONAL FACILITY AS THE PLACE OF BIRTH

FINDINGS

The ADC North Central Unit does not house female inmates.

**Standard #4-4365**

A COMPREHENSIVE HEALTH APPRAISAL FOR EACH OFFENDER, EXCLUDING INTRASYSTEM TRANSFERS, IS COMPLETED AS DEFINED BELOW AFTER ARRIVAL AT THE FACILITY. IF THERE IS DOCUMENTED EVIDENCE OF A HEALTH APPRAISAL WITHIN THE PREVIOUS NINETY DAYS, A NEW HEALTH APPRAISAL IS NOT REQUIRED, EXCEPT AS DETERMINED BY THE DESIGNATED HEALTH AUTHORITY. HEALTH APPRAISAL INCLUDES THE FOLLOWING:

WITHIN FOURTEEN DAYS AFTER ARRIVAL AT THE FACILITY:

- REVIEW OF THE EARLIER RECEIVING SCREEN
- COLLECTION OF ADDITIONAL DATA TO COMPLETE THE MEDICAL, DENTAL, MENTAL HEALTH, AND IMMUNIZATION HISTORIES
- LABORATORY OR DIAGNOSTIC TESTS TO DETECT COMMUNICABLE DISEASE, INCLUDING VENEREAL DISEASE AND TUBERCULOSIS
- RECORD OF HEIGHT, WEIGHT, PULSE, BLOOD PRESSURE, AND TEMPERATURE
- OTHER TESTS AND EXAMINATIONS AS APPROPRIATE

WITHIN FOURTEEN DAYS AFTER ARRIVAL FOR INMATES WITH IDENTIFIED SIGNIFICANT HEALTH CARE PROBLEMS:

- MEDICAL EXAMINATION, INCLUDING REVIEW OF MENTAL AND DENTAL STATUS (FOR THOSE INMATES WITH SIGNIFICANT HEALTH PROBLEMS DISCOVERED ON EARLIER SCREENING SUCH AS CARDIAC PROBLEMS, DIABETES, COMMUNICABLE DISEASES, AND SO FORTH)
- REVIEW OF THE RESULTS OF THE MEDICAL EXAMINATION, TESTS, AND IDENTIFICATION OF PROBLEMS BY A HEALTH CARE PRACTITIONER OR OTHER QUALIFIED HEALTH CARE PROFESSIONAL, IF SUCH IS AUTHORIZED IN THE MEDICAL PRACTICE ACT
- INITIATION OF THERAPY, WHEN APPROPRIATE
- DEVELOPMENT AND IMPLEMENTATION OF TREATMENT PLAN, INCLUDING RECOMMENDATIONS CONCERNING HOUSING, JOB ASSIGNMENT, AND PROGRAM PARTICIPATION

WITHIN 30 DAYS AFTER ARRIVAL FOR INMATES WITHOUT SIGNIFICANT HEALTH CARE PROBLEMS:

- MEDICAL EXAMINATION, INCLUDING REVIEW OF MENTAL AND DENTAL STATUS (FOR THOSE INMATES WITHOUT SIGNIFICANT HEALTH CARE CONCERNS IDENTIFIED DURING EARLIER SCREENING-NO IDENTIFIED ACUTE OR CHRONIC DISEASE, NO IDENTIFIED COMMUNICABLE DISEASES, AND SO FORTH)
- REVIEW OF THE RESULTS OF THE MEDICAL EXAMINATION, TESTS, AND IDENTIFICATION OF PROBLEMS BY A HEALTH CARE PRACTITIONER OR OTHER QUALIFIED HEALTH CARE PROFESSIONAL, IF SUCH IS AUTHORIZED IN THE MEDICAL PRACTICE ACT
- INITIATION OF THERAPY, WHEN APPROPRIATE

- DEVELOPMENT AND IMPLEMENTATION OF A TREATMENT PLAN, INCLUDING RECOMMENDATIONS CONCERNING HOUSING, JOB ASSIGNMENT, AND PROGRAM PARTICIPATION

INTERPRETATION JANUARY 2004. THE CRITERION FOR TESTING FOR VENEREAL DISEASES IS AT THE DISCRETION OF THE AGENCY'S/FACILITY'S HEALTH AUTHORITY.

FINDINGS:

The ADC North Central Unit only receives intra-system transfers.

**Standard #4-4371**

ALL INTERSYSTEM OFFENDER TRANSFERS WILL UNDERGO A MENTAL HEALTH APPRAISAL BY A QUALIFIED MENTAL HEALTH PROFESSIONAL WITHIN FOURTEEN DAYS OF ADMISSION TO A FACILITY. IF THERE IS DOCUMENTED EVIDENCE OF A MENTAL HEALTH APPRAISAL WITHIN THE PREVIOUS NINETY DAYS, A NEW MENTAL HEALTH APPRAISAL IS NOT REQUIRED, EXCEPT AS DETERMINED BY THE DESIGNATED MENTAL HEALTH AUTHORITY. MENTAL HEALTH APPRAISALS INCLUDE, BUT ARE NOT LIMITED TO:

- REVIEW OF AVAILABLE HISTORICAL RECORDS OF INPATIENT AND OUTPATIENT PSYCHIATRIC TREATMENT
- REVIEW OF HISTORY OF TREATMENT WITH PSYCHOTROPIC MEDICATION
- REVIEW OF HISTORY OF PSYCHOTHERAPY, PSYCHO-EDUCATIONAL GROUPS AND CLASSES OR SUPPORT GROUPS
- REVIEW OF HISTORY OF DRUG AND ALCOHOL TREATMENT
- REVIEW OF EDUCATION HISTORY
- REVIEW OF HISTORY OF SEXUAL ABUSE-VICTIMIZATION AND PREDATORY BEHAVIOR
- ASSESSMENT OF CURRENT MENTAL STATUS AND CONDITION
- ASSESSMENT OF CURRENT SUICIDAL POTENTIAL AND PERSON-SPECIFIC CIRCUMSTANCES THAT INCREASE SUICIDE POTENTIAL
- ASSESSMENT OF VIOLENCE POTENTIAL AND PERSON-SPECIFIC CIRCUMSTANCES THAT INCREASE VIOLENCE POTENTIAL
- ASSESSMENT OF DRUG AND ALCOHOL ABUSE AND/OR ADDICTION
- USE OF ADDITIONAL ASSESSMENT TOOLS, AS INDICATED
- REFERRAL TO TREATMENT, AS INDICATED
- DEVELOPMENT AND IMPLEMENTATION OF A TREATMENT PLAN, INCLUDING RECOMMENDATIONS CONCERNING HOUSING, JOB ASSIGNMENT, AND PROGRAM PARTICIPATION

FINDINGS:

The ADC North Central Unit only receives intra-system transfers.

**Standard #4-4402**

WRITTEN AGENCY POLICY PERMITS INMATE PARTICIPATION IN MEDICAL OR PHARMACEUTICAL RESEARCH. FACILITIES ELECTING TO PERFORM SUCH BIOMEDICAL RESEARCH WILL BE IN COMPLIANCE WITH ALL STATE AND FEDERAL GUIDELINES.

FINDINGS:

The ADC North Central Unit does not participate in voluntary or involuntary Medical experimental practices.

COMMISSION ON ACCREDITATION FOR CORRECTIONS

Arkansas Department of Correction  
North Central Unit (ARDOC)  
Calico Rock, Arkansas

June 15 - 17, 2021

Visiting Committee Findings

Non-Mandatory Standards

Not Applicable

**Standard #4-4059**

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT EMPLOYEES COVERED BY MERIT SYSTEMS, CIVIL SERVICE REGULATIONS, OR UNION CONTRACT ARE APPOINTED INITIALLY FOR A PROBATIONARY TERM OF AT LEAST SIX MONTHS BUT NO LONGER THAN ONE YEAR.

FINDINGS

ADC employees are not covered by merit systems, Civil Service Regulations, or Union Contracts.

**Standard #4-4133**

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT SINGLE-OCCUPANCY CELLS/ROOMS SHALL BE AVAILABLE, WHEN INDICATED, FOR THE FOLLOWING:

- INMATES WITH SEVERE MEDICAL DISABILITIES
- INMATES SUFFERING FROM SERIOUS MENTAL ILLNESS
- SEXUAL PREDATORS
- INMATES LIKELY TO BE EXPLOITED OR VICTIMIZED BY OTHERS
- INMATES WHO HAVE OTHER SPECIAL NEEDS FOR SINGLE HOUSING

WHEN CONFINEMENT EXCEEDS 10-HOURS A DAY, THERE IS AT LEAST 80-SQUARE FEET OF TOTAL FLOOR SPACE, OF WHICH 35-SQUARE FEET IS UNENCUMBERED SPACE.



## FINDINGS

NCU does not house inmates as indicated in this standard.

### **Standard #4-4137-1**

(NEW CONSTRUCTION AFTER JUNE 2014). INMATES HAVE ACCESS TO TOILETS AND HAND-WASHING FACILITIES 24-HOURS PER DAY AND ARE ABLE TO USE TOILET FACILITIES WITHOUT STAFF ASSISTANCE WHEN THEY ARE CONFINED IN THEIR CELLS/SLEEPING AREAS. TOILETS ARE PROVIDED AT A MINIMUM RATIO OF 1 FOR EVERY 12 INMATES IN MALE FACILITIES AND 1 FOR EVERY 8 INMATES IN FEMALE FACILITIES. URINALS MAY BE SUBSTITUTED FOR UP TO ONE-HALF OF THE TOILETS IN MALE FACILITIES. ALL HOUSING UNITS WITH 3 OR MORE INMATES HAVE A MINIMUM OF 2 TOILETS. THESE RATIOS APPLY UNLESS ANY APPLICABLE BUILDING OR HEALTH CODES REQUIRE ADDITIONAL FIXTURES.

#### FINDINGS:

ADC North Central Unit opened in 1990. There was no new construction of housing units after June 2014.

### **Standard #4-4138-1**

(NEW CONSTRUCTION AFTER JUNE 2014). INMATES HAVE ACCESS TO OPERABLE WASHBASINS WITH HOT AND COLD RUNNING WATER IN THE HOUSING UNITS AT A MINIMUM RATIO OF 1 BASIN FOR EVERY 12 OCCUPANTS. THESE RATIOS APPLY UNLESS ANY APPLICABLE BUILDING OR HEALTH CODES REQUIRE ADDITIONAL FIXTURES.

#### FINDINGS:

ADC North Central Unit opened in 1990. There was no new construction of housing units after June 2014.

### **Standard #4-4139-1**

(NEW CONSTRUCTION AFTER JUNE 2014). INMATES HAVE ACCESS TO OPERABLE SHOWERS WITH TEMPERATURE-CONTROLLED HOT AND COLD RUNNING WATER, AT A MINIMUM RATIO OF ONE SHOWER FOR EVERY TWELVE INMATES, UNLESS APPLICABLE CODES REQUIRE ADDITIONAL FIXTURES. WATER FOR SHOWERS IS THERMOSTATICALLY CONTROLLED TO TEMPERATURES RANGING FROM 100 DEGREES FAHRENHEIT TO 120 DEGREES FAHRENHEIT TO ENSURE THE SAFETY OF INMATES AND PROMOTE HYGIENIC PRACTICES.

FINDINGS:

ADC North Central Unit opened in 1990. There was no new construction of housing units after June 2014.

**Standard #4-4147-2**

(NEW CONSTRUCTION OR RENOVATION AFTER JUNE 1, 2014). EACH DORMITORY PROVIDES INMATES WITH ACCESS TO NATURAL LIGHT BY MEANS OF AT LEAST 12 SQUARE FEET, PLUS TWO ADDITIONAL SQUARE FEET OF TRANSPARENT GLAZING PER INMATE IN THE DORMITORY.

FINDINGS:

ADC North Central Unit opened in 1990. There was no new construction or renovation of dormitories after June 1, 2014.

**Standard #4-4181**

WRITTEN POLICY, PROCEDURE, AND PRACTICE REQUIRE THAT WHEN BOTH MALES AND FEMALES ARE HOUSED IN THE FACILITY, AT LEAST ONE MALE AND ONE FEMALE STAFF MEMBER ARE ON DUTY AT ALL TIMES.

FINDINGS:

ADC North Central Unit does not house female inmates.

**Standard #4-4190-1**

WRITTEN POLICY, PROCEDURE AND PRACTICE, IN GENERAL, PROHIBIT THE USE OF RESTRAINTS ON FEMALE OFFENDERS DURING ACTIVE LABOR AND THE DELIVERY OF A CHILD. ANY DEVIATION FROM THE PROHIBITION REQUIRES APPROVAL BY, AND GUIDANCE ON, METHODOLOGY FROM THE MEDICAL AUTHORITY AND IS BASED ON DOCUMENTED SERIOUS SECURITY RISKS. THE MEDICAL AUTHORITY PROVIDES GUIDANCE ON THE USE OF RESTRAINTS ON PREGNANT OFFENDERS PRIOR TO ACTIVE LABOR AND DELIVERY.

FINDINGS:

ADC North Central Unit does not house female inmates.

**Standard #4-4251**

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT AN INMATE IS ADMITTED TO THE SEGREGATION UNIT FOR PROTECTIVE CUSTODY ONLY WHEN THERE IS DOCUMENTATION THAT PROTECTIVE CUSTODY IS WARRANTED AND NO REASONABLE ALTERNATIVES ARE AVAILABLE.

FINDINGS

NCU does not house protective custody inmates.

**Standard #4-4278**

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT MALE AND FEMALE INMATES HOUSED IN THE SAME INSTITUTION HAVE SEPARATE SLEEPING QUARTERS BUT EQUAL ACCESS TO ALL AVAILABLE SERVICES AND PROGRAMS. NEITHER SEX IS DENIED OPPORTUNITIES SOLELY ON THE BASIS OF THEIR SMALLER NUMBER IN THE POPULATION.

FINDINGS:

ADC North Central Unit does not house female inmates.

**Standard #4-4285**

WRITTEN POLICIES AND PROCEDURES GOVERN THE ADMISSION OF INMATES NEW TO THE SYSTEM. THESE PROCEDURES INCLUDE AT A MINIMUM THE FOLLOWING:

- DETERMINATION THAT THE INMATE IS LEGALLY COMMITTED TO THE INSTITUTION
- THOROUGH SEARCHING OF THE INDIVIDUAL AND POSSESSIONS
- DISPOSING OF PERSONAL PROPERTY
- SHOWER AND HAIR CARE, IF NECESSARY
- ISSUE OF CLEAN, LAUNDERED CLOTHING AS NEEDED
- PHOTOGRAPHING AND FINGERPRINTING, INCLUDING NOTATION OF IDENTIFYING MARKS OR OTHER UNUSUAL PHYSICAL CHARACTERISTICS
- MEDICAL, DENTAL, AND MENTAL HEALTH SCREENING
- ASSIGNING TO HOUSING UNIT
- RECORDING BASIC PERSONAL DATA AND INFORMATION TO BE USED FOR MAIL AND VISITING LIST
- EXPLAINING MAIL AND VISITING PROCEDURES
- ASSISTING INMATES IN NOTIFYING THEIR NEXT OF KIN AND FAMILIES OF ADMISSION
- ASSIGNING OF REGISTERED NUMBER TO THE INMATE

- GIVING WRITTEN ORIENTATION MATERIALS TO THE INMATE
- DOCUMENTING ANY RECEPTION AND ORIENTATION PROCEDURE COMPLETED AT A CENTRAL RECEPTION FACILITY

FINDINGS:

ADC North Central Unit is not an intake facility.

**Standard #4-4286**

WRITTEN POLICY, PROCEDURE, AND PRACTICE REQUIRE THE PREPARATION OF A SUMMARY ADMISSION REPORT FOR ALL NEW ADMISSIONS. THE REPORT INCLUDES AT A MINIMUM THE FOLLOWING INFORMATION:

- LEGAL ASPECTS OF THE CASE
- SUMMARY OF CRIMINAL HISTORY, IF ANY
- SOCIAL HISTORY
- MEDICAL, DENTAL, AND MENTAL HEALTH HISTORY
- OCCUPATIONAL EXPERIENCE AND INTERESTS
- EDUCATIONAL STATUS AND INTERESTS
- VOCATIONAL PROGRAMMING
- RECREATIONAL PREFERENCE AND NEEDS ASSESSMENT
- PSYCHOLOGICAL EVALUATION
- STAFF RECOMMENDATIONS
- PRE-INSTITUTIONAL ASSESSMENT INFORMATION

FINDINGS:

ADC North Central Unit is not an intake facility.

**Standard #4-4287**

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE FOR A RECEPTION PROGRAM FOR NEW INMATES UPON ADMISSION TO THE CORRECTIONAL SYSTEM. EXCEPT IN UNUSUAL CIRCUMSTANCES, INITIAL RECEPTION AND ORIENTATION OF INMATES IS COMPLETED WITHIN 30 CALENDAR DAYS AFTER ADMISSION.

FINDINGS:

ADC North Central Unit is not an intake facility.

**Standard #4-4288**

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT NEW INMATES RECEIVE WRITTEN ORIENTATION MATERIALS AND/OR TRANSLATIONS IN THEIR OWN LANGUAGE. THESE MATERIALS MAY ALSO BE PROVIDED ELECTRONICALLY, BUT INMATES IN SEGREGATION MUST BE PROVIDED THE INFORMATION IN A WRITTEN FORMAT SO THAT THEIR ACCESS TO THE INFORMATION IS NOT IMPEDED BY THEIR CUSTODY STATUS. WHEN A LITERACY PROBLEM EXISTS, A STAFF MEMBER ASSISTS THE INMATE IN UNDERSTANDING THE MATERIAL. COMPLETION OF ORIENTATION IS DOCUMENTED BY A STATEMENT SIGNED AND DATED BY THE INMATE.

**FINDINGS:**

ADC North Central Unit is not an intake facility.

**Standard #4-4307**

IF YOUTHFUL OFFENDERS ARE HOUSED IN THE FACILITY, WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT THEY ARE HOUSED IN A SPECIALIZED UNIT FOR YOUTHFUL OFFENDERS EXCEPT WHEN:

- A VIOLENT, PREDATORY YOUTHFUL OFFENDER POSES AN UNDUE RISK OF HARM TO OTHERS WITHIN THE SPECIALIZED UNIT; AND/OR
- A QUALIFIED MEDICAL OR MENTAL-HEALTH SPECIALIST DOCUMENTS THAT THE YOUTHFUL OFFENDER WOULD BENEFIT FROM PLACEMENT OUTSIDE THE UNIT

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE FOR THE PREPARATION OF A WRITTEN STATEMENT OF THE SPECIFIC REASONS FOR HOUSING A YOUTHFUL OFFENDER OUTSIDE THE SPECIALIZED UNIT AND A CASE-MANAGEMENT PLAN SPECIFYING WHAT BEHAVIORS NEED TO BE MODIFIED AND HOW THE YOUTHFUL OFFENDER MAY RETURN TO THE UNIT. THE STATEMENT OF REASONS AND CASE-MANAGEMENT PLAN MUST BE APPROVED BY THE WARDEN OR HIS OR HER DESIGNEE. CASES ARE REVIEWED AT LEAST QUARTERLY BY THE CASE MANAGER, THE WARDEN OR HIS OR HER DESIGNEE, AND THE YOUTHFUL OFFENDER TO DETERMINE WHETHER A YOUTHFUL OFFENDER SHOULD BE RETURNED TO THE SPECIALIZED UNIT.

**FINDINGS:**

Arkansas North Central Unit does not house Youthful Offenders.

**Standard #4-4308**

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE FOR THE DIRECT SUPERVISION OF YOUTHFUL OFFENDERS HOUSED IN THE SPECIALIZED UNIT TO ENSURE SAFETY AND SECURITY.

FINDINGS:

Arkansas North Central Unit does not house Youthful Offenders.

**Standard #4-4309**

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE FOR CLASSIFICATION PLANS FOR YOUTHFUL OFFENDERS THAT DETERMINE LEVEL OF RISK AND PROGRAM NEEDS DEVELOPMENTALLY APPROPRIATE FOR ADOLESCENTS. CLASSIFICATION PLANS SHALL INCLUDE CONSIDERATION OF PHYSICAL, MENTAL, SOCIAL, AND EDUCATIONAL MATURITY OF THE YOUTHFUL OFFENDER.

FINDINGS:

Arkansas North Central Unit does not house Youthful Offenders.

**Standard #4-4310**

WRITTEN POLICY, PROCEDURE, AND PRACTICE REQUIRE THAT ADEQUATE PROGRAM SPACE BE PROVIDED TO MEET THE PHYSICAL, SOCIAL, AND EMOTIONAL NEEDS OF YOUTHFUL OFFENDER AND ALLOWS FOR THEIR PERSONAL INTERACTIONS AND GROUP-ORIENTED ACTIVITIES.

FINDINGS:

Arkansas North Central Unit does not house Youthful Offenders.

**Standard #4-4311**

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT YOUTHFUL OFFENDERS IN THE SPECIALIZED UNIT FOR YOUTHFUL OFFENDERS HAVE NO MORE THAN INCIDENTAL SIGHT OR SOUND CONTACT WITH ADULT OFFENDERS FROM OUTSIDE THE UNIT IN LIVING, PROGRAM, DINING, OR OTHER COMMON AREAS OF THE FACILITY. ANY OTHER SIGHT OR SOUND CONTACT IS MINIMIZED, BRIEF, AND IN CONFORMANCE WITH APPLICABLE LEGAL REQUIREMENTS.

FINDINGS:

Arkansas North Central Unit does not house Youthful Offenders.

**Standard #4-4312**

WRITTEN POLICY, PROCEDURE, AND PRACTICE REQUIRE THAT PROGRAM PERSONNEL WHO WORK WITH YOUTHFUL OFFENDERS FROM THE SPECIALIZED UNIT BE TRAINED IN THE DEVELOPMENTAL, SAFETY, AND OTHER SPECIFIC NEEDS OF YOUTHFUL OFFENDERS. WRITTEN JOB DESCRIPTIONS AND QUALIFICATIONS REQUIRE TRAINING FOR STAFF SPECIFICALLY ASSIGNED TO THE UNIT OR STAFF THAT IS RESPONSIBLE FOR PROGRAMMING OF YOUTHFUL OFFENDERS IN THE SPECIALIZED UNIT BEFORE BEING ASSIGNED TO WORK WITH YOUTHFUL OFFENDERS. THE TRAINING SHOULD INCLUDE BUT NOT BE LIMITED TO THE FOLLOWING AREAS:

- ADOLESCENT DEVELOPMENT
- EDUCATIONAL PROGRAMMING
- CULTURAL AWARENESS
- CRISIS PREVENTION AND INTERVENTION
- LEGAL ISSUES
- HOUSING AND PHYSICAL PLANT
- POLICIES AND PROCEDURES
- THE MANAGEMENT OF, AND PROGRAMMING FOR, SEX OFFENDERS
- SUBSTANCE-ABUSE SERVICES
- COGNITIVE-BEHAVIORAL INTERVENTIONS, INCLUDING ANGER MANAGEMENT, SOCIAL-SKILLS TRAINING, PROBLEM SOLVING, AND RESISTING PEER PRESSURE
- SUICIDE PREVENTION
- NUTRITION
- MENTAL-HEALTH ISSUES
- GENDER-SPECIFIC ISSUES
- CASE-MANAGEMENT PLANNING AND IMPLEMENTATION

FINDINGS:

Arkansas North Central Unit does not house Youthful Offenders.

**Standard #4-4353-1**

WHERE NURSING INFANTS ARE ALLOWED TO REMAIN WITH THEIR MOTHERS, PROVISIONS ARE MADE FOR A NURSERY, STAFFED BY QUALIFIED PERSONS, WHERE THE INFANTS ARE PLACED WHEN THEY ARE NOT IN THE CARE OF THEIR MOTHERS.

FINDINGS:

ADC North Central Unit does not house female inmates.

**Standard #4-4363-1**

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE FOR EARLY IDENTIFICATION AND TREATMENT OF OFFENDERS WITH ALCOHOL AND DRUG ABUSE PROBLEMS THROUGH A STANDARDIZED BATTERY ASSESSMENT. THE BATTERY SHALL BE DOCUMENTED AND INCLUDE AT A MINIMUM THE FOLLOWING:

- SCREENING AND SORTING
- CLINICAL ASSESSMENT AND REASSESSMENT
- MEDICAL ASSESSMENT FOR APPROPRIATE DRUG AND ALCOHOL PROGRAM ASSIGNMENT TO THE NEEDS OF THE INDIVIDUAL INMATES
- REFERRAL

FINDINGS:

Alcohol and drug abuse assessment is completed at the intake facility. ADC North Central Unit only provides self-study programs.

**Standard #4-4364**

ALL IN-TRANSIT OFFENDERS RECEIVE A HEALTH SCREENING BY HEALTH-TRAINED OR QUALIFIED HEALTH CARE PERSONNEL ON ENTRY INTO THE AGENCY SYSTEM. FINDINGS ARE RECORDED ON A SCREENING FORM THAT WILL ACCOMPANY THE OFFENDER TO ALL SUBSEQUENT FACILITIES UNTIL THE OFFENDER REACHES HIS OR HER FINAL DESTINATION. HEALTH SCREENS WILL BE REVIEWED AT EACH FACILITY BY HEALTH-TRAINED OR QUALIFIED HEALTH CARE PERSONNEL. PROCEDURES WILL BE IN PLACE FOR CONTINUITY OF CARE.

FINDINGS:

ADC North Central Unit does not receive or house in-transit inmates.

**Standard #4-4372**

OFFENDERS REFERRED FOR MENTAL HEALTH TREATMENT WILL RECEIVE A COMPREHENSIVE EVALUATION BY A QUALIFIED MENTAL HEALTH PRACTITIONER. THE EVALUATION IS TO BE COMPLETED WITHIN FOURTEEN DAYS OF THE REFERRAL REQUEST DATE AND INCLUDE AT LEAST THE FOLLOWING:



- REVIEW OF MENTAL HEALTH SCREENING AND APPRAISAL DATA
- DIRECT OBSERVATIONS OF BEHAVIOR
- COLLECTION AND REVIEW OF ADDITIONAL DATA FROM INDIVIDUAL DIAGNOSTIC INTERVIEWS AND TESTS ASSESSING PERSONALITY, INTELLECT, AND COPING ABILITIES
- COMPILATION OF THE INDIVIDUAL'S MENTAL HEALTH HISTORY
- DEVELOPMENT OF AN OVERALL TREATMENT/MANAGEMENT PLAN WITH APPROPRIATE REFERRAL TO INCLUDE TRANSFER TO MENTAL HEALTH FACILITY FOR OFFENDERS WHOSE PSYCHIATRIC NEEDS EXCEED THE TREATMENT CAPABILITY OF THE FACILITY

#### FINDINGS

NCU does not have a mental health treatment unit.

#### **Standard #4-4377**

OFFENDERS HAVE ACCESS TO SUBSTANCE DISORDER INFORMATION, EDUCATION, AND/OR TREATMENT PROGRAMS FOR SUBSTANCE USE DISORDERS. WHEN A SUBSTANCE USE DISORDER TREATMENT PROGRAM EXISTS, THE CLINICAL MANAGEMENT OF PROGRAM PARTICIPANTS INCLUDES, AT A MINIMUM, THE FOLLOWING:

- A STANDARDIZED NEEDS ASSESSMENT ADMINISTERED TO DETERMINE THE LEVEL OF SUBSTANCE USE TREATMENT NEEDS AND CRIMINOGENIC RISKS/NEEDS.
- AN INDIVIDUALIZED TREATMENT PLAN DEVELOPED AND IMPLEMENTED BY A CLINICIAN OR MULTIDISCIPLINARY TEAM WITH APPROPRIATE TRAINING, AND CERTIFICATION OR LICENSURE (WHERE REQUIRED BY STATUTE), IN SUBSTANCE USE DISORDERS TREATMENT.
- PRE-RELEASE EDUCATION RELATED TO THE RISK OF RETURN TO SUBSTANCE USE.
- PROGRAM PARTICIPANT INVOLVEMENT IN AFTERCARE DISCHARGE PLANS.

#### FINDINGS

NCU does not have Substance abuse treatment program.

**Standard #4-4383**

WHEN INSTITUTIONS DO NOT HAVE QUALIFIED HEALTH CARE STAFF, HEALTH-TRAINED PERSONNEL COORDINATE THE HEALTH DELIVERY SERVICES IN THE INSTITUTION UNDER THE JOINT SUPERVISION OF THE RESPONSIBLE HEALTH AUTHORITY AND WARDEN OR SUPERINTENDENT.

FINDINGS:

ADC North Central Unit has qualified health care staff on-site 24 hours a day, seven days a week.

**Standard #4-4391**

IF VOLUNTEERS ARE USED IN THE DELIVERY OF HEALTH CARE, THERE IS A DOCUMENTED SYSTEM FOR SELECTION, TRAINING, STAFF SUPERVISION, FACILITY ORIENTATION, AND DEFINITION OF TASKS, RESPONSIBILITIES AND AUTHORITY THAT IS APPROVED BY THE HEALTH AUTHORITY. VOLUNTEERS MAY ONLY PERFORM DUTIES CONSISTENT WITH THEIR CREDENTIALS AND TRAINING. VOLUNTEERS AGREE IN WRITING TO ABIDE BY ALL FACILITY POLICIES, INCLUDING THOSE RELATING TO THE SECURITY AND CONFIDENTIALITY OF INFORMATION.

FINDINGS:

ADC North Central Unit does not allow for the use of volunteers in the delivery of health care services.

**Standard #4-4392**

ANY STUDENTS, INTERNS, OR RESIDENTS DELIVERING HEALTH CARE IN THE FACILITY, AS PART OF A FORMAL TRAINING PROGRAM, WORK UNDER STAFF SUPERVISION, COMMENSURATE WITH THEIR LEVEL OF TRAINING. THERE IS A WRITTEN AGREEMENT BETWEEN FACILITY AND TRAINING OR EDUCATIONAL FACILITY THAT COVERS SCOPE OF WORK, LENGTH OF AGREEMENT, AND ANY LEGAL OR LIABILITY ISSUES. STUDENTS OR INTERNS AGREE IN WRITING TO ABIDE BY ALL FACILITY POLICIES, INCLUDING THOSE RELATING TO THE SECURITY AND CONFIDENTIALITY OF INFORMATION.

FINDINGS:

ADC North Central Unit does not utilize students, interns, or residents in the delivery of health care services.

**Standard #4-4393**

UNLESS PROHIBITED BY STATE LAW, OFFENDERS (UNDER STAFF SUPERVISION) MAY PERFORM FAMILIAL DUTIES COMMENSURATE WITH THEIR LEVEL OF TRAINING. THESE DUTIES MAY INCLUDE:

- PEER SUPPORT AND EDUCATION
- HOSPICE ACTIVITIES
- ASSIST IMPAIRED OFFENDERS ON A ONE-ON-ONE BASIS WITH ACTIVITIES OF DAILY LIVING
- SUICIDE COMPANION OR BUDDY IF QUALIFIED AND TRAINED THROUGH A FORMAL PROGRAM THAT IS PART OF SUICIDE PREVENTION PLAN
- HANDLING DENTAL INSTRUMENTS FOR THE PURPOSE OF SANITIZING AND CLEANING, WHEN DIRECTLY SUPERVISED AND IN COMPLIANCE WITH APPLICABLE TOOL CONTROL POLICIES, WHILE IN A DENTAL ASSISTANTS TRAINING PROGRAM CERTIFIED BY THE STATE DEPARTMENT OF EDUCATION OR OTHER COMPARABLE APPROPRIATE AUTHORITY

OFFENDERS ARE NOT TO BE USED FOR THE FOLLOWING DUTIES:

- PERFORMING DIRECT PATIENT CARE SERVICES
- SCHEDULING HEALTH CARE APPOINTMENTS
- DETERMINING ACCESS OF OTHER OFFENDERS TO HEALTH CARE SERVICES
- HANDLING OR HAVING ACCESS TO SURGICAL INSTRUMENTS, SYRINGES, NEEDLES, MEDICATIONS, OR HEALTH RECORDS
- OPERATING DIAGNOSTIC OR THERAPEUTIC EQUIPMENT EXCEPT UNDER DIRECT SUPERVISION (BY SPECIALLY TRAINED STAFF) IN A VOCATIONAL TRAINING PROGRAM

FINDINGS:

ADC North Central Unit does not use inmates to perform familial duties.

**Standard #4-4436**

WRITTEN POLICY, PROCEDURE, AND PRACTICE REQUIRE THAT COMPREHENSIVE COUNSELING AND ASSISTANCE ARE PROVIDED TO PREGNANT INMATES IN KEEPING WITH THEIR EXPRESSED DESIRES IN PLANNING FOR THEIR UNBORN CHILDREN.

FINDINGS:

ADC North Central Unit does not house female inmates.

**Standard #4-4437**

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE FOR SUBSTANCE ABUSE PROGRAMS, TO INCLUDE MONITORING AND DRUG TESTING, FOR INMATES WITH DRUG AND ALCOHOL ADDICTION PROBLEMS.

FINDINGS

NCU does not have a substance abuse treatment program.

**Standard #4-4438**

WHERE A SUBSTANCE USE DISORDER TREATMENT PROGRAM EXISTS, WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT THE PROGRAM HAS A WRITTEN TREATMENT PHILOSOPHY WITHIN THE CONTEXT OF THE TOTAL CORRECTIONS SYSTEM, AS WELL AS GOALS AND MEASURABLE OBJECTIVES. THESE DOCUMENTS ARE REVIEWED AT LEAST ANNUALLY AND UPDATED, AS NEEDED.

FINDINGS:

ADC North Central Unit does not have a therapeutic community.

**Standard #4-4439**

WHERE A SUBSTANCE USE DISORDER TREATMENT PROGRAM EXISTS, WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE FOR AN APPROPRIATE RANGE OF PRIMARY TREATMENT SERVICES FOR ALCOHOL AND OTHER DRUG ABUSING INMATES THAT INCLUDE, AT A MINIMUM, THE FOLLOWING:

- INMATE DIAGNOSIS.
- IDENTIFIED PROBLEM AREAS.
- INDIVIDUAL TREATMENT OBJECTIVES.
- TREATMENT GOALS.
- COUNSELING NEEDS.
- DRUG EDUCATION PLAN.
- RELAPSE PREVENTION AND MANAGEMENT.
- CULTURALLY SENSITIVE TREATMENT OBJECTIVES, AS APPROPRIATE.
- THE PROVISION OF SELF-HELP GROUPS AS AN ADJUNCT TO TREATMENT.
- PRERELEASE AND TRANSITIONAL SERVICE NEEDS.
- COORDINATION EFFORTS WITHIN COMMUNITY SUPERVISION AND TREATMENT STAFF DURING THE PRERELEASE PHASE TO ENSURE A CONTINUUM OF SUPERVISION AND TREATMENT.

FINDINGS:

ADC North Central Unit does not have a therapeutic community.

**Standard #4-4440**

WHERE A SUBSTANCE USE DISORDER TREATMENT PROGRAM EXISTS, WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT THE FACILITY USES A COORDINATED STAFF APPROACH TO DELIVER TREATMENT SERVICES. THIS APPROACH TO SERVICE DELIVERY SHALL BE DOCUMENTED IN TREATMENT PLANNING CONFERENCES AND INDIVIDUAL TREATMENT FILES.

FINDINGS:

ADC North Central Unit does not have a therapeutic community.

**Standard #4-4441**

WHERE A SUBSTANCE USE DISORDER TREATMENT PROGRAM EXISTS, WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE INCENTIVES FOR TARGETED TREATMENT PROGRAMS TO INCREASE AND MAINTAIN THE INMATE'S MOTIVATION FOR TREATMENT.

FINDINGS:

ADC North Central Unit does not have a therapeutic community.

**Standard #4-4447**

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT CONSISTENT WITH THE LAW OF THE JURISDICTION, THERE IS A SYSTEM FOR PROVIDING NOTIFICATION TO THE REGISTERED VICTIM(S) OF A CRIME PRIOR TO ANY RELEASE FROM CONFINEMENT OF THE CONVICTED INMATE AND/OR ESCAPE FROM CUSTODY. FOLLOW-UP NOTIFICATION TO VICTIM(S) OCCURS WHEN ESCAPEES ARE RETURNED TO CUSTODY.

FINDINGS

NCU does not notify registered victims, this is done by Central Office.

**Standard #4-4447-1**

WRITTEN POLICY, PROCEDURE AND PRACTICE PROVIDE THAT DESIGNATED STAFF IS RESPONSIBLE FOR COORDINATION OF VICTIM'S PROGRAMS AND THAT CURRICULUM IS ESTABLISHED FOR PROVIDING TRAINING TO STAFF INVOLVED WITH VICTIM'S ISSUES. THIS CURRICULUM INCLUDES THE FOLLOWING TOPICS:

- SPECIFIC SERVICES AVAILABLE TO CRIME VICTIMS
- CHANGES IN LAWS IMPACTING VICTIMS
- WAY(S) OF GAINING ACCESS TO THE SERVICES
- CONFIDENTIALITY OF VICTIM INFORMATION
- WAY(S) FOR VICTIMS TO COMMUNICATE COMPLAINTS AND OTHER CONCERNS
- PROGRAM EVALUATION MEASURES, WHICH INCLUDE VICTIM INPUT REGARDING THE EFFECTIVENESS OF SERVICES AND WAYS FOR THEM TO MAKE SUGGESTIONS REGARDING AGENCY POLICIES AND PRACTICES INTENDED TO ASSIST CRIME VICTIMS

FINDINGS:

NCU does not have a victim-offender dialogue program.

**Standard #4-4456**

WHERE AN INDUSTRIES PROGRAM EXISTS, THERE WILL BE A STATUTE AND/OR WRITTEN POLICY AND PROCEDURE THAT AUTHORIZES THE ESTABLISHMENT OF AN INDUSTRIES PROGRAM AND DELINEATES THE AREAS OF AUTHORITY, RESPONSIBILITY, AND ACCOUNTABILITY FOR THE PROGRAM.

FINDINGS:

ADC North Central Unit does not have an industries program.

**Standard #4-4457**

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT THE SECURITY AND PROGRAM DETERMINATIONS NECESSARY FOR ANY INDIVIDUAL TO BE ELIGIBLE FOR INDUSTRIES WORK ARE MADE BY THE CLASSIFICATION COMMITTEE.

FINDINGS:

ADC North Central Unit does not have an industries program.

**Standard #4-4458**

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT THE NUMBER OF INMATES ASSIGNED TO INDUSTRIES OPERATIONS MEET THE REALISTIC WORKLOAD NEEDS OF EACH INDUSTRIES OPERATING UNIT.

FINDINGS:

ADC North Central Unit does not have an industries program.

**Standard #4-4459**

EACH INDUSTRY'S OPERATING UNIT HAS A WRITTEN QUALITY CONTROL PROCEDURE THAT PROVIDES FOR RAW MATERIAL, IN-PROCESS, AND FINAL PRODUCT INSPECTION.

FINDINGS:

ADC North Central Unit does not have an industries program.

**Standard #4-4460**

A COST ACCOUNTING SYSTEM FOR EACH OPERATING INDUSTRIES UNIT IS DESIGNED, IMPLEMENTED, AND MAINTAINED IN ACCORDANCE WITH GENERALLY ACCEPTED ACCOUNTING PRINCIPLES.

FINDINGS:

ADC North Central Unit does not have an industries program.

**Standard #4-4461-1**

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT, CONSISTENT WITH THE LAWS AND LEGAL PRACTICES WITHIN THE JURISDICTION, RESTITUTION IS COLLECTED AND ULTIMATELY MADE AVAILABLE TO THE VICTIMS OF CRIME AND/OR THEIR SURVIVORS. WHERE SUPPORTED BY STATUTE, AND FEASIBLE, VICTIM AWARENESS CLASSES ARE OFFERED TO HELP OFFENDERS UNDERSTAND THE IMPACT OF THEIR CRIMES ON THE VICTIMS, THEIR COMMUNITIES, AND THEIR OWN FAMILIES.

FINDINGS

These functions are not within the jurisdiction of NCU. Victims' programs and services are overseen by Arkansas Community Corrections.

**Standard #4-4462**

PRIVATE INDUSTRIES ON THE INSTITUTION GROUNDS EMPLOYING INMATES IN POSITIONS NORMALLY FILLED BY PRIVATE CITIZENS PAY INMATES THE PREVAILING WAGE RATE FOR THE POSITION OCCUPIED.

FINDINGS:

ADC North Central Unit does not have private industries on facility grounds.

**Standard #4-4463**

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT INMATES EMPLOYED IN THE COMMUNITY BY PUBLIC OR PRIVATE ORGANIZATIONS IN POSITIONS NORMALLY OCCUPIED BY PRIVATE CITIZENS ARE COMPENSATED AT THE PREVAILING WAGE RATE FOR THE POSITION OCCUPIED. INMATES RECEIVING SUCH COMPENSATION REIMBURSE THE JURISDICTION FOR A REASONABLE SHARE OF ITS COST IN MAINTAINING THEM.

FINDINGS

:

ADC North Central Unit policy does not allow for inmate employment in the community.



## Significant Incident Summary

This report is required for all residential accreditation programs. (*Adult Correctional Institutions; Adult Local Detention Facilities; Core Jail Facilities; Boot Camps; Therapeutic Communities; Juvenile Detention Facilities; and Juvenile Small Detention Facilities.*)

This summary is required to be provided to the Chair of your audit team upon their arrival for an accreditation audit and included in the facility's Annual Report. (*Refer to Agency Manual of Accreditation for details*). The information contained on this form will also be summarized in the narrative portion of the visiting committee report and will be incorporated into the final report. It should contain data for the last 12 months; indicate those months in the boxes provided. Please type the data. If you have questions on how to complete the form, please contact your accreditation specialist. This report is for Adult Correctional Institutions, Adult Local Detention Facilities, Core Jail Facilities, Boot Camps, Therapeutic Communities, Juvenile Correctional Facilities, Juvenile Detention Facilities, and Small Juvenile Detention Facilities.

Facility Name: North Central Unit

Facility Name: North Central Unit Reporting Period: June 2020 through May 2021

Incident Type	Months													Total for Reporting Period
		June	July	August	Sept	Oct	Nov	Dec	Jan	Feb	March	April	May	
Escapes		0	0	0	0	0	0	0	0	0	0	0	0	0
Disturbances*		0	0	0	0	0	0	0	0	0	0	1	0	0
Sexual Violence		0	2	1	1	0	0	0	0	0	0	0	0	0
Homicide*	Offender Victim	0	0	0	0	0	0	0	0	0	0	0	0	0
	Staff Victim	0	0	0	0	0	0	0	0	0	0	0	0	0
	Other Victim	0	0	0	0	0	0	0	0	0	0	0	0	0
Assaults	Offender/Offender	0	0	3	0	0	0	0	0	0	1	0	0	1
	Offender/Staff	0	0	0	0	0	0	0	0	0	0	0	0	0
Suicide		0	0	0	0	0	0	0	0	0	0	0	0	0
Non-Compliance with a Mandatory Standard*														
Fire*		0	0	0	0	0	0	0	0	0	0	0	0	0
Natural Disaster*		0	0	0	0	0	0	0	0	0	0	0	0	0
Unnatural Death		0	0	0	0	0	0	0	0	0	0	0	0	0
Other*														

\*May require reporting to ACA using the Critical Incident Report as soon as possible within the context of the incident itself.



## Significant Incident Summary Glossary

**Assaults:** An altercation which results in serious injury requiring urgent and immediate medical attention and restricts usual activities.

**Disturbance:** Offender action that resulted in loss of control of the facility or a portion of the facility and required extraordinary measures to regain control.

**Escape:** As defined by the jurisdiction reporting.

**Fire:** A fire which results in evacuation of staff or offenders and/or significant damage to a facility or part of a facility structure.

**Homicide:** As defined by the jurisdiction reporting.

**Non-Compliance with Mandatory Expected Practices:** Determination that a condition results in non-compliance with a mandatory standard that is expected to result in sustained non-compliance.

**Natural Disaster:** A natural event such as a flood, tornado, tsunami, earthquake, or hurricane that causes great damage or loss of life.

**Other:** Any significant negative event or distraction that adversely impacts normal operations.

**Serious Injury:** Is a physical injury which creates a substantial risk of death, or which causes serious and protracted impairment of health or protracted loss or impairment of the function of any bodily organ.

**Sexual Violence** (as defined by PREA): A substantiated, non-consensual sexual act includes one or more of the following behaviors:

- Contact between the penis and the vagina or the penis and the anus involving penetration, however slight. It does not include kicking, grabbing or punching genitals when the intent is to harm or debilitate rather than to sexually exploit.
- Contact between the mouth and the penis, vagina, or anus.
- Penetration of the anal or genital opening of another person by a hand, finger, or other object.

**Unnatural Death** – Death of a person in confinement for causes other than suicide, homicide, or accident that is contrary to the ordinary course of nature or otherwise abnormal.

**Name of facility: Arkansas Department of Corrections – North Central Unit  
Data Collected: August 2017 thru July 2018**

<b>Health Care Outcomes</b>				
<b>Standard</b>	<b>Outcome Measure</b>	<b>Numerator/Denominator</b>	<b>Value</b>	<b>Calculated O.M.</b>
<b>1A</b>	(1)	Number of offenders diagnosed with a MRSA infection within the past twelve (12) months	0	0
	divided by	The average daily population	812	0
	(2)	Number of offenders diagnosed with active tuberculosis in the past twelve (12) months	0	0
	divided by	Average daily population.	812	0
	(3)	Number of offenders who are new converters on a TB test that indicates newly acquired TB infection in the past twelve (12) months	1	
	divided by	Number of offenders administered tests for TB infection in the past twelve (12) months as part of periodic or clinically-based testing, but not intake screening.	692	0.001
	(4)	Number of offenders who completed treatment for latent tuberculosis infection in the past twelve (12) months	2	
	divided by	Number of offenders treated for latent tuberculosis infection in the past twelve (12) months.	6	0.333
	(5)	Number of offenders diagnosed with Hepatitis C viral infection at a given point in time	117	
	divided by	Total offender population at that time.	813	0.144
	(6)	Number of offenders diagnosed with HIV infection at a given point in time	5	
	divided by	Total offender population at that time.	785	0.006
	(7)	Number of offenders with HIV infection who are being treated with highly active antiretroviral treatment (HAART) at a given point in time	5	
	divided by	Total number of offenders diagnosed with HIV infection at that time.	5	1
	(8)	Number of selected offenders with HIV infection at a given point in time who have been on antiretroviral therapy for at least six months with a viral load of less than 50 cps/ml	5	
	divided by	Total number of treated offenders with HIV infection that were reviewed.	5	1
	(9)	Number of offenders diagnosed with an Axis I disorder (excluding sole diagnosis of substance abuse) at a given point in time	62	
	divided by	Total offender population at that time.	827	7.49
	(10)	Number of offender admissions to off-site hospitals in the past twelve (12) months	22	
	divided by	Average daily population.	812	0.027

	(11)	Number of offenders transported off-site for treatment of emergency health conditions in the past twelve (12) months	26	
	divided by	Average daily population in the past twelve (12) months.	812	0.0320
	(12)	Number of offender specialty consults completed during the past twelve (12) months	653	
	divided by	Number of specialty consults (on-site or off-site) ordered by primary health care practitioners in the past twelve (12) months.	653	653
	(13)	Number of selected hypertensive offenders at a given point in time with a B/P reading > 140 mmHg/ >90 mm Hg	13	
	divided by	Total number of offenders with hypertension who were reviewed.	20	0.65
	(14)	Number of selected diabetic offenders at a given point in time who are under treatment for at least six months with a hemoglobin A1C level measuring greater than 9 percent	5	
	divided by	Total number of diabetic offenders who were reviewed.	20	0.25
	(15)	The number of completed dental treatment plans within the past twelve (12) months	0	
	divided by	the average daily population during the reporting period.	812	0
<b>2A</b>	(1)	Number of health care <b>staff</b> with lapsed licensure or certification during a twelve (12) month period	1	
	divided by	Number of licensed or certified staff during a twelve (12) month period.	1	1
	(2)	Number of new health care staff during a twelve (12) month period that completed orientation training prior to undertaking their job	11	
	divided by	Number of new health care staff during the twelve (12) month period.	24	0.458
	(3)	Number of occupational exposures to blood or other potentially infectious materials in the past twelve (12) months	0	
	divided by	Number of employees.	24	0.458
	(4)	Number of direct care staff (employees and contractors) with a conversion of a TB test that indicates newly acquired TB infection in the past twelve (12) months	0	
	divided by	Number of direct care staff tested for TB infection in the past twelve (12) months during periodic or clinically indicated evaluations.	159	0
<b>3A</b>	(1)	Number of offender grievances related to health care services found in favor of the offender in the past twelve (12) months	1	
	divided by	Number of evaluated offender grievances related to health care services in the past twelve (12) months.	63	0.158
	(2)	Number of offender grievances related to safety or sanitation sustained during a twelve (12) month period	0	
	divided by	Number of evaluated offender grievances related to safety or sanitation during a twelve (12) month period.	0	0
	(3)	Number of adjudicated offender lawsuits related to the delivery of health care found in favor of the offender in the past twelve (12) months	0	

	divided by	Number of offender adjudicated lawsuits related to healthcare delivery in the past twelve (12) months	5	0
<b>4A</b>	(1)	Number of problems identified by quality assurance program that were corrected during a twelve (12) month period	2	
	divided by	Number of problems identified by quality assurance program during a twelve (12) month period.	1	2
	(2)	Number of high-risk events or adverse outcomes identified by the quality assurance program during a twelve (12) month period.	1	
	(3)	Number of offender suicide attempts in the past twelve (12) months	1	
	divided by	Average daily population	812	0.001
	(4)	Number of offender suicides in the past twelve (12) months	0	
	divided by	Average daily population	812	0
	(5)	Number of unexpected natural deaths in the past twelve (12) months	0	
	divided by	Total number of deaths in the same reporting period.	3	0
	(6)	Number of serious medication errors in the past twelve (12) months	0	
<b>5A</b>	<b>None</b>			
<b>6A</b>	<b>None</b>			
<b>7A</b>	<b>None</b>			
<b>7B</b>	<b>None</b>			
<b>7C</b>	<b>None</b>			