

COMMISSION ON ACCREDITATION FOR CORRECTIONS
STANDARDS COMPLIANCE REACCREDITATION AUDIT

Arkansas Department of Corrections
North Central Unit
Calico Rock, Arkansas

April 4-6, 2017

VISITING COMMITTEE MEMBERS

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A. Introduction

The reaccreditation audit of the Arkansas Department of Correction - North Central Unit in Calico Rock, Arkansas was conducted April 4-6, 2017, by the following visiting committee team: Laura C. Tafoya, Chairperson; Angela McKinney Grant, Member; and Anita A. Carnell, Healthcare Member.

B. Facility Demographics

Rated Capacity: 859
Actual Population (4/4/17): 807
Average Daily Population for the last 12 months: 835
Average Length of Stay: Three years
Security Level: Medium
Custody Levels: Maximum/Medium/Minimum
Age Range of Inmates: 18 - 82
Gender: Male
Full-Time Staff (funded): 205
Administrative/Support: 18
Program: 19
Security: 168
Other: Zero
Part-Time Staff (Admin/Support): Two
Vacancies: Two
Medical Staff (Contract): 29
Inst. Parole Officer (Community Correction): One

C. Facility Description

The North Central Unit (NCU) is located four miles North of Calico Rock, Arkansas off Highway 5 in Western Izard County. The physical address is 10 Prison Circle, Calico Rock, Arkansas. Calico Rock's population is approximately 1,500 and Izard County is approximately 13,696. The facility is approximately 125 miles away from the Little Rock, Arkansas Airport. North Central Unit operates under the Arkansas Department of Correction (ADC) whose Central Office is located in Pine Bluff, Arkansas which is 175 miles from the facility. The facility opened in 1990 with an estimated designed capacity of 300; the facility has expanded since then with a current capacity of 859. NCU houses adult male felons, is a medium security facility housing a small number of maximum custody inmates and equal numbers of medium and minimum custody inmates.

All ADC inmates are placed in a class status that is commensurate with custody considerations and programmatic goals, establishing criteria for class promotion, reclassification, and/or restoration of good time. All inmates are placed in Class II when they arrive at ADC; it is up to them to earn Class I status, and their class can be reduced because of disciplinary problems.

Good time (GT) classification places inmates in Class I, II, III, or IV status. Class I is the highest classification/class status an inmate can obtain and has subcategories of 1A, 1B, 1C, 1D, and IP. Class I earns 30 days GT credit per month, Class II 20 days, Class III 10 days, and Class IV does not earn any GT. Upon completion of some programs, up to 180 days GT credit is given.

The North Central Unit has a collaborative relationship with Correct Care Solutions who provides managed health care. One Arkansas Community Correction parole officer is assigned to NCU to coordinate all scheduling with the parole board. Although Community Correction re-entry coordinators are not available in the ADC prison facilities, a Re-Entry Handbook is available in the library and inmates are encouraged to begin preparing for re-entry upon their arrival to NCU. The volunteer run Think Legacy Program does offer classes to help with re-entry/pre-release.

It is the Mission of North Central Unit to “provide a safe, humane environment for staff and inmates, strengthen the work ethic through teaching of good habits and provide opportunities for inmates to improve spiritually, mentally, and physically. To create a model correctional system by carrying out the intentions of the Courts, Governor, Legislature, and the Director of the Department of Correction.”

The North Central Unit was funded to employ up to 205 full-time staff, two part-time administrative support staff (20 hours a week), and 29 contracted Correct Care Solutions staff. One Arkansas Community Correction Division institutional parole officer is also assigned to NCU. During the visit, a total of two staff vacancies were reported (one admin and one CO).

NCU is the largest employer in the Calico Rock area; therefore, they do not have a problem filling their vacancies. The 18-month average vacancy rate was 2.44%. All employees, contractors, and volunteers receive an initial background check. Non-security staff receives initial training at the unit with classroom time, some e-learning, and two days at the Training Academy for security topics. Correctional staff is required to complete a six week/240 hour pre-service training at the ADC Training Academy in England, Arkansas (two Fridays are spent at the unit of assignment, as well as the fifth week); with an additional 80 hours post academy training with a recruiter/retention officer at the facility of assignment.

Field Training Officers are available to new officers for mentoring. All firearms training is conducted at the facility of assignment. All staff attends annual training at their facility with up to 30 hours e-learning and ten hours class time. The NCU range is located onsite. Training for volunteers falls under the chaplain.

The North Central Unit consists of 16 buildings on over 700 acres of land; ten acres are contained within the perimeter fence. Thirteen outside buildings include: 1) pedestrian entrance building, 2) outside maintenance shop/ICC mechanical garage, 3) utility tool storage, 4) armory/lock shop, 5) horse barn, 6) dog kennel, 7) WWTP, 8) pump house, 9) sally port (vehicle/back entrance building), and 10) four towers.

A Vo-Tech storage room, Vo-Tech greenhouse, and main NCU building is located inside the secure perimeter fence. The main support building houses offices, support services, programs, and attached Housing Units all under one roof. The main corridor was designed to be able to lockdown in case of an emergency, with two security grills located at both the south and north ends of the hall. South unit inmates are not permitted on the north side vice versa. Inmates are required to walk single file on the right side of the hall, to the right of the painted yellow line.

General population housing is provided in fourteen (14) two-tier dormitories identified as Barracks 1-14, located off of the main corridor. Each barracks houses 55 or 60 inmates with a total bed capacity of 800. Eight housing control centers are located at the front of the barracks/dorms with a window looking into the dorm(s); six have a view into two dorms. Unit management is in effect at NCU and each inmate is assigned to a unit team consisting of a unit manager, case workers, and counselors. Dormitory housing provides single beds on the upper and lower tier; communal toilets, sinks, and two showers in the dorms; and 18 additional gang showers near the laundry. Dayrooms are immediately adjacent to dorms. Lights are turned on at 4:30 a.m. and turned off at 10:30 p.m. In addition to housing, there are areas for indoor and outdoor recreation, education, vocational education, religious services, library, kitchen and dining room, laundry, commissary, mailroom, barbershop, property, contact and non-contact visiting, and an eight bed 24-hour medical infirmary with one isolation room.

Restricted Housing (RH) also referred to as isolation, segregation, and administrative segregation is located across from the medical unit in a separate secure hall. This unit is staffed with one sergeant and two roving correctional officers. A housing control center is located at the front of the RH unit. The correctional officers are required to conduct inmate welfare checks at least every 30 minutes. RH houses up to 59 inmates within thirty (30) 80.63 square foot cells (one single cell and 29 double cell occupancy). Two holding cages are located at the front of the unit. Cells 1, 5, 26, and 30 contain cameras for monitoring.

An inmate that poses a direct threat to the safety of persons or a clear threat to the safe and secure operations of the facility can be placed in restrictive housing on administrative status for punitive segregation-restriction, disciplinary court review until a disciplinary hearing is complete, investigative pending investigation, and protective custody.

Each key operated cell entry door is detention grade with no food port. Once this first door is open, you walk into a small vestibule with a skylight above, facing a full grill with a door which enters into the cell. Each cell contains one cinderblock desk, one cinderblock base/bunk bed, and a one piece combo steel toilet and sink fixture. RH inmates receive a mattress at 7:00 p.m. and they are removed each morning. Four out-of-cell showers, two indoor dayrooms, eight outdoor recreation cages, and a portable telephone are provided for RH inmates. Documentation and interviews verified staff rounds and visits, and the delivery of programs and services.

During the visit, it was reported that 29 inmates were housed in RH as follows: six disciplinary court review, four investigative, 15 punitive, two administrative segregation-temporary, one administrative review, and one protective custody.

An ADC Restricted Housing Punitive Segregation-Restriction Administrative Directive was in place for services and programs available to inmates. NCU does not provide storage for personal items and adequate storage space for clothes and personal belongings as required by standard 4-4134.

North Central Unit – Housing Scheme		
Housing Areas	Custody Level	Number beds
Barracks 1 Dorm – 55 beds (Think Legacy Program)		
Upper Tier	Min/Med Custody	25 single beds
Lower Tier	Min/Med Custody	30 single beds
Barracks 2 Dorm – 55 beds		
Upper Tier	Min/Med Custody	25 single beds
Lower Tier	Min/Med Custody	30 single beds
Barracks 3 Dorm – 55 beds		
Upper Tier	Min/Med Custody	25 single beds
Lower Tier	Min/Med Custody	30 single beds
Barracks 4 Dorm – 60 beds		
Upper Tier	Min/Med Custody	30 single beds
Lower Tier	Min/Med Custody	30 single beds
Barracks 5 Dorm – 60 beds		
Upper Tier	Min/Med Custody	30 single beds
Lower Tier	Min/Med Custody	30 single beds
Barracks 6 Dorm – 60 beds		
Upper Tier	Min/Med Custody	30 single beds
Lower Tier	Min/Med Custody	30 single beds
Barracks 7 Dorm – 55 beds (Paws in Prison Program)		
Upper Tier	Min/Med Custody	25 single beds
Lower Tier	Min/Med Custody	30 single beds
Barracks 8 Dorm – 55 beds (Paws in Prison Program)		
Upper Tier	Min/Med Custody	25 single beds
Lower Tier	Min/Med Custody	30 single beds
Barracks 9 Dorm – 60 beds		
Upper Tier	Min/Med Custody	30 single beds
Lower Tier	Min/Med Custody	30 single beds
Barracks 10 Dorm – 60 beds		
Upper Tier	Min/Med Custody	30 single beds
Lower Tier	Min/Med Custody	30 single beds
Barracks 11 Dorm - 60 beds		
Upper Tier	Min/Med Custody	30 single beds
Lower Tier	Min/Med Custody	30 single beds
Barracks 12 Dorm – 55 beds		

Upper Tier	Min/Med Custody	25 single beds
Lower Tier	Min/Med Custody	30 single beds
Barracks 13 Dorm – 55 beds		
Upper Tier	Min/Med Custody	25 single beds
Lower Tier	Min/Med Custody	30 single beds
Barracks 14 Dorm – 55 beds		
Upper Tier	Min/Med Custody	25 single beds
Lower Tier	Min/Med Custody	30 single beds
Restricted Housing Unit – 59 beds		
North Hall, Rooms 1-15	Max Custody	One single cell (S. Hall)
South Hall, Rooms 16-30	Max Custody	58 beds (29 cells/double bunks)
Medical Infirmary – *8 beds		
2 wards/8 beds (one isolation room, two wards/four beds in each)		
Total: 859 Beds (*Eight infirmary beds not included in count)		

The North Central Unit is surrounded by natural grass, hills, and trees. The facility's grounds were landscaped with grass and flowers. The parking lot and perimeter road contain base course and gravel. The parking lot was clean and there were no trees or bushes to obscure visibility on the sides of the front entrance area and there was a clear view from front entry to the outside without any visual barriers. Sanitation throughout the facility was found to be exceptional. It was apparent that the staff and inmates had been informed of the audit and prepared for the visit.

All levels of staff displayed professionalism and they were knowledgeable of their assigned duties regardless of their tenure in the position. Staff was observed interacting with inmates in a professional manner. There was positive interaction among executive staff, line staff, and inmates.

D. Pre-Audit Meeting

The team met for dinner on April 3, 2017, in Mountain Home, Arkansas with executive staff to discuss the information provided by the Association staff and the officials from the North Central Unit. Warden Williams provided the visiting team a welcome book with a lot of helpful information regarding the facility's operation.

The chairperson divided standards into the following groups:

Standards # 4-4001 to 4-4169 Laura C. Tafoya, Chairperson
Standards # 4-4171 to 4-4343 Angela McKinney Grant, Member
Standards # 4-4344 to 4-4530 Anita A. Carnell, Healthcare Member

E. The Audit Process

1. Transportation

The team was escorted from Mountain Home to Calico Rock, Arkansas each day by Sandra Kennedy, ADC Internal Auditor/Agency Accreditation Manager.

2. Entrance Interview

The visiting committee arrived at the North Central Unit at 7:50 a.m., checked in, cleared at the front entrance, and proceeded to the warden's office. The team met with Warden Stephen Williams, Associate Warden Billy Inman, NCU Accreditation Specialist Kelly Hatman, and ADC Internal Auditor/Agency Accreditation Manager Sandra Kennedy. The team expressed the appreciation of the Association for the opportunity to be involved with the North Central Unit in the accreditation process. Warden Williams stated there were no requests from the public, staff, or inmates to visit with the visiting committee as a result of the audit posting; there were no lawsuits during the audit cycle that had an adverse judgment against the facility or its employees; and there were no current issues that would jeopardize the accreditation status.

Warden Williams escorted the visiting committee to the inmate visiting room where the formal entry meeting was held at 8:20 a.m.

The following persons were in attendance:

Stephen Williams, Warden
Billy Inman, Deputy Warden
Major David Knott, Building Security
Dexter Payne, Deputy Director, ADC
Kelly Hatman, Accreditation Specialist
Sandra Kennedy, ADC Internal Auditor/Agency Accreditation Manager
Tammy Robertson, Pine Bluff ACA Manager
Hunter Boles, Sergeant/NCU Fire & Safety Manager
Linda Gibson, Central Office Fire & Safety Manager
Bobby Coleman, Principal
Danny Blankenship, Maintenance Supervisor
Michael Smith, HR Manager
Diane Siddons, HR Assistant
Scott Ward, Key Control
Rusty McFarren, Maintenance Supervisor
Chris Ashcraft, Pine Bluff Maintenance Supervisor
Lyndal Cooper, Field Captain
Sandra Truax, Records Manager
Patrick McCown, Chaplain
Donna Frentz, Records Assistant

Bernard Williams, Correct Care Solutions Regional Manager
Marjorie Hall, Health Services Admin
Susan Hutson, DON
Linda Williams, Mental Health Secretary
Heather Guinn, Mental Health Advisor
Misty Brewer, Mental Health Advisor
Lori Holst, Rehab Program Manager
Andrew Schubert, LCSW
John Moss, Recreation Supervisor
Dustan Foret, FPMII
Mike Barger, Laundry Supervisor/Property Control
Barbara Tate, Business Manager
Steven Lively, Lt. Dog Kennel Supervisor/K-9 Chase Team
Kyle Moody, Sgt. Dog Kennel/K-9 Chase Team
Bruce Sanders, Building Captain
Breann Cowgill, Classification & Assignment Officer
Mark Brewer, EPC Coordinator/Transportation Supervisor
Garrett Safres, IT, ADC
Jared Dover, Sally Port Officer
Trudy Whiteaker, Warden's Secretary

Warden Williams welcomed the visiting committee and the team was introduced. It was explained that the goal of the visiting team was to be as helpful and non-intrusive as possible during the conduct of the audit. The chairperson emphasized the goals of accreditation toward the efficiency and effectiveness of correctional systems throughout the United States. The audit process and schedule was discussed at this time. Those in attendance introduced themselves.

3. Facility Tour

The visiting committee toured the facility and outside buildings; checked keys, tools, chemicals, and inventories in all areas; and spoke with staff and inmates from 8:45 a.m. to 5:45 p.m., with a break for lunch. The posting of audit announcements were displayed for inmates, staff, and visitors. The following persons accompanied the visiting committee on the tour and responded to the team's questions concerning facility operations:

Stephen Williams, Warden
Billy Inman, Deputy Warden
Dexter Payne, Deputy Director
David Knott, Major/Chief of Security
Sandra Kennedy, ADC Internal Auditor/Agency Accreditation Manager/Scribe
Kelly Hatman, NCU Accreditation Specialist
Linda Gibson, Central Office Fire & Safety
Tammy Robertson, PBA ACA
Chris Ashcraft, Central Office Maintenance Supervisor

Mike Smith, HR Coordinator/Scribe
Karen Payne, Inmate Grievance Coordinator/Scribe
Garret Scifres, Central Office IT

4. Conditions of Confinement/Quality of Life

During the tour, the visiting committee evaluated the conditions of confinement at the facility. The following narrative description of the relevant programmatic services and functional areas summarizes the findings regarding the quality of life.

Security:

The North Central Unit was funded with 168 full-time security staff positions primarily responsible for the facility's security and services functions. These positions include one major, three captains, nine lieutenants, 40 sergeants, and 115 correctional officers/corporals assigned to two 12-hour shifts and one 8-hour administrative shift. There was one security vacancy reported during the visit. Officers start out at \$12.14 an hour as a CO-1, receive 6% hazardous duty pay once they begin working in a facility, can promote to an officer first class in six months, and a corporal six months later, receiving two pay increases in a year and advancing to \$15.85 an hour. Security staff work 86 hours a pay period; 80 hours paid and six hours comp time.

Officers are required to attend a 15-minute shift briefing prior to their assigned shift. A lunch break is not provided, but officers can eat lunch at their post. Officers can pay \$3.00 a month for a haircut, shoe shine, and a car wash; funds go into the employee corporation fund. All staff has access to two-way portable radios and telephones for communication. Those responsible for security functions check out equipment pertinent to their assigned post which might include a radio, keys, restraints, OC spray, and a hand held metal detector wand. Thirty-one panic alarms are located throughout the facility (i.e., infirmary, school, mailroom, chapel, commissary, mental health, front entrance, administration, etc.).

Three transport vans are available for armed transport officers. Two buses are utilized for work squads. Armed Field Force Riders are assigned to supervise outside details. Post Orders were in place at security posts; permanent assigned officers are required to review and sign their post orders monthly and all other officers review and sign them daily. Housing unit logs were in place and movement and activities were documented.

The North Central Unit perimeter is surrounded by a gravel perimeter road and two 12-foot high double fences mounted in concrete and topped with coiled razor wire.

Within the double fences are a Senstar sensor alarm system split within ten zones and two motion activated microwave systems under north and south towers that sends an alert to the control center if activated. There are two openings through the perimeter fence: one pedestrian front entrance gate controlled by the east tower officer, and one vehicular sally port on the west side of the facility.

The interior sally port gate is controlled by the west tower officer and the exterior gate is controlled by a security staff member who conducts a thorough search of all vehicles entering and exiting. Back gate security includes razor wire on the top and bottom of the gates and vertical strands in fencing corners, a camera, undercarriage mirror, and a handheld metal detector wand. A weapons locker is not provided; law enforcement officers secure their weapons in their vehicle. A supervisor is required to walk the perimeter line daily, on both shifts. There were no holes or security breaches in the fence observed by a team member.

There are four towers outside the perimeter, which are staffed 24/seven, and armed with a mini-14 Rifle and a Remington Shotgun that are permanently assigned. The east tower is located at the front entry where both inmate recreation yards and housing units are in clear sight. The west tower is located at the rear gate where there is a good line of sight on inmates and visitors coming through the rear gate, the warehouse, maintenance area, both inmate recreational yards, the back deck of the facility, the horticulture building, and the armory. The north tower is facing the waste water plant and dog kennels with a clear line of sight. The south tower is facing the horse barn and the area where the inmate detail keeps the horses.

The towers are manned by one officer who is rotated every six hours. An armed mobile patrol post is only staffed during inclement weather, when a zone goes down, or a breach in the fence. The perimeter is illuminated during the hours of darkness by 28 area pole lights and 56 flood lights on utility poles located along the perimeter at equal distances; buildings are equipped with exterior security lighting that work in tandem with the perimeter lights to enhance security. In addition, there are a total of 19 fixed exterior cameras. Interior cameras include 116 digital and one pan/tilt/zoom in visitation for a total of 136. One of the two control center officers monitors all security cameras and opens gates; recordings are retained for 45 days for non-serious incidents and seven years for serious incidents. All cameras were working during the visit.

All staff and visitors must check-in at the front entrance building, which is staffed by one correctional officer 24/seven. Everyone must present an authorized form of identification, sign-in, and remove shoes and clear a walk-through metal detector. A handheld metal detector wand was also available for front entry staff to use, if needed. Everyone is subject to pat search and all personal belongings are thoroughly searched. Only approved cell phones are allowed in the facility. One male and one female correctional officer are assigned to work front entry during high peak hours and visitation for gender appropriate pat down searches.

All staff leaves a locator card at front entry to keep up with the number of staff inside the facility. Officers observed during the visit were professional, thorough, and consistent. Lockers, restrooms, and vending machines were available for staff and visitors, and two security cameras are mounted in the front entrance building. Once cleared, the east tower officer opens a secure pedestrian gate and individuals proceed down the walkway to the main building entrance. A camera is located near this gate for a visual of those requesting entry.

The 24-hour control center is located past administrative offices at the entrance into the main corridor and offers two work areas. The control center is staffed with two officers. The back officer monitors all security cameras, sensor alarm and microwave system, and controls electronic doors and riot gates. The front officer controls movement in and out of the main corridor and has a clear view of inmate dining and up and down the long corridor. The officer does not verify photo ID cards prior to allowing access into the main corridor; for security purposes it is recommended that all ID's be checked. The officer also controls and issues handheld radios, emergency/restricted/non-restricted keys, restraints, handcuffs, flexi-cuffs, waist and leg chains, emergency equipment, and crowd management OC gas; and monitors internal radio transmissions. The fire alarm annunciate panel and radio base station are located in the control center for monitoring.

The system for physically counting inmates is the responsibility of the lieutenant's office. Offender movement is controlled by security staff using a Weekly Activity Schedule and announcements by control center staff via the unit radio, under the direction of the shift supervisor. Eight formal on premises counts are conducted at 6:30 a.m., 9:15 a.m., 1:30 p.m., 5:30 p.m., 8:00 p.m., 10:30 p.m., 1:30 a.m., and 4:00 a.m. Inmates who are assigned to work squads and gate pass inmates are counted at the same time as formal counts. Informal counts are conducted at the discretion of the shift supervisor, with at least one on each shift.

Staff performs scheduled and unscheduled searches of living units, common areas, and outside grounds. Pat searches of inmates are conducted throughout the day on a random basis. Inmates returning to their cells from work assignments, food service, maintenance, and recreation areas are also subject to pat searches and successful passage through metal detectors. Strip searches are conducted by officers of the same gender whenever an inmate leaves or returns to the facility and when there is a reasonable suspicion of contraband being withheld.

There is a two staff chase team that trains and deploys eight blood hound scent tracking dogs for use in locating missing persons. The facility utilizes contraband canine dogs from ADC Central Office in Pine Bluff or local law enforcement if needed. Nine walk-through metal detectors are located at the entrance building, infirmary, laundry corridor, sally port, school, two at zone one hall, and two at zone two hall; and 42 handheld metal detector wands are available throughout the unit.

Random and suspicion Reditest drug testing is conducted on the inmate population and employees. Officer's posts are located in or immediately adjacent to the inmate living areas, which allows officers to hear and interact with the inmates and promptly respond to emergency situations.

The armory is located in a separate building outside the perimeter fence, adjacent to the vehicle sally port and west tower. The armory building provides separate storage rooms for chemicals, ammunition, weapons, and the lock shop. The brick enclosed, double reinforced building is new construction within the audit cycle. It is surrounded by a locked fence and has inner and outer locking doors. There is a camera located on the outside of the armory where the officers unload their weapons that also captures who enters the armory. There is also a camera located in the weapons room.

Access to the armory is strictly controlled and limited to the warden, deputy warden, major, field captain, emergency preparedness coordinator, and the armory officer. There are entry and exit logs and logs for weapon and equipment checkout. An emergency transport box is located in the entrance vestibule for easy access. The armory inventory consists of 40 caliber hand guns, semi-automatics, buck shots and slugs, 12 gauge shot guns, 223 ammunition, Remington shotguns, mini-14s, O.C. spray, less lethal munitions, less lethal shotguns, glocks – model 22, eight ARS and two baby glocks for the dog kennel, and a cabinet that secures emergency escape bags. An MSDS book was present for chemicals and there was a closet for storing personal protective equipment. Inventories and inspections are maintained for all items in the armory. A loading and unloading barrel is in place inside and outside the armory. Weapons are cleaned in the main armory; a working eye wash station was present. The firing range is located on NCU's grounds; officers certify annually for daytime fire. Staff is required to qualify with OC every two years. Everything in the armory was well organized and labeled for easy identification and access. The cleanliness of that area was very impressive.

NCU maintains a 16-man Emergency Response Team (ERT). During the visit there were 13 assigned members; all team members receive monthly specialized training. The ERT room stores ready bags with equipment, munitions and weapons, and larger cans of chemical gas.

The lock shop is located inside the armory building. A corporal is assigned to key control and is a trained locksmith. Officer Ward is responsible for the inventory, replacement, and when necessary, destruction of keys. Keys were stored in the lock shop, control center, east tower, west tower, and restricted housing. Blank keys were located in the lock shop. Non-restricted, restricted, and emergency keys are maintained and issued from the control center. The red keys are emergency keys; a shift supervisor or above must give permission for these keys to be pulled. The yellow keys are the restricted keys; these keys are for administrative and other offices.

The blue keys are the non-restricted keys; these keys access visitation, shakedown, and school. One hundred twenty-five sets were identified as KOP take home keys. Cellblock housing requires key entry; a key to lock test is conducted monthly. Field Riders, the mounted correctional officers who guard the outside details, have handcuff keys. The inmates are also allowed to have keys. Each inmate has a bed lock and keeps the key to that lock. In addition, inmates who are participants in the Hobby Craft Program can have a key to their hobby box. All offenders must sign an acknowledgment form indicating that he received a key and is responsible for it. Loss of a key by an inmate costs \$5.00 to replace. Staff keys were randomly checked throughout the facility by the visiting committee; key chits identified the number of keys on the tamper proof keyring.

Tools were assigned to areas such as maintenance, medical department, food service, hobby craft, etc. Tool rooms, cages, and carts were randomly checked throughout the facility by the visiting committee and were found to be neatly shadowed, inventoried, and checked in and out in accordance with written policies. High risk tools are identified as “sensitive” these tools are stored in a locked cage area which is restricted to staff members only, and ladders were chained. Less dangerous tools are identified as “non-sensitive” and were identified and stored on a shadow board. Kitchen knives, paddles, and can openers were further secured by the use of a tether system while in use. There are appropriate procedures in place for destroying broken tools and replacing them.

One assigned security threat group (STG) coordinator and two sergeants ensure the safety of staff and inmates is met by identifying, monitoring, and housing STG members in a safe and secure fashion. As gang members are identified, they and any incidents are tracked in the E-OMIS computer system. As part of monitoring, the officers work with mailroom staff and monitor telephone conversations. There were 196 documented gang members and ten active gangs reported during the visit.

The Deputy Warden is also the assigned PREA coordinator at NCU. NCU has not undergone a PREA audit; it was thought that ADC is looking at 2018. PREA signs were posted throughout the facility, information is provided in orientation, and the inmate handbook which provides information and a phone number for reporting sexual assaults. Females were not being announced upon entry into the housing units, and housing showers and toilets are open and do not provide any type of privacy.

Environmental Conditions:

On January 11, 2017, William Neal conducted an Environmental Survey at NCU and reported air, light, and sound readings were within standard requirements. Both natural and artificial lighting is provided. The indoor air quality was good and daytime noise levels averaged between 45 and 67 dBA.

The visiting committee did notice that light levels were low in Restricted Housing, the design provides no window to the outside (description: As you walk up to each RH cell, you face a wall/security door with no food port, upon entry you stand in a vestibule that provides a skylight very high up and you face a dim cell/security grill); readings in RH were reported at 22-25 decimals. Toilet/urinal, shower, and washbasin ratios met the 2012 International Building Code. The hot water at showers and washbasins felt very warm to the touch. Most barracks provide one shower on the upper tier and one on the lower; 18 gang style showers are provided at central shower next to the dining hall (3 poles/6 shower heads on each). A few showers were inoperable and parts were on order.

Each barracks provides single beds in the living area and unencumbered living space ranges from 33.73 to 49.22 square feet per offender. Dayroom space is provided immediately adjacent to living areas for indoor leisure time activities, and provides between 35.02 to 38.61 square feet per inmate; there is a limit as to the number of inmates that can use the space at one time. Dayrooms provide two metal tables with built-in stools, four benches, two televisions (headphones must be used), two telephones, hot water, water fountain, and a new video visitation unit. An attached storage space is provided under each bed for personal items. Furnishings throughout the facility were in good condition. Board games can be purchased through the commissary or checked out from the coach. Inmates are issued toilet tissue, a bar of soap, and razors weekly during hygiene issuance; additional hygiene items can be purchased through the commissary. Barber services are available to all inmates for personal grooming; four barber chairs are available and eight barbers provide services on two shifts. Each inmate can receive a haircut every 16 days. In addition to 30 minute telephone calls and regular mail, Arkansas participates in a program with a third party vendor that allows family members and friends to communicate with an inmate through e-mail; the e-mail is received by the mailroom staff who prints and delivers it to the inmate.

Inside maintenance is staffed with six positions, which include a supervisor, L-3 wastewater operator, L-1 water distribution operator, and several staff carries a boiler license. Up to 12 inmates are assigned to assist with maintenance projects. A preventative maintenance plan was in place, which identified equipment that is checked weekly, monthly, quarterly, and semi-annually; this includes ventilation, plumbing, lighting, boilers, and fire and safety systems. Staff maintains three emergency generators conducting weekly no-load tests and quarterly full-load test. Two boilers and two water storage tanks are monitored hourly and maintained 24/7 by two staff and six trained and/or licensed inmates. Hot water/steam heat is provided and forced air for cooling. This department was clean and organized; tools were etched and secure on shadow boards or on carts and were properly accounted for. Caustics were stored in flammable cabinets with the presence of material safety data sheets, personal protective equipment, an eyewash station, and oily waste cans. Gas powered equipment is stored outdoors in a locked cage.

The North Central Unit opened in 1990 and has had several new construction, renovation, or additions since then. The original structure is considered new construction as it was constructed and occupied after January 1, 1990. In 2016 the Riverside Vocational Horticulture Greenhouse was added; 2015 a new armory was constructed; March 2014 Barracks 13 & 14 were completed; January 2014 four education classrooms and non-contact visitation were added; 2013 four drying beds were added to the WWTP; 2010 Barracks nine & ten were completed; 2007 the dog kennels were added; 2000 roof repair due to straight line winds; 1998 first expansion/administrative segregation; and 1993 four brick towers and the horse barn were added.

Sanitation:

The fire safety sergeant is responsible for coordinating and monitoring sanitation; department supervisors and officers assist. The North Central Unit had a Housekeeping Plan in place and sanitation throughout the facility was found to be exceptional. Walls were clean and paint was fresh. Showers, toilets, and living areas were clean; all floors were highly polished; there was no evidence of old dirt; and there were no foul odors present.

Ongoing cleaning was observed throughout the facility in all areas. Storage/utility closets with sinks were available in all housing areas. Cleaning products are diluted by staff at the point of distribution (main chemical room maintained by laundry), thus products handled by inmates are non-hazard; spray bottles were properly labeled.

The annual Safety, Sanitation, and Health Inspection was conducted at NCU on June 15, 2016, by Inspector Dawn Davis from the Arkansas Department of Health Environmental Health Protection Services with no deficiencies noted. Weekly fire, safety, and sanitation inspections are conducted by assigned staff and monthly inspections are conducted by the fire safety sergeant; all staff receives annual safety training. Pest control is contracted to Eagle Pest Management who provides monthly services.

NCU operates a wastewater treatment plant. Due to size, a Level III wastewater treatment operator is employed. Two offenders are assigned to the plant seven days a week from 7:30 a.m. to 3:30 p.m. The plant operates with one racetrack, eight drying beds, and two clarifiers. Additional drying beds were added in 2013 but with the increased population in 2014, the plant is maxed out with 859 inmates. Potable water is stored in a 125,000 gallon water tank. A Level I water distribution operator is employed. A contracted company takes care of the required testing.

The laundry officers are responsible for oversight of the main chemical room where chemicals are received and distributed from.

The visiting committee found caustics, flammables, and toxic items to be properly stored in flammable cabinets, but we could not verify the amount on hand that was recorded on the inventory sheet. The visiting committee had concern with the system of inventory for products with a hazardous rating of two, three, and four; the use of both hazardous and non-hazardous products are extracted with an eye dropper (water plant) or poured into a measuring cup and subtracted from the original weight, but we had no way of verifying what was in each container, spray can, etc.

Inside maintenance used a different procedure and estimated what was on hand: 32 ounce bottles of Zep Grout Cleaner & Whitener, Category 1A, skin corrosion, serious eye damage, three full bottles and an estimated half-bottle. WD-40 spray had a hazard rating of two. The policy did not specifically state how the chemicals were to be accounted for. The visiting committee recommended that a procedure be implemented so the inventories could be verified (accurate/strict accountability). Material safety data sheets, personal protective equipment, and eyewash stations were present where chemicals were stored.

Fire Safety:

The North Central Unit employs one full-time fire safety sergeant to manage and direct the fire safety program. The fire detection and protection systems include a Silent Knight fire alarm system with one main control panel located in the control center, three fire alarm pull stations (control center, 4/5 barracks, and 9/10 barracks), and 169 smoke detectors throughout.

An Ansul wet chemical hood suppression system is located in the kitchen; a wet pipe sprinkler system is located in the living areas, work areas, and administration areas; and 21 fire reel hoses throughout. Systems are inspected, tested, and serviced in accordance with manufacture's recommendations, and all systems were operational during the visit.

Approximately 81 fire extinguishers are located throughout the facility and tags indicated monthly inspections are conducted. Eight SCBA's are stored in pairs in the control center, 2/3 and 11/12 barracks officer's station, and restricted housing. Two safety harnesses are provided at the WWTP. Six fire hydrants are strategically located along the perimeter road and inside the facility.

Staff participates in quarterly fire drills, which are conducted on all shifts and in all areas of the facility. Fire drills are simulated in restricted housing and the infirmary. Evacuation diagrams were properly posted throughout the facility. Emergency lighting was present and exit signs were illuminated. Emergency keys are readily available in the control center and lock shop for immediate release of inmates, if needed.

The Arkansas State Police/Fire Marshal's Office is the authority having jurisdiction. Barry Burke, Fire Marshal Inspector conducted an annual inspection at NCU on November 28, 2016, with one minor deficiency noted. In an April 2009 letter, the fire marshal states that a review of the NCU Fire Safety Evacuation Plan (NCU Policy & Procedure 8.01.0) is included in the annual inspection, but there was no mention of the review or certification in the November 28, 2016, report. It was suggested that the 2009 memorandum be updated or that the Fire Marshal indicate his review and approval in the annual inspection report. If assistance from a fire department is required, the Calico Rock Volunteer Fire Department is located approximately three miles from the facility with a response time of twenty minutes. All facility staff is trained in the implementation of facility emergency procedures. The safety committee meets once a month to discuss employee safety, analyze incidents, and to improve working conditions and conditions of confinement.

Food Service:

North Central Unit food service is supervised by one manager and four food production supervisors on two shifts. Approximately 120 inmates are assigned to work in the kitchen or dining hall on one of the two shifts. The kitchen includes a food preparation area, bakery area, dry storage area, one cooler and two freezers, a pots and pans area, and a dishwashing area. The dining hall seats 152 plus four handicap accessible seating for a total of 156. Breakfast is served at 3:00 a.m., lunch 10:00 a.m., and dinner 3:00 p.m. A separate officer's dining room is provided for employees who receive the same meal served to the inmate population.

Meals are transported to RH in insulated trays and a hot cart. NCU follows a four-week/30 day cycle, 2200 calorie menu approved by the ADC registered dietician. The average meal cost is \$4.12 per day. During the summer months, food products are grown in the unit's garden for consumption in the kitchen. Special diets are provided for medical and religious purposes, and diet manuals were present in the kitchen and medical department. During the visit, 46 medical diets were prescribed and there were no approved religious diets. The dining hall was clean and staff and correctional officers were present to maintain security and timely flow of the meal. Blind feeding is utilized at NCU for added security. The dining hall is located directly across from the control center, allowing additional observation of inmates.

The kitchen was spacious, clean, organized, and efficient during the review. The dining space is sufficient to adequately feed the population, and the rotating schedule allows inmates up to 20 minutes to consume their meal. There is ample space for food preparation. During the visit, it was reported that three mixers and four hot boxes were not working. It was also reported that ice machines are sanitized semi-annually; it is recommended that this be completed more frequently.

Food preparation tools were etched, neatly shadowed, inventoried, and controlled. Thermometers were being checked out daily. Knives, paddles, and can openers were tethered on cables when in use. Chemicals were properly stored. Pots, Pans, and trays were well maintained, but serving trays were still a little wet and require sideways stacking until fully dry.

Safety training is provided monthly. A review of temperature logs revealed the freezer, cooler, and dishwashing temperatures were being maintained appropriately during the visit, along with sanitizer levels in the pots and pans area. Seventy-two hour test trays were available. Gloves; hats; hairnets; hand washing sinks with hot water, soap, towels, and notifications signs were all in evidence.

All storage was off the floor; both staff and inmate workers appeared trained in public health requirements. There were no signs of pest or vermin problems noted. On June 15, 2016, Inspector Dawn Davis from the Arkansas Department of Health Environmental Health Protection Services conducted a semi-annual Food Establishment Inspection; two findings were noted and corrected.

The Wednesday lunch meal was sampled. The flavor, texture, temperature, appearance, and palatability were all good and the portions were of sufficient quantity. The meal consisted of a three ounces Swiss steak (hamburger meat w/gravy), ½ cup northern beans, ½ cup mashed potatoes, 1 cup mixed vegetables, ½ cup applesauce, and two slices fresh baked bread.

A commissary is available at NCU for the purchase of items such as food, clothing, hygiene items, headphones, and MP3 Players. A Kiosk was available in the main hallway to purchase and download music. The commissary is run by NCU staff.

Products and food were stored off the floor in a temperature controlled area. Thermometers were present to monitor ambient air and freezer temps, but temperature logs were not present to ensure proper temperatures are maintained. Inmates are allowed to shop once per week with a liberal spending of \$100.00. Inmates are also allowed to receive Quarterly and Holiday packages from a third party approved vendor (Union Supply Direct, or Access Securpak).

Medical Care:

North Central Unit (NCU) houses minimum, medium, and maximum custody inmates with limited medical and mental health needs. Those inmates requiring assistance with activities of daily living (ADLs) or skilled nursing care are assigned to facilities that can address those needs. Inmates on psychotropic or antipsychotic medications are not assigned to NCU. NCU will accept inmates taking antidepressant medications.

NCU has an Infirmary that is open 24 hours each day. It contains one isolation cell and a ward that is divided into two sections. Each section can house up to four inmates for a total of eight in the ward. Medical services at NCU are provided by Correct Care Solutions (CCS) who received the contract to provide services in 2014. Medical care had previously been provided by Corizon.

The provision of medical services is overseen by Health Services Administrator Marjorie Hall, LPN, who has been employed at NCU since 1996 and generally works from 8:00 a.m. to 5:00 p.m. Monday through Friday. Susan Hutson, RN is the Director of Nurses and generally works from 8:00 a.m. to 4:30 p.m. Monday through Friday. Registered Nurse coverage is available seven days each week with Nurse Hutson working during the weekdays and Registered Nurse Keri Cotter works as needed on weekends. NCU has a total of 8.9 LPN positions with two LPNs assigned to work each 12 hour shift to provide round the clock LPN coverage.

The staffing pattern for medical providers at NCU consists of one physician, Medical Director Marty Hearyman, M.D., who is on-site two days each week usually Tuesdays and Thursdays from 6:00 a.m. to 6:00 p.m. Dr. Hearyman sometimes also conducts telehealth clinics. Dr. Fort also assists with telehealth as needed. One Nurse Practitioner, Sharyn Rohder, provides 32 hours per week of services, working eight hours from 7:00 a.m. to 3:00 p.m. each Monday, Tuesday, and Wednesday. She varies between working Thursdays and Fridays. One other Nurse Practitioner, Ruth Skrapetes, comes in as needed per scheduled appointments.

NCU medical records are entirely electronic. All scanning and handling of records are done by the medical records clerk who works for ten hour days from 6:00 a.m. to 5:00 p.m. Monday through Thursday or the Administrative Assistant who works Monday through Friday from 7:30 a.m. to 3:30pm.

The Dental Clinic at NCU has two chairs. Dental staff consists of one dentist, Ronald Hill, DDS who works a total of thirty-four hours each week on Tuesdays, Wednesdays, and Thursdays from 6:00 a.m. until completed each day. Dr. Hill does fillings, extractions, plates, and other procedures as required, and refers surgical extractions to oral surgeons in Little Rock or Jonesboro. The Dental Assistant, Lindsey Hilton, works the same hours/days as the dentist, while the dental hygienist works when the dentist is not there on Mondays and Fridays from 6:00 a.m. to 3:30 p.m. conducting cleanings. One autoclave is available. It is spore tested with results logged weekly.

After hours medical, mental health, and dental emergencies are addressed as needed in the Infirmary. Mental Health staff is on-call and is available afterhours and on weekends. Off-site emergencies are handled at IZard County Medical in Calico Rock or at Baxter Regional in Mountain Home.

Inmates on anti-psychotic medications are not housed at NCU. Mental Health services are overseen by the Psychologist, Richard Moore, PhD and Lori Holst, the Rehabilitation Program Manager. Mental Health staff consists of Andrew Schubert, LCSW, Misty Brewer, Mental Health Advisor and Heather Guinn, Mental Health Advisor. One Administrative Assistant, Linda Williams, provides clerical support to the mental health staff. Hours are staggered from 7:30 a.m. to 4:30 p.m. to provide coverage. Six different classes are held which include Thinking Errors, Communication Skills, Anger Management, Parenting, Stress Management, Substance Abuse Education, Domestic Violence for victims and Domestic Violence for abusers. The psychologist is on the grounds at least one time per month depending on need. Inmates are staffed in multidisciplinary staffing as needed. A Substance Abuse Education Self-Study program is available to inmates at NCU. Inmates who require more extensive substance abuse treatment are determined at reception and are sent to the appropriate ADC facility for treatment.

At any given time, approximately 100 inmates are diagnosed with a mental illness and currently 85 are on anti-depressant medication. The mental health staffs are on call every two weeks and rotating on-call psychologists are available to handle after hour calls.

NCU is not a reception facility, but is considered a “parent” facility. Inmates are processed for reception at Ouachita and are transferred to NCU for the length of their sentence. At times during incarceration, inmates may be transferred to other Arkansas facilities for programming as court or parole ordered, then following completion of the programming they are transferred back to NCU.

Intake assessment in-processing occurs weekly with approximately 20 to 30 new commitments admitted each week. Security processes the inmates counting property while the nurses work in conjunction conducting medical/mental health assessments. Needed assessments are completed upon admission. Orientation of new commits takes place on Tuesdays and Thursdays each week. During this orientation, department heads including Warden Williams and Deputy Warden Inman talk candidly to the inmates to assist them in their adjustment to incarceration and to NCU. The department heads, Warden Williams and Deputy Warden Inman are also available for “Mainline” which consists of these managers standing in the main hallway running the length of the building where inmates have access to them and being available to answer any questions inmates or staff may pose. This Mainline takes place several times each week around the meal times.

Inmates are informed at intake of medical co-pay requirements which is \$3.00 for medical service. Recurring medications, psych medications, work related injuries, and infection control related needs such as boils are not charged a medical co-pay.

Ancillary Services

Laboratory – LabCorp conducts medical testing as ordered. A nurse will leave the blood/fluids drawn for tests in a drop box in the lobby area at the front entry area. Tests requested are picked up daily or as called. Lab results are generally received within 24 hours unless the test is such that more time is needed to complete it. Critical readings are immediately phoned to NCU medical staff. All results are posted electronically into each inmate's individual medical record. STAT lab work is conducted by IZARD County Medical in Calico Rock.

Radiology services are performed by Mobile X every Monday except for holidays when they come on Tuesdays. Radiology equipment is brought on the grounds since NCU does not have working x-ray equipment. The results of the radiology examinations are digitally available. If emergency radiology is needed, medical staff will call the local hospital to see if they can be fit in to the hospital schedule without being deemed an emergency which would require the inmate to go through the local emergency room. Ultrasound is available at Newport, and on occasion they have come to NCU to conduct ultrasound examinations.

Pharmacy Services - Pharmacy services are provided through Diamond. Orders placed by 4:00 p.m. are usually received the next day. NCU utilizes two back-up pharmacies: Park Street in Calico Rock and Walgreens (a 24 hour pharmacy) in Mountain Home.

Many inmates have OPM (On Person Medication) which are given to the inmates to encourage personal responsibility. However OPM is a privilege and not a right. Those inmates who are abusing or non-compliant with their medications lose the privilege of OPM medication and have to report to the medication window to receive their medications. Pill calls generally take place at 4:30 a.m., 9:30 a.m., 2:30 p.m. and 8:30 p.m. for bedtime medications. Over the counter medications available in the Commissary include non-Benadryl allergy medication, acetaminophen, Tums, and cough drops.

NCU inmates have sick call services available seven days a week, and all sick call requests are reviewed the day received. Inmates put in their written requests for medical treatment in the sick call box in the North and South halls. Sick calls are picked up daily and are immediately reviewed by medical staff. Depending on needs, inmates are scheduled to be seen within 72 hours.

NCU has one AED available in the medical area emergency room. Pharmaceuticals are controlled in a locked area and are inventoried daily. All of the medication counts the medical auditor inventoried during this reaccreditation audit were correct. All sharps were controlled in a locked area of the emergency room and in the dental clinic. All sharp counts sampled were accurately recorded. The area was clean and neatly organized.

NCU conducts periodic physical exams as per policy. Inmates under the age of 39 undergo physical exam every five years. Inmates between the ages of 40 and 64 receive a medical exam every three years and inmates over age 65 receive annual physical exams. The chronic care clinics available at the time of the reaccreditation audit included Hypertension, Diabetes, HIV/Hep C, Asthma, and Seizure. Generally, inmates in chronic clinics are seen as ordered by the provider. Inmates who are experiencing difficulties with the control of their chronic care condition would be seen on a more frequent basis as determined by the provider. The facility does use an individual treatment plan to track the care provided to NCU inmates.

Dr. Law provides optometry services to the NCU inmates requiring glasses or optometry related services. Dr. Law is usually on the grounds the first Wednesday of every month. While his hours depend on the patient load, he usually spends six to eight hours on the grounds each month. Glasses are usually received within 30 days of being ordered.

NCU has a contract with Stericycle for the collection of biohazard waste. There is a locked storeroom within the medical department for the storage of biohazardous waste boxes. These boxes are picked up by Stericycle at least once every two months and sometimes each month depending on the need. Oxygen tanks are stored and are available in the emergency room. There are currently no negative pressure cells at NCU. There had been two in the distant past, but the area was repurposed. Currently, inmates who have negative air pressure isolation needs are sent to a local hospital or are transferred to another ADC facility.

Overall the medical unit at NCU was well run. Both the inmates and the medical staff were pleased with the services offered. Inmates accessed medical services as needed and had no complaints about the services provided. Medical staffs are to be commended for their dedication to providing excellent treatment.

Recreation:

A full-time recreation supervisor referred to as the “coach” oversees the recreation program at the North Central Unit. Six inmate assistants are assigned to help provide recreation services; part of the assistants are assigned to work from 8:00 a.m. to 5:00 p.m. with the rest assigned to work from Noon to 8:00 p.m.

The recreation schedule offers either indoor or outdoor recreation seven days a week, rotating housing units throughout the day. Daytime recreation from 11:30 a.m. to 12:30 p.m. is reserved for those with medical needs, who are 55 and older or are mobility impaired. Indoor recreation is provided in a 6,695 sq. ft. gymnasium. Gymnasium activities include basketball, volleyball, handball, shuffleboard, ping pong, foosball, bean bag toss, walking/jogging, multi-use isometric workout stations, badminton and board games.

Two large outdoor general population recreation yards are located at the back of the facility and include softball, basketball, flag football, soccer, walking/jogging, rubber horseshoes, pushup bars, and multi-purpose isometric workout stations. Activities on the yard also include scheduled tournaments during five major Holidays between intramural leagues. The intramural leagues play basketball, volleyball, handball, bean bag toss, soccer, and flag football; first and second place winners are paid.

Zone One recreation yard is located on the southwest corner and is 112,535 square feet and Zone Two recreation yard on the northwest corner is 113,702 square feet in size. Outdoor recreation yards are surrounded by a fence topped with razor ribbon and activities are observed by two officers and the towers. Inmates housed in restricted housing are offered at least one hour of recreation five days a week. RH recreation is provided in eight 10x18 square foot recreation cages partially indoors, but open to the elements on top (six are referred to as rec. yard #three and two rec. yard #four). Two RH dayrooms are provided for use in inclement weather.

Inmates in Class I-C status are eligible to participate in the NCU Work Craft program, which is very popular and always has a waiting list. Inmates have a choice in leather, wood, or art. Art craft offers 50 spaces and wood and leather offer 30 spaces. Inmates are required to purchase all their own supplies and tools; power tools are not allowed. Tools and supplies are stored in assigned, locked cubbies.

Religious Programming:

Religious and volunteer services at the North Central Unit are planned, directed, and coordinated by one full-time chaplain. Chaplain McCown is an ordained Minister endorsed by Assemblies of God, and has been employed at NCU for the past six years. The chaplain works a flex schedule and is on the grounds at least 40 hours per week. Local churches and volunteers assist in the delivery of religious programs.

At the time of the audit there were 27 approved volunteers referred to as Certified Religious Assistants (CRA's) who provide services on a regular basis. CRA's are screened and receive 16 hours of initial training and 12 hours annually. A small multi-purpose room seats up 90, serves as the chapel, and includes musical instruments, a baptismal font, and state of the art sound and audio/visual equipment. Due to limited space, some services are held in the visitation area.

Chaplain McCown has begun the first phase in constructing a stand-alone chapel for the unit. The posted volunteer schedule provides worship, study, and fellowship times seven days a week. The chaplain maintains a library of religious books and materials that are available for inmates to check out.

The chaplain maintains contact with outside organizations for resources and donations. The chaplain is available to assist in the notification of critical incidents or death to the inmate's emergency contact. The chaplain approves all religious diets. Chapel services include a variety of counseling based classes along with the religious based services. Classes include the Principles and Applications for Life Program, Think Legacy Program, Anger Resolution, Rejoice in Recovery, and Stepping into Freedom. Religious groups that regularly conduct services are the Baptists, Catholics, Mennonites, Mormons, Jehovah Witness, and Pentecostals along with Jumah Call. Three revival services are also conducted each year. Arkansas allows inmate marriages for Class I inmates. Once approved, the inmate, family, or friends must bear all costs of the wedding, and up to six guests may attend the ceremony.

Inmate Work Programs:

North Central Unit inmates, who are medically cleared, will be assigned a job/program assignment by the institutional classification committee based on their class status. Programming assignments are considered work assignments at NCU (i.e., school, Vo-tech, PAWs in Prison, Think Legacy, PAL), and all inmates are expected to work and/or attend school.

During the visit, it was reported that all but ten inmates were assigned to a job and/or program. ADC does not pay wages to inmates, but those eligible to earn good time will do so. Traditional job assignments include kitchen, laundry, tutors, barber, shoe shine, car wash, maintenance (general, boiler room, WWTP), agriculture, porter, and clerk. Inmates participating in work programs are provided safety training and equipment. NCU did not have a corrections industries program. Additional work programs include the following:

Regional Maintenance Program – NCU operates a Regional Maintenance program with two 32-man escorted crews. Each crew is assigned two sergeants and one lieutenant. The crews may be requested by any state/public agency to do a variety of work. They are not allowed to assist private business or private citizens, and NCU tries to keep the inmates within an hour commute of the prison to allow them adequate work time on the job. These crews do work for schools, the city, state, parks, and cemeteries. These crews have also assisted the Corp of Engineers working around public lakes. NCU has also adopted Highway five and regularly picks up trash along the roadway. It was reported that 113,407 hours were provided in 2016.

Contract Crews – Through contract, there are seven Class 1A inmates that work in the community unescorted. These include two assigned inmates to Arkansas Game and Fish who are picked up daily and returned by Game and Fish personnel. A five man crew is also picked up daily and taken to work in various departments in the City of Mountain Home.

Utility Crews/Field Operations – NCU deploys up to nine agriculture field utility crews or work squads. Each crew averages between 30-40 inmates. One crew is specialized to work in the 9.3 acre vegetable garden where vegetables are grown for use in ADC kitchens. Two greenhouses are used to grow their own plants. During the visit, the ground was being prepared for summer crops. Another crew maintains the apple orchard that had approximately 1700 trees and beehives for pollination. These are typically older inmates and about four are assigned to this squad. The mowing squad is responsible for maintaining the grounds outside of the perimeter fence. NCU attempts to have 20 out working all the time and they try to keep 28 assigned to this squad. Five squads do an assortment of jobs which include clearing land, picking up rocks, and/or building roads. Specialized jobs such as digging ditches, digging up busted water lines or cutting grass with sling blades may be done as needed.

Inmate Car Care (ICC) Garage - Up to ten inmate ICC garage workers make minor repairs to state vehicles and trailers and maintain the cleanliness of the NCU vehicles. Gas tanks and a pump are available to gas up state vehicles. An inmate is assigned to track use of gas on a log and maintenance staff sticks the tanks daily to measure gas for accountability. An assigned tool cart inmate is responsible for checking out tools to the squads.

The Equine Program - NCU is designated as the location for breeding and training horses for use within the department. The program is managed by a supervisor and two staff; up to eight inmates are assigned. During the visit, five inmates were assigned to the program and 99 horses were present in varying stages of training to be sent out to other ADC units. The armed field force squads utilize the horses to move around and supervise work crews in the fields. ADC sponsors an annual horse auction to sale retired horses that still have a viable life. Inmates help with saddling, breaking, grooming, feeding of the horses and keeping the barn clean. Staff spoke of one inmate who became so proficient with horse shoeing, that he is now providing farrier services in the community. NCU is equipped with a leather sewing machine, which allows them to repair saddles. Class A and B tools were controlled and accounted for. NCU contracts with a veterinarian in Mountain View, Arkansas.

K-9 Chase Team – The dog kennel was established in 1994 and was the first kennel in ADC to use leased dogs as trackers. The dog kennel is not only necessary in the event of an emergency, but also serves as a deterrent on a daily basis as it assists local, state, and federal agencies in locating missing persons, recovering evidence, and with felony apprehensions. This helps to provide public safety as well as building and strengthening interagency relationships. Two staff was assigned to manage the K-9 program and two Class 1A inmates are assigned to help at the kennels. Canines are breed, raised, and are sent to other states. NCU keeps two pups out of each litter. During the visit, there were eight adult dogs and 11 puppies at the unit.

Paws in Prison Program - This is a dog training program that began in 2012 and is supported by private donations and the sale of recyclables. Shelter dogs are selected for participation in an eight-week program. The program is housed in Barracks seven and eight. Each dog is assigned two trained inmate handlers who work at training the dog and preparing it for adoption. The dogs are maintained in crates between the two assigned inmate handlers. The dogs are taken to the yard at least 6 times daily and are kenneled overnight. At any given time, there are six to eight dogs active in the program. Approximately 30 dogs were trained and adopted out in the past year. Two of the dogs who completed the program have remained at NCU as therapy dogs for the inmates: one lives in the Mental Health area and one lives in the Education area.

The benefits of this program are three-fold. The Paws in Prison program reduces the number of animals who perish by better preparing them to be loving, obedient, and adoptable pets. The program gives inmates the skills necessary to support successful rehabilitation and reentry and ultimately improves public safety. At the same time, this is an opportunity for the inmates to do something positive for the communities of Arkansas. Since the program began, ADC has seen a positive impact on daily interactions between inmates and employees, which ultimately improves security inside the prison.

Think Legacy Program – Think Legacy, NCU’s version of Re-Entry/Pre-Release, is designed to prepare an inmate for his return to the community. Inmates assigned to this program must be at least a Class II and must be one year from transfer eligibility. The program is housed in Barracks one and has 55 beds available. Think Legacy is a six month program that consists of a character core curriculum and an eight week National Institute of Corrections approved curriculum. Inmates receive training from community volunteers and NCU staff in resume writing, job interview, WAGE job training and motivational training. During weekdays, the visitation area is used for the Think Legacy program.

Principles and Application for Life (PAL) – The faith based PAL Program’s purpose is to attempt to create better inmate morale, reduce disciplinary actions, and prepare the inmate to be a productive citizen. This six month program is volunteer based, accommodates up to 40 inmates, and meets Monday through Friday from 7:00 to 10:00 a.m.

Academic and Vocational Education:

The Arkansas Correctional School (ACS) is the education system serving the Arkansas Department of Correction. ACS-NCU was accredited by the Correctional Education Association (CEA) for the period of July 1, 2015 to June 30, 2018. The North Central Unit education department is staffed with one principal and five instructors. The NCU school principal, Bobby Coleman, is approved to administer the GED, GRE, ACT and any other level of college tests as presented by inmates who wish to further their education while incarcerated.

As per state law, teachers are required to have a 30 minute duty free lunch and 200 minutes devoted to lesson planning, and the NCU school schedule allows these requirements to be met. One Vo-tech classroom, four education classrooms, and one computer lab/20 work stations are available for staff and students.

Academic education is not mandatory, but learning is emphasized. All inmates admitted to NCU are TABE tested for class placement. Classes provided include adult basic education (Level one-four), science, social studies, math, reading, Pre-GED, English as a second language along with intermediate level math, language arts, science, and social studies classes, and GED classes. Inmates who score above 165 on parts of the GED can also receive college credits, and at least six inmates received college credits in the past year. One young inmate who came into NCU at age 16 got his associate's degree and was admitted to a bachelor's program. He was the first inmate to complete his bachelor's degree and is currently teaching education classes in a juvenile setting in West Memphis. If eligible, inmates can pay for correspondence college courses through a few community colleges; two computers in the lab are designated for this. The school year starts in August and ends in May.

NCU conducts a four day school week and operates Monday through Thursday. The morning session runs from 7:00 to 10:50 a.m. and the afternoon session runs from 12:10 to 4:00 p.m. Each morning and afternoon session features five classes presented each day with each class having approximately 20 students. Classes are open entry and they are scheduled in such a way that inmates can be assigned to both a half day at work and a half day at school. The Regional Maintenance Crews that go outside the facility to work are different, however. Regional Maintenance Crew 1 goes to school all day Monday and works the rest of the week, while Regional Maintenance Crew two goes to school all day Tuesday and works the rest of the week off the grounds. Homebound educational services are offered for inmates in restricted housing. Those eligible to earn good time are awarded 180 days for successful completion of the GED, and additional good time can be awarded for other programs. It was reported that motivating the inmates to be productive in the educational time is a big issue at NCU.

The Vocational Education Program is a division of the Riverside Vocational Technical School, which operates within the perimeter of the unit. Vo-tech currently only has one program, which is administered by one full-time instructor. The Horticulture Program started in May 2016 and covers all aspects of growing greenhouse management, nursery stock management, turf management, gardening, large and small fruit culture, landscaping, and floristry. A classroom, greenhouse, and tool storage room are designated to horticulture. Upon completion of the course, the inmate will have completed 1440 hours in classroom instruction and hands on training. The program is in session Monday through Thursday from 7:00 a.m. to 4:00 p.m. with one hour for lunch, and can accommodate up to 15 inmates at a time.

This program offers a state certificate in horticulture and landscaping through the Career and Technical Education Department of Arkansas. Vo-tech also sponsors one NCCER Safety and Tool Management class. The Horticulture class is currently working to grow all the hedge plants for the entire ADC system.

During the visit, 225 inmates were participating in academic education and 14 in Vo-tech. Thirty-nine inmates received their GED in 2016, and 251 inmates were without a GED.

Social Services:

The provision of social services at the North Central Unit is provided through the combined efforts of the chaplain/religious volunteers and education and mental health staff.

There is an active religious program at NCU and services are provided through traditional and small group formats. The Chaplain also sponsors the volunteer led Think Legacy Program, which prepares inmates for release. The Principles and Applications for Life program helps prepare the inmate to be a productive citizen.

Vocational Education offers a 1440 hour state certificate in horticulture and landscaping through the Career and Technical Education Department of Arkansas. Mental Health staff offer self-study groups in Anger Management, Stress Management, Thinking Errors, Communication Skills, Domestic Violence, Substance Abuse Education, and Parenting. The Mental Health department is also available to provide counseling and other mental health services to inmates experiencing behavioral, emotional, and/or adjustment problems.

NCU also values the self-help/mutual help Alcoholics Anonymous support group offered through community volunteers. The group meets bi-weekly and follows the 12-step recovery format.

Visitation:

The North Central Unit provides a large contact visitation area, located at the front of the main building/administration area. Inmates are allowed to visit between the hours of 12:00 to 4:00 p.m. on Saturday, Sundays, and Holidays that fall on the weekend. Visitors must contact the inmate prior to visiting because this institution is on a rotating schedule. Class one inmates (approximately half of the population) are scheduled for visits every Saturday or Sunday depending on the first letter of their name. The rest of the population may visit every other weekend, as per the first letter of their last name. There are no visits on the 5th Saturday of the month.

Special visits may be approved, in advance, by the warden or deputy warden to take place during weekdays for those who live more than 300 miles from the unit.

Attorney visits may also be approved and are scheduled during normal business hours in the warden's conference room. The contact visiting room can accommodate up to 588 individuals at a time, and provides a children's area where a television and DVD player are available, a variety of vending machines which include soda and snack and cold sandwich machines for visitors who are allowed to take in up to \$50.00.

Restrooms and a limited number of lockers are available for visitors' use inside the lobby area. Four non-contact visiting stations are available for RH inmates. Non-contact visitation is by appointment only, once a month, for two hours.

Visits are limited to four approved adults or a grouping of two adults and multiple children. All staff and visitor's items are searched, everyone is subject to a pat search, and they must clear through the metal detector prior to entry. All visitors must submit to a background check prior to being allowed to visit. All visitors are registered biometrically and must scan their fingerprint and show positive picture I.D. prior to each visit. The space for visiting appears to be large enough to serve the population. A photo program is available to inmates and visitors offering one photograph for \$3.00 or two for \$5.00, all funds go into the employee corporation fund.

Library Services:

The North Central Unit leisure and law library is managed by Recreation Specialist/Coach Moss. Two inmate library clerks staff the library and two inmate law library clerks work to gather the legal information requested. A master librarian from ADC central office is available to NCU staff when needed.

The leisure library is stocked with approximately 7422 fiction, non-fiction, and reference books, periodicals, and newspapers. A selection of Spanish books was available to accommodate the population. An inter-library loan program is in place between NCU and Calico Rock Library, other ADC facility libraries, and the State Library. The leisure library is open seven days a week, including evenings and weekends. Its daytime hours are from 8:00 a.m. to 4:30 p.m. which is an open time for inmates to come in. Each barracks is scheduled on rotation for evening hours from 8:00 p.m. to 10:00 p.m. Restricted housing inmates have access to library books using a request form, books are delivered on Mondays.

Inmates can check out up to four books for two weeks; inmates noted to be using and returning books properly may be allowed to check out more as requested. Inmates are also allowed to receive new books, magazines, and newspapers directly from approved publishers.

The visiting committee observed a variety of books throughout the living units, including restricted housing.

Law library materials are available through a set of law books and a Western Law program; one computer station is available for inmate use one hour at a time. The law library is available by appointment only. Inmates may also request law work, law cases, and other law library materials by using a Request for Interview form. Copies are available at a rate of \$.05 per page, notary services are available through the shift supervisors, legal clerks provide legal typing services, supplies can be purchased through commissary, and supplies and postage are provided to indigent inmates.

Laundry:

There is one centralized laundry area at the North Central Unit that operates seven days a week from 5:30 a.m. to 5:30 p.m. Staffing includes one supervisor, four staff, and up to 37 assigned inmates on two shifts. Inmates are issued three sets of clothing, a set of linens, and a laundry bag upon arrival at the facility; additional clothing may be purchased from the commissary. NCU inmates wear all whites, which are assigned and tagged with the inmate's name and number.

Clothing can be laundered daily, sheets once a week, and blankets once a month. Large laundry bags hang at the front of each barracks for soiled items. Each barracks assigns picket men to deliver clothes. An exchange schedule was in place and posted. All inmates observed were wearing clean clothing, which appeared to be in good condition.

Laundry equipment consists of five 100 pound commercial washers, five 100 pound commercial dryers, four presses, and three sewing machines. Chemicals are issued and manually added to the machines and were controlled and inventoried. It was reported that lint traps are being checked after every load. Mattresses and pillows are sanitized upon issue and return, and may be replaced once a year. Two rolls of toilet paper, one bar of soap and two state issued razors are provided weekly during hygiene issue. Razors are issued on a one-for-one exchange. Additional hygiene items may be purchased through commissary.

F. Examination of Records

Following the facility tour, the visiting committee proceeded to the warden's conference room to review the accreditation files and evaluate compliance levels of the policies and procedures. Accreditation files were setup for review electronically and a book of checklists were provided to each auditor for comment and signature. Staff was very responsive to questions and quickly provided additional documentation, as needed.

The facility follows Arkansas Department of Correction Administrative Regulations and North Central Unit policies and procedures. Because the Education Department was currently accredited by the Correctional Education Association (CEA), ACA Standards and Accreditation Director Bridget Bayless-Curren provided a memorandum dated August 12, 2015, stating the Commission on Accreditation accepted CEA and exempted the ACA Education Standards from review.

A review of five inmate case records revealed uniformity and thorough documentation. Five staff personnel and medical files were also reviewed; documentation was thorough, complete, and in locked file cabinets.

The facility had zero notices of non-compliance with local, state, or federal laws or regulations.

1. Litigation

Over the last three years, the facility had no consent decrees, class action lawsuits or adverse judgments.

2. Significant Incidents/Outcome Measures

The visiting committee reviewed the North Central Unit Significant Incident Summary for the period of January through December 2016. The numbers reflected in the report are consistent with the overall mission and security level of the facility. There were no reported assaults with a weapon, no disturbances, no escapes, and only 36 substantiated grievances. Two offenders were placed in a chair restraint in July 2016; both were due to behavior issues/breaking sprinkler heads. The warden authorized both restraints, which were documented every 15 minutes until release.

The healthcare member reviewed the North Central Unit Health Outcome Measures for three years. Health Care Outcome Measures for the period of January through December 2016 are attached below.

Review of the Healthcare Outcome Measures presented indicated one instance of MRSA and one instance of new converters on the TB test or latent TB. Eight completed treatment for latent TB infection. During the past 12 months, numbers reported with Hep C were average at about 15% of the population infected.

Only four inmates were being treated with HAART and all had viral loads of less than 50 cps/ml. During the past year, 39 inmates were transported for off-site emergency medical treatment and 765 specialty consults were ordered. Nine diabetic inmates had HbA1c readings above nine and only 8% of the total HTN inmates reviewed had B/P readings above 140/90. No health care staff had lapsed license, occupational blood exposure, or TB conversion.

There were nine substantiated inmate grievances related to healthcare and out of the 111 filed over the course of the year. This was discussed with the HSA and CCS Regional Director who both indicated that appealed grievances are answered at the HQ level. At times grievances are substantiated outside of the rules set for receipt of grievances, such as having a rule that only three complaints can be placed in a sick call to be addressed, then when the inmate had four complaints and grieved that only three were addressed, the grievance was granted and the fourth had to be addressed. This type of inconsistency in addressing grievances can be manipulated by the inmates increasing both the number of grievances found and the number found substantiated. There were no safety or sanitation grievances filed and four adjudicated inmate lawsuits related to healthcare. There were also no high risk events, inmate suicides or unexpected medical deaths.

There were four expected medical related deaths that occurred during the audit cycle. Each of the deaths occurred at the hospital and were due to end stage COPD, large cell lymphoma, end stage Hep C with leukemia, and post-surgery aortic graft infection. It is noted that fourteen QA/QI studies were conducted with six problems corrected. The number of completed staff TB tests was initially reported as 32, but that only includes the medical staff who were tested. The number increased to 239 when the security staff who were tested were added.

3. Departmental Visits

The visiting committee revisited the following departments to review conditions relating to departmental policy and operations:

<u>Department Visited</u>	<u>Person(s) Contacted</u>
Administration	Stephen Williams, Warden Billy Inman, Deputy Warden Kelly Hatman, ACA Manager Sandra Kennedy, State Regional Accreditation Mgr Dexter Payne, Deputy Director
Chaplain	Patrick McCown, Chaplain/Volunteer Coordinator
Education	Kimberly Duffie, Teacher
Food Service	Dustan Foret, Supervisor
Human Resources	Michael Smith, H.R. Director Diane Siddons, Admin. Assistant
Fire Safety	Sgt. Hunter Boles, Fire & Safety

Maintenance	Danny Blankenship, Maintenance Supervisor Rusty McFarren, Asst. Maintenance Supervisor Tim Watson, Corporal
Medical	Marjorie Hall, Health Services Administrator Bernard Williams, CCS Regional Director Ronald Hill, Dentist Lindsay Hilton, Dental Assistant Susan Hutson, Director of Nurses
Mental Health Programs	Andrew Schubert, LCSEW, Mental Health Lori Holst, Rehabilitation Program Manager Candace Seay, Visitation Clerk
Recreation Security	John Moss, Recreation Supervisor Scott Ward, Corporal, Key Control/Lock Shop Lyndal Cooper, Captain David Knott, Major Chris Brandon, Lieutenant Richard Cohoon, Corporal, Dorm Officer John Downing, Lieutenant, Shift Commander Ronald Gillihan, Captain, STG Ruby Tolar, Sergeant, Area Supervisor Jeremy Cottrell, ERT Team Leader
Services	Karen Payne, Grievance Manager

4. Shifts

The North Central Unit operates on two twelve hour shifts with a 15 minute briefing prior to the start of the shift.

a. First Shift: 6:30 a.m. to 6:30 p.m.

The visiting committee was present at the facility during the first shift on Tuesday, Wednesday, and Thursday. Most of this shift was spent touring the facility; speaking to department supervisors, staff, and inmates; verifying protocols; observing count; observing the serving of a lunch meal; checking key, tool, caustic and chemical control; and assessing conditions of confinement.

Inmates were observed programming, working, and enjoying leisure time. The medical auditor observed the distribution of medication. Tuesday evening the 6:00 p.m. Pentecostal Study group being led by two certified volunteers was observed by the audit chair; a large group of inmates were in attendance and the inmate choir was singing. The medical auditor attended a Think Legacy session in the visitation area, which discussed interviewing by potential employers. Post orders were reviewed and found to be current and signed by staff.

The visiting team observed the oncoming second shift briefing at 6:15 p.m. on Tuesday; one lieutenant, four sergeants, and 22 officers reported for duty with one call-in. Two team members spoke to the on-coming shift regarding the processes and observations that day. Officers were recognized for the good job they do at NCU.

b. Second Shift: 6:30 p.m. to 6:30 a.m.

The visiting committee was present at the facility during the second shift from 6:30 p.m. to 9:30 p.m. Tuesday. The visiting committee visited with the second shift, worked on mandatory files, and was driven around the perimeter on the way out to observe lighting at night. Lighting appeared adequate, but it was a little dark on the backside of restricted housing.

c. Administrative Shift: 8:00 a.m. to 4:30 p.m. Monday - Friday

The team was present at the facility during the administrative shift Tuesday through Thursday. Many of the officers on this shift assisted the team by scribing, escorting, and providing information.

5. Status of Previously Non-compliant Standards/Plans of Action for which a waiver was not granted.

There were no non-compliant reported in 2014.

G. Interviews

During the course of the audit, the visiting committee met with both staff and inmates to verify observations and/or to clarify questions concerning facility operations.

1. Inmate Interviews

The visiting committee spoke with approximately 70 inmates during the course of the audit. Most inmates stated they felt safe in their environment and NCU was a good place to do their time. Most inmates felt that staff was attentive to their needs in providing assistance and services. The majority of the inmates stated that the food was okay for prison food and there were no real complaints presented. Visiting is limited due to the facility's location. Several inmates expressed their wish for more Vo-tech classes.

2. Staff Interviews

The team spoke with approximately 81 staff during the course of the audit. Each employee displayed a high level of professionalism and pride. Staff had a positive approach to the work they do and they were very proud to show us what they do and discuss the facility's operation.

Staff stated they felt safe in their working environment and enjoy working at NCU. The morale appeared to be good.

H. Exit Discussion

The exit interview was held April 6, 2017, at 11:05 a.m. in the visiting room with Warden Stephen Williams and 23 North Central Unit staff in attendance.

The following persons from outside the facility were also in attendance:

Dexter Payne, Deputy Director Pine Bluff
Sandra Kennedy, ADC Internal Auditor/Agency Accreditation Manager
Tammy Robertson, Pine Bluff ACA Manager
Linda Gibson, Central Office Fire & Safety Manager
Solomon Graves, Central Office
Sherri McEven, Grimes Unit
Virginia Robin, Newport
Garrett Scifres, ADC IT Dept.
Bernard Williams, Correct Care Solutions Regional Manager
Dona Gorden, Correct Care Solutions

The chairperson expressed appreciation for the hospitality and cooperation of everyone involved. The visiting team provided an overview of their observations and findings and thanked the staff for their dedication and efforts in providing a variety of programs to keep the inmates busy.

The team discussed the compliance levels of the mandatory and non-mandatory standards. The chairperson explained that the visiting committee is the eyes and ears of the commission, and the Commission on Accreditation for Corrections determines and awards accreditation at the scheduled panel hearing. The procedures that would follow the completion of the audit were explained.

The chairperson again thanked and congratulated the North Central Unit staff for the progress made and encouraged them to continue to strive toward even further professionalism within the correctional field as they begin the new three audit cycle.

COMMISSION ON ACCREDITATION FOR CORRECTIONS
AND THE
AMERICAN CORRECTIONAL ASSOCIATION

COMPLIANCE TALLY

Manual Type	Adult Correctional Institutions, 4 th Edition	
Supplement	2014 Standards Supplement	
Facility/Program	Arkansas Department of Correction North Central Unit	
Audit Dates	April 4-6, 2017	
Auditor(s)	Laura C. Tafoya, Chairperson Angela McKinney Grant, Member Anita A. Carnell, Healthcare Member	
	MANDATORY	NON-MANDATORY
Number of Standards in Manual	62	463
Number Not Applicable	6	41
Number Applicable	56	422
Number Non-Compliance	0	2
Number in Compliance	56	420
Percentage (%) of Compliance	100%	99.5%
<ul style="list-style-type: none"> • Number of Standards <i>minus</i> Number of Not Applicable <i>equals</i> Number Applicable • Number Applicable <i>minus</i> Number Non-Compliance <i>equals</i> Number Compliance • Number Compliance <i>divided by</i> Number Applicable <i>equals</i> Percentage of Compliance 		

COMMISSION ON ACCREDITATION FOR CORRECTIONS
STANDARDS COMPLIANCE REACCREDITATION AUDIT

Arkansas Department of Corrections
North Central Unit
Calico Rock, Arkansas

April 4-6, 2017

Visiting Committee Findings

Non-Mandatory Standards

Non-Compliance

Standard #4-4062

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT 1) EMPLOYEES WHO HAVE DIRECT CONTACT WITH INMATES RECEIVE A PHYSICAL EXAMINATION PRIOR TO JOB ASSIGNMENT. 2) ALL OTHER EMPLOYEES RECEIVE A MEDICAL SCREENING PRIOR TO JOB ASSIGNMENT. 3) EMPLOYEES RECEIVE REEXAMINATIONS ACCORDING TO A DEFINED NEED OR SCHEDULE.

COMMENT: STAFF WHOSE RESPONSIBILITIES INCLUDE SECURITY AND CONTROL OR REGULAR DIRECT CONTACT WITH INMATES MUST HAVE PHYSICAL EXAMINATIONS TO PROTECT THEIR HEALTH AND ENSURE THAT THEY CAN CARRY OUT THEIR ASSIGNMENTS EFFECTIVELY. THE BASIC HEALTH STATUS OF ALL EMPLOYEES SHOULD BE EVALUATED AGAINST THE SPECIFIC REQUIREMENTS OF THEIR ASSIGNMENTS. PHYSICAL EXAMINATION AND SCREENING PROCEDURES MAY BE ESTABLISHED BY THE APPROPRIATE MEDICAL AUTHORITY IN ACCORDANCE WITH APPLICABLE LAWS AND REGULATIONS.

FINDINGS:

After reviewing ADC North Central Unit file protocols and process indicators, interviewing the Institutional Human Resources Coordinator who consulted with ADC Central Office HR staff, and reviewing additional forms and processes, it was determined that the full intent of ACA Standard 4-4062 may not be completely met for “all employees who have direct contact with inmates.” Only CO’s and food service staff receive a physical assessment (abilities/limitations), is questionable whether this constitutes a physical examination.

The initial medical screening process for “all other employees” should suffice. *The written policy use the word “may” for physical assessments and does not differentiate between direct contact and non-direct contact for specific standard requirements, and does not address reexaminations and TB annual requirements for those who do not have direct contact.

*Written Policy and Procedure: Arkansas Department of Correction Administrative Directive 16-26 (CO Training, Basic Training) and 16-02 (Employment) states, “Pre-employment screenings as **may be required** by department policy or procedure will be conducted prior to final review and approval of selectee. Such screenings **may include**, but are not limited to; 1) a drug test, 2) a physical assessment, 3) a tuberculosis test, and 4) the ability to perform essential job functions.”

Standard Requirement one - Direct Inmate Contact/Physical Examination: As defined in the Supplement, direct care staff is any staff member who routinely has contact with the inmate population (i.e., program staff, teachers, classification, unit case managers and unit managers, commissary, grievance/hearing, recreation, library, chaplain, medical, mental health, institutional parole officer, wardens, etc. and may possibly include volunteers). NCU Practice as explained by HR Coordinator Mike Smith and Internal Audits Sandra Kennedy and Administrative Directive 16-26 (CO Training, Basic Training, Rehires): Only those in a position classification of major, captain, lieutenant, sergeant, correctional officer, and food preparation supervisor and manager are required to receive a physical assessment (abilities/limitations). Prior to the training academy, cadets fill-out a 1) Medical Questionnaire; 2) Are administered a Post-Offer Preplacement Essential Job Function Physical Assessment Test by central office staff which documents the heart rate and blood pressure; and 3) They must receive an initial TB test by their own personal doctor or the Health Department. A physical assessment is then conducted every two years thereafter by a trained/certified ADC staff member for these classifications (AD 13-30), and an annual TB test by the facility medical provider, but the policies reviewed did not outline the annual TB requirement(s).

Standard Requirement two - Non Direct Contact/Medical Screening: All other employees receive a medical screening prior to job assignment. Practice as explained by HR Coordinator Mike Smith and Internal Audits Sandra Kennedy: Perspective applicants checks yes or no to 11 dexterity questions on the Essential Job Function Questionnaire. It was explained that if someone checks yes, then they would be required to see their personal doctor for further review. An initial TB test must be obtained by their own personal doctor or the Health Department, and an annual TB test by the facility medical provider; annual TB testing is not outlined in policy. [The Agency Response states, ADC policies do not require a physical examination after one year as originally explained].

Standard Requirement three - Reexaminations: AD 13-30 addresses reexaminations every two years for officers only. File documentation: A medical release was provided for an employee who returned to work after a prolonged absence for health reasons, which does covers the need for reexamination, but the policy was not provided and should be added for this exam/release process.

AGENCY RESPONSE:

Appeal

It is the policy of the Arkansas Department of that all employees receive a medical screening prior to job assignment. Security staff employees receive a physical assessment prior to employment in addition to a TB test from their doctor or the local Health Department. The physical assessments are conducted per Administrative Directive 16-26 (Correctional Officer Training, Basic Training, Rehires), page three which states, "Pre-employment screenings, as may be required by department policy or procedure, will be conducted prior to final review and approval of selectee. Such screenings may include, but not be limited to a test for drugs, physical assessments, tuberculosis, background investigations and the ability to perform essential job functions". Administrative Directive 13-30 (Physical Assessments for Current Employees), incumbent security employees will be required to perform a physical assessment test every two years after being hired [Auditor Note: AD 13-30 para III only covers security and Food Prep Supv. & Mgr]. All other employees will fill out an essential job questionnaire and present a TB test from either their doctor or the local Health Department. All employees will receive TB medical screening annually by the department.

Requirement One - Auditor states that Classification of major, captain, lieutenant, sergeant, correctional officer and food preparation staff fill out a medical questionnaire. These positions must pass a physical assessment, the medical questionnaire (Essential Job Function, is filled out by those positions classified as non-security).

Requirement Two - **Non-security personnel are not required by policy to receive an annual physical. This is available and affords personnel, security and non-security the opportunity to receive discounts on their medical insurance and is not required by the standard.

Requirement Three - The standard does not call for follow-up for staff that returned to work after a prolonged absence. They are required to have an essential job function paperwork filled out by their physician and a release from the physician stating that staffs are able to return to full job duties. Therefore, we respectfully request a waiver for this standard since the intent of the standard is being met by agency policy.

AUDITOR'S RESPONSE:

After review of initial and additional Administrative Directives/policies provided by ADC North Central Unit staff (AD14-02, AD 13-30, AD 16-26), it is still determined that a physical examination (physical assessment) is not provided to all staff who have direct contact with inmates (i.e., program staff, teachers, classification, unit case managers and unit managers, commissary, grievance/hearing, recreation, library, chaplain, medical, mental health, institutional parole officer, wardens, etc. and may possibly include volunteers).

The Major, Capt., Lt., Sgt., CO's, and Food Preparation Supervisor and Manager classifications are currently the only staff required to receive a physical assessment in accordance with AD 16-26. **Because the agency policy does not require non-security personnel who have direct inmate contact receive a physical examination, does not exempt them from the ACA Standard requirement.

It is recommended that the non-compliant finding be upheld and a Plan of Action be submitted to come into compliance with those employees who have direct contact with inmates.

Standard #4-4134

EACH INMATE CONFINED TO A CELL/ROOM FOR TEN OR MORE HOURS DAILY IS PROVIDED A SLEEPING AREA WITH THE FOLLOWING: A SLEEPING SURFACE AND MATTRESS AT LEAST 12 INCHES OFF OF THE FLOOR; A WRITING SURFACE AND PROXIMATE AREA TO SIT; STORAGE FOR PERSONAL ITEMS; AND ADEQUATE STORAGE SPACE FOR CLOTHES AND PERSONAL BELONGINGS.

EACH INMATE CONFINED TO A CELL/ROOM FOR LESS THAN TEN HOURS DAILY IS PROVIDED A SLEEPING AREA WITH THE FOLLOWING: A SLEEPING SURFACE AND MATTRESS AT LEAST 12 INCHES OFF OF THE FLOOR; STORAGE FOR PERSONAL ITEMS; AND ADEQUATE STORAGE SPACE FOR CLOTHES AND PERSONAL BELONGINGS.

FINDINGS:

The Arkansas Department of Correction North Central Unit's 29 cells/59 beds in Restricted Housing do not provide storage for personal items and adequate storage space for clothes and personal belongings as required by the standard. Each cell contains a cinderblock desk, cinderblock base/bunk bed, and toilet/sink combo.

ADC Administrative Directive 17-02 (Restrictive Housing) provides for regular mail, limited commissary purchases, appropriate issued clothing, legal materials, and a reasonable amount of reading material and education material in Restricted Housing. AD 16-20 (Punitive Segregation/Restriction) does not allow inmates sentenced to punitive segregation personal property. During the visit, the twenty-nine inmates in Restricted Housing were assigned as follows: six disciplinary court review, four investigative, 15 punitive, two administrative segregation temporary, one administrative review, and one protective custody. The visiting committee observed clothing and items on the empty top bunk and reading and writing materials on the desk; but no shelf, cubbies, storage boxes, or closets were present to store the items.

AGENCY RESPONSE:

Plan of Action

Please explain completely the corrective action that will be taken to comply with the standard:

We propose the purchase of an 18 x 24 mesh type bag with a Velcro closure. An eight inch piece of string will be sewn to the bag as a loop. This will allow the inmate to run the bag back through the loop so the bag can be affixed to a designated area on the cell bars. The designated area will be low enough on the cell bars so not to obstruct the officer's line of sight, yet high enough not to touch the floor. The bags will be tagged for identification purposes by cell number and the letters "A" and "B" in the event the cell is occupied by two inmates. It is our belief that due to the limited number of items that an inmate is allowed to possess while assigned to Restrictive Housing, this will provide the inmate with adequate storage as well as maintain the level of security expected for this area.

The cost of the bags will be \$3.82 per bag. The name tag material is 0.28¢, per yard. One yard will complete approximately three name tags. Combining these costs, the price per bag will be approximately \$3.91.

In the order of anticipated completion dates, list the tasks necessary to achieve compliance, the responsible agency (including parent agency), and assigned staff member.

Task

- a. Purchase bags and name tag material
- b. Have laundry sew loops and name tags to bags
- c. Make bags available to inmates assigned to Restrictive Housing

Responsible Agency

- a. North Central Unit

Assigned Staff

- a. Laundry Department
- b. Restrictive Housing Supervisor

Anticipated Completion Date

- a. July of 2017



AUDITOR'S RESPONSE:

The visiting committee recommends the approval of the proposed bags for storage of personal items in the Restricted Housing Unit cells. If for some reason one bag does not accommodate storage of all items for one inmate, a second bag could be issued.

COMMISSION ON ACCREDITATION FOR CORRECTIONS
STANDARDS COMPLIANCE REACCREDITATION AUDIT

Arkansas Department of Corrections
North Central Unit
Calico Rock, Arkansas

April 4-6, 2017

Visiting Committee Findings

Mandatory Standards

Not Applicable

Standard #4-4353

REVISED JANUARY 2003. (MANDATORY) IF FEMALE INMATES ARE HOUSED, ACCESS TO PREGNANCY MANAGEMENT IS SPECIFIC AS IT RELATES TO THE FOLLOWING:

- PREGNANCY TESTING
- ROUTINE PRENATAL CARE
- HIGH-RISK PRENATAL CARE
- MANAGEMENT OF THE CHEMICALLY ADDICTED PREGNANT INMATE
- POSTPARTUM FOLLOW-UP
- UNLESS MANDATED BY STATE LAW, BIRTH CERTIFICATES/ REGISTRY DOES NOT LIST A CORRECTIONAL FACILITY AS THE PLACE OF BIRTH

FINDINGS:

The ADC North Central Unit does not house female inmates.

Standard #4-4362

REVISED JANUARY 2006 (MANDATORY). INTAKE MEDICAL SCREENING FOR OFFENDER TRANSFERS, EXCLUDING INTRASYSTEM, COMMENCES UPON THE OFFENDER'S ARRIVAL AT THE FACILITY AND IS PERFORMED BY HEALTH TRAINED OR QUALIFIED HEALTH CARE PERSONNEL. ALL FINDINGS ARE RECORDED ON A SCREENING FORM APPROVED BY THE HEALTH AUTHORITY. THE SCREENING INCLUDES AT LEAST THE FOLLOWING:

INQUIRY INTO:

- ANY PAST HISTORY OF SERIOUS INFECTIOUS OR COMMUNICABLE ILLNESS, AND ANY TREATMENT OR SYMPTOMS (FOR EXAMPLE, A CHRONIC COUGH, HEMOPTYSIS, LETHARGY, WEAKNESS, WEIGHT LOSS, LOSS OF APPETITE, FEVER, NIGHT SWEATS THAT ARE SUGGESTIVE OF SUCH ILLNESS), AND MEDICATIONS
- CURRENT ILLNESS AND HEALTH PROBLEMS, INCLUDING COMMUNICABLE DISEASES
- DENTAL PROBLEMS
- USE OF ALCOHOL AND OTHER DRUGS, INCLUDING TYPE(S) OF DRUGS USED, MODE OF USE, AMOUNTS USED, FREQUENCY USED, DATE OR TIME OF LAST USE, AND HISTORY OF ANY PROBLEMS THAT MAY HAVE OCCURRED AFTER CEASING USE (FOR EXAMPLE CONVULSIONS)
- THE POSSIBILITY OF PREGNANCY AND HISTORY OF PROBLEMS (FEMALE ONLY); AND OTHER HEALTH PROBLEMS DESIGNATED BY THE RESPONSIBLE PHYSICIAN

OBSERVATION OF THE FOLLOWING:

- BEHAVIOR, INCLUDING STATE OF CONSCIOUSNESS, MENTAL STATUS, APPEARANCE, CONDUCT, TREMOR, AND SWEATING
- BODY DEFORMITIES, EASE OF MOVEMENT, AND SO FORTH
- CONDITION OF SKIN, INCLUDING TRAUMA MARKINGS, BRUISES, LESIONS, JAUNDICE, RASHES AND INFESTATIONS, RECENT TATTOOS, AND NEEDLE MARKS OR OTHER INDICATIONS OF DRUG ABUSE

MEDICAL DISPOSITION OF THE OFFENDER:

- GENERAL POPULATION
- GENERAL POPULATION WITH PROMPT REFERRAL TO APPROPRIATE HEALTH CARE SERVICE
- REFERRAL TO APPROPRIATE HEALTH CARE SERVICE FOR EMERGENCY TREATMENT

OFFENDERS WHO ARE UNCONSCIOUS, SEMICONSCIOUS, BLEEDING OR OTHERWISE OBVIOUSLY IN NEED OF IMMEDIATE MEDICAL ATTENTION ARE REFERRED. WHEN THEY ARE REFERRED TO AN EMERGENCY DEPARTMENT, THEIR ADMISSION OR RETURN TO THE FACILITY IS PREDICATED ON WRITTEN MEDICAL CLEARANCE. WHEN SCREENING IS CONDUCTED BY TRAINED CUSTODY STAFF, PROCEDURES WILL REQUIRE A SUBSEQUENT REVIEW OF POSITIVE FINDINGS BY THE LICENSED HEALTH CARE STAFF. WRITTEN PROCEDURES AND SCREENING PROTOCOLS ARE ESTABLISHED BY THE RESPONSIBLE PHYSICIAN IN COOPERATION WITH THE FACILITY MANAGER. INMATES CONFINED WITHIN A CORRECTIONAL COMPLEX WITH CONSOLIDATED MEDICAL SERVICES DO NOT REQUIRE HEALTH SCREEING FOR INTRA-SYSTEM TRANSFERS.

FINDINGS:

The ADC North Central Unit only receives intra-system transfers and not inter-system.

Standard #4-4365

REVISED JANUARY 2006 (MANDATORY). A COMPREHENSIVE HEALTH APPRAISAL FOR EACH OFFENDER, EXCLUDING INTRA-SYSTEM TRANSFERS, IS COMPLETED AS DEFINED BELOW, AFTER ARRIVAL AT THE FACILITY. IF THERE IS DOCUMENTED EVIDENCE OF A HEALTH APPRAISAL WITHIN THE PREVIOUS NINETY DAYS, A NEW HEALTH APPRAISAL IS NOT REQUIRED, EXCEPT AS DETERMINED BY THE DESIGNATED HEALTH AUTHORITY. HEALTH APPRAISALS INCLUDE THE FOLLOWING:

WITHIN 14 DAYS AFTER ARRIVAL AT THE FACILITY:

- REVIEW OF THE EARLIER RECEIVING SCREEN
- COLLECTION OF ADDITIONAL DATA TO COMPLETE THE MEDICAL, DENTAL, MENTAL HEALTH, AND IMMUNIZATION HISTORIES
- LABORATORY OR DIAGNOSTIC TESTS TO DETECT COMMUNICABLE DISEASE, INCLUDING VENEREAL DISEASE AND TUBERCULOSIS *
- RECORD OF HEIGHT, WEIGHT, PULSE, BLOOD PRESSURE, AND TEMPERATURE
- OTHER TESTS AND EXAMINATIONS AS APPROPRIATE

WITHIN 14 DAYS AFTER ARRIVAL FOR INMATES WITH IDENTIFIED SIGNIFICANT HEALTH CARE PROBLEMS:

- MEDICAL EXAMINATION, INCLUDING REVIEW OF MENTAL AND DENTAL STATUS (FOR THOSE INMATES WITH SIGNIFICANT HEALTH PROBLEMS DISCOVERED ON EARLIER SCREENING SUCH AS CARDIAC PROBLEMS, DIABETES, COMMUNICABLE DISEASES, AND SO FORTH)
- REVIEW OF THE RESULTS OF THE MEDICAL EXAMINATION, TESTS, AND IDENTIFICATION OF PROBLEMS BY A HEALTH CARE PRACTITIONER OR OTHER QUALIFIED HEALTH CARE PROFESSIONAL, IF SUCH IS AUTHORIZED IN THE MEDICAL PRACTICE ACT
- INITIATION OF THERAPY WHEN APPROPRIATE
- DEVELOPMENT AND IMPLEMENTATION OF TREATMENT PLAN, INCLUDING RECOMMENDATIONS CONCERNING HOUSING, JOB ASSIGNMENT, AND PROGRAM PARTICIPATION

WITHIN 30 DAYS AFTER ARRIVAL FOR INMATES WITHOUT SIGNIFICANT HEALTH CARE PROBLEMS:

- MEDICAL EXAMINATION, INCLUDING REVIEW OF MENTAL AND DENTAL STATUS (FOR THOSE INMATES WITHOUT SIGNIFICANT HEALTH CARE CONCERNS IDENTIFIED DURING EARLIER SCREENING-- NO IDENTIFIED ACUTE OR CHRONIC DISEASE, NO IDENTIFIED COMMUNICABLE DISEASES, AND SO FORTH)
- REVIEW OF THE RESULTS OF THE MEDICAL EXAMINATION, TESTS, AND IDENTIFICATION OF PROBLEMS BY A HEALTH CARE PRACTITIONER OR OTHER QUALIFIED HEALTH CARE PROFESSIONAL, IF SUCH IS AUTHORIZED IN THE MEDICAL PRACTICE ACT
- INITIATION OF THERAPY, WHEN APPROPRIATE
- DEVELOPMENT AND IMPLEMENTATION OF TREATMENT PLAN, INCLUDING RECOMMENDATIONS CONCERNING HOUSING, JOB ASSIGNMENT, AND PROGRAM PARTICIPATION

INTERPRETATION JAN. 2004. THE CRITERION FOR TESTING FOR VENEREAL DISEASES IS AT THE DISCRETION OF THE AGENCY'S/FACILITY'S HEALTH AUTHORITY.

FINDINGS:

The ADC North Central Unit only receives intra-system transfers and not inter-system.

Standard #4-4371

REVISED JANUARY 2006 (MANDATORY). ALL INTERSYSTEM OFFENDER TRANSFERS WILL UNDERGO A MENTAL HEALTH APPRAISAL BY A QUALIFIED MENTAL HEALTH PROFESSIONAL WITHIN FOURTEEN DAYS OF ADMISSION TO A FACILITY. IF THERE IS DOCUMENTED EVIDENCE OF A MENTAL HEALTH APPRAISAL WITHIN THE PREVIOUS NINETY DAYS, A NEW MENTAL HEALTH APPRAISAL IS NOT REQUIRED, EXCEPT AS DETERMINED BY THE DESIGNATED MENTAL HEALTH AUTHORITY. MENTAL HEALTH APPRAISALS INCLUDE, BUT ARE NOT LIMITED TO:

- REVIEW OF AVAILABLE HISTORICAL RECORDS OF INPATIENT AND OUTPATIENT PSYCHIATRIC TREATMENT
- REVIEW OF HISTORY OF TREATMENT WITH PSYCHOTROPIC MEDICATION
- REVIEW OF HISTORY OF PSYCHOTHERAPY, PSYCHO-EDUCATIONAL GROUPS, AND CLASSES OR SUPPORT GROUPS
- REVIEW OF HISTORY OF DRUG AND ALCOHOL TREATMENT
- REVIEW OF EDUCATIONAL HISTORY
- REVIEW OF HISTORY OF SEXUAL ABUSE-VICTIMIZATION AND PREDATORY BEHAVIOR
- ASSESSMENT OF CURRENT MENTAL STATUS AND CONDITION

- ASSESSMENT OF CURRENT SUICIDAL POTENTIAL AND PERSON-SPECIFIC CIRCUMSTANCES THAT INCREASE SUICIDE POTENTIAL
- ASSESSMENT OF VIOLENCE POTENTIAL AND PERSON-SPECIFIC CIRCUMSTANCES THAT INCREASE VIOLENCE POTENTIAL
- ASSESSMENT OF DRUG AND ALCOHOL ABUSE AND/OR ADDICTION
- USE OF ADDITIONAL ASSESSMENT TOOLS, AS INDICATED
- REFERRAL TO TREATMENT, AS INDICATED
- DEVELOPMENT AND IMPLEMENTATION OF A TREATMENT PLAN, INCLUDING RECOMMENDATIONS CONCERNING HOUSING, JOB ASSIGNMENT, AND PROGRAM PARTICIPATION

FINDINGS:

The ADC North Central Unit only receives intra-system transfers and not inter-system.

Standard #4-4376

REVISED JANUARY 2006 (MANDATORY). DETOXIFICATION IS DONE ONLY UNDER MEDICAL SUPERVISION IN ACCORDANCE WITH LOCAL, STATE, AND FEDERAL LAWS. DETOXIFICATION FROM ALCOHOL, OPIATES, HYPNOTICS, OTHER STIMULANTS, AND SEDATIVE HYPNOTIC DRUGS IS CONDUCTED UNDER MEDICAL SUPERVISION WHEN PERFORMED AT THE FACILITY OR IS CONDUCTED IN A HOSPITAL OR COMMUNITY DETOXIFICATION CENTER. SPECIFIC GUIDELINES ARE FOLLOWED FOR THE TREATMENT AND OBSERVATION OF INDIVIDUALS MANIFESTING MILD OR MODERATE SYMPTOMS OF INTOXICATION OR WITHDRAWAL FROM ALCOHOL AND OTHER DRUGS.

FINDINGS:

The ADC North Central Unit does not conduct detoxification. Offenders manifesting mild or moderate symptoms of intoxication or withdrawal from alcohol and other drugs would be transferred to the appropriate facility.

Standard #4-4401

(MANDATORY) THE INVOLUNTARY ADMINISTRATION OF PSYCHOTROPIC MEDICATION(S) TO AN OFFENDER IS GOVERNED BY APPLICABLE LAWS AND REGULATIONS OF THE JURISDICTION. WHEN ADMINISTERED, THE FOLLOWING CONDITIONS MUST BE MET:

- AUTHORIZATION IS BY A PHYSICIAN WHO SPECIFIES THE DURATION OF THERAPY

- LESS RESTRICTIVE INTERVENTION OPTIONS HAVE BEEN EXERCISED WITHOUT SUCCESS AS DETERMINED BY THE PHYSICIAN OR PSYCHIATRIST
- DETAILS ARE SPECIFIED ABOUT WHY, WHEN, WHERE, AND HOW THE MEDICATION IS TO BE ADMINISTERED
- MONITORING OCCURS FOR ADVERSE REACTIONS AND SIDE EFFECTS
- TREATMENT PLAN GOALS ARE PREPARED FOR LESS RESTRICTIVE TREATMENT ALTERNATIVES AS SOON AS POSSIBLE

FINDINGS:

The ADC North Central Unit medical unit does not provide involuntary administration of psychotropic medication(s). Inmates requiring this type of care are housed at other ADC facilities.

COMMISSION ON ACCREDITATION FOR CORRECTIONS

Arkansas Department of Correction
North Central Unit
Calico Rock, Arkansas

Visiting Committee Findings

Non-Mandatory Standards

Not Applicable

Standard #4-4059

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT EMPLOYEES COVERED BY MERIT SYSTEMS, CIVIL SERVICE REGULATIONS, OR UNION CONTRACT ARE APPOINTED INITIALLY FOR A PROBATIONARY TERM OF AT LEAST SIX MONTHS BUT NO LONGER THAN ONE YEAR.

FINDINGS:

ADC North Central Unit staff is not covered by merit systems, civil service regulations, or union contracts. Arkansas is an at will state.

Standard #4-4137-1

ADDED JANUARY 2012 (NEW CONSTRUCTION AFTER JUNE 2014). INMATES HAVE ACCESS TO TOILETS AND HAND-WASHING FACILITIES 24-HOURS PER DAY AND ARE ABLE TO USE TOILET FACILITIES WITHOUT STAFF ASSISTANCE WHEN THEY ARE CONFINED IN THEIR CELLS/SLEEPING AREAS. TOILETS ARE PROVIDED AT A MINIMUM RATIO OF 1 FOR EVERY 12 INMATES IN MALE FACILITIES AND 1 FOR EVERY 8 INMATES IN FEMALE FACILITIES. URINALS MAY BE SUBSTITUTED FOR UP TO ONE-HALF OF THE TOILETS IN MALE FACILITIES. ALL HOUSING UNITS WITH 3 OR MORE INMATES HAVE A MINIMUM OF 2 TOILETS. THESE RATIOS APPLY UNLESS ANY APPLICABLE BUILDING OR HEALTH CODES REQUIRE ADDITIONAL FIXTURES.

FINDINGS:

ADC North Central Unit opened in 1990. There was no new construction of housing units after June 2014 (toilets and handwashing facilities).

Standard #4-4138-1

ADDED JANUARY 2012 (NEW CONSTRUCTION AFTER JUNE 2014). INMATES HAVE ACCESS TO OPERABLE WASHBASINS WITH HOT AND COLD RUNNING WATER IN THE HOUSING UNITS AT A MINIMUM RATIO OF 1 BASIN FOR EVERY 12 OCCUPANTS. THESE RATIOS APPLY UNLESS ANY APPLICABLE BUILDING OR HEALTH CODES REQUIRE ADDITIONAL FIXTURES.

FINDINGS:

ADC North Central Unit opened in 1990. There was no new construction of housing units after June 2014 (washbasins).

Standard #4-4139-1

ADDITION JANUARY 2012 (NEW CONSTRUCTION AFTER JUNE 2014). INMATES HAVE ACCESS TO OPERABLE SHOWERS WITH TEMPERATURE-CONTROLLED HOT AND COLD RUNNING WATER, AT A MINIMUM RATIO OF ONE SHOWER FOR EVERY TWELVE INMATES, UNLESS APPLICABLE CODES REQUIRE ADDITIONAL FIXTURES. WATER FOR SHOWERS IS THERMOSTATICALLY CONTROLLED TO TEMPERATURES RANGING FROM 100 DEGREES FAHRENHEIT TO 120 DEGREES FAHRENHEIT TO ENSURE THE SAFETY OF INMATES AND PROMOTE HYGIENIC PRACTICES.

FINDINGS:

ADC North Central Unit opened in 1990. There was no new construction of housing units after June 2014 (showers).

Standard #4-4147-2

ADDED JANUARY 2012 (NEW CONSTRUCTION OR RENOVATION AFTER JUNE 1, 2014). EACH DORMITORY PROVIDES INMATES WITH ACCESS TO NATURAL LIGHT BY MEANS OF AT LEAST 12 SQUARE FEET, PLUS TWO ADDITIONAL SQUARE FEET OF TRANSPARENT GLAZING PER INMATE IN THE DORMITORY.

FINDINGS:

ADC North Central Unit opened in 1990. There was no new construction or renovation of dormitories after June 1, 2014.

Standard #4-4143

WRITTEN POLICY, PROCEDURE, AND PRACTICE, PROVIDE FOR THE ASSIGNMENT OF APPROPRIATELY TRAINED INDIVIDUALS TO ASSIST DISABLED OFFENDERS WHO CANNOT OTHERWISE PERFORM BASIC LIFE FUNCTIONS.

FINDINGS:

ADC North Central Unit does not house inmates who cannot perform basic life functions.

Standard #4-4181

WRITTEN POLICY, PROCEDURE, AND PRACTICE REQUIRE THAT WHEN BOTH MALES AND FEMALES ARE HOUSED IN THE FACILITY, AT LEAST ONE MALE AND ONE FEMALE STAFF MEMBER ARE ON DUTY AT ALL TIMES.

FINDINGS:

ADC North Central Unit does not house female inmates.

Standard #4-4190-1

ADDED AUGUST 2008. WRITTEN POLICY, PROCEDURE, AND PRACTICE, IN GENERAL, PROHIBIT THE USE OF RESTRAINTS ON FEMALE INMATES DURING ACTIVE LABOR AND THE DELIVER OF A CHILD. ANY DEVIATION FROM THE PROHIBITION REQUIRES APPROVAL BY, AND GUIDANCE ON, METHODOLOGY FROM THE MEDIAL AUTHORITY AND IS BASED ON DOCUMENTED SERIOUS SECURITY RISKS. THE MEDICAL AUTHORITY PROVIDES GUIDANCE ON THE USE OF RESTRAINTS ON PREGNANT INMATES PRIOR TO ACTIVE LABOR AND DELIVERY.

FINDINGS:

ADC North Central Unit does not house female inmates.

Standard #4-4251

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT AN INMATE IS ADMITTED TO THE SEGREGATION UNIT FOR PROTECTIVE CUSTODY ONLY WHEN THERE IS DOCUMENTATION THAT PROTECTIVE CUSTODY IS WARRANTED AND NO REASONABLE ALTERNATIVES ARE AVAILABLE.

FINDINGS:

ADC North Central Unit reported that they do not house protective custody status inmates. This standard may have been overlooked and should have been compliant; during the visit there was one inmate housed in RH in a temporary PC status since 2/25/17. The NCU Inmate Handbook, Restricted Housing also states that RH can be used for protective custody.

Standard #4-4278

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT MALE AND FEMALE INMATES HOUSED IN THE SAME INSTITUTION HAVE SEPARATE SLEEPING QUARTERS BUT EQUAL ACCESS TO ALL AVAILABLE SERVICES AND PROGRAMS. NEITHER SEX IS DENIED OPPORTUNITIES SOLELY ON THE BASIS OF THEIR SMALLER NUMBER IN THE POPULATION.

FINDINGS:

ADC North Central Unit does not house female inmates.

Standard #4-4285

WRITTEN POLICIES AND PROCEDURES GOVERN THE ADMISSION OF INMATES NEW TO THE SYSTEM. THESE PROCEDURES INCLUDE AT A MINIMUM THE FOLLOWING:

- DETERMINATION THAT THE INMATE IS LEGALLY COMMITTED TO THE INSTITUTION
- THOROUGH SEARCH OF THE INDIVIDUAL AND POSSESSIONS
- DISPOSING OF PERSONAL PROPERTY
- SHOWERING AND HAIR CARE, IF NECESSARY
- ISSUING OF CLEAN, LAUNDERED CLOTHING AS NEEDED
- PHOTOGRAPHING AND FINGERPRINTING, INCLUDING NOTATION OF IDENTIFYING MARKS OR OTHER UNUSUAL PHYSICAL CHARACTERISTICS
- MEDICAL, DENTAL, AND MENTAL HEALTH SCREENING
- ASSIGNING TO HOUSING UNIT
- RECORDING BASIC PERSONAL DATA AND INFORMATION TO BE USED FOR MAIL AND VISITING LIST
- EXPLAINING OF MAIL AND VISITING PROCEDURES
- ASSISTING INMATES IN NOTIFYING THEIR NEXT OF KIN AND FAMILIES OF ADMISSION
- ASSIGNING OF REGISTERED NUMBER TO THE INMATE
- GIVING WRITTEN ORIENTATION MATERIALS TO THE INMATE
- DOCUMENTING ANY RECEPTION AND ORIENTATION PROCEDURE COMPLETED AT A CENTRAL RECEPTION FACILITY

FINDINGS:

ADC North Central Unit is not an intake facility.

Standard #4-4286

WRITTEN POLICY, PROCEDURE, AND PRACTICE REQUIRE THE PREPARATION OF A SUMMARY ADMISSION REPORT FOR ALL NEW ADMISSIONS. THE REPORT INCLUDES AT A MINIMUM THE FOLLOWING INFORMATION:

- LEGAL ASPECTS OF THE CASE
- SUMMARY OF CRIMINAL HISTORY, IF ANY
- SOCIAL HISTORY
- MEDICAL, DENTAL, AND MENTAL HEALTH HISTORY
- OCCUPATIONAL EXPERIENCE AND INTERESTS
- EDUCATIONAL STATUS AND INTERESTS
- VOCATIONAL PROGRAMMING
- RECREATIONAL PREFERENCE AND NEEDS ASSESSMENT
- PSYCHOLOGICAL EVALUATION
- STAFF RECOMMENDATIONS
- PRE-INSTITUTIONAL ASSESSMENT INFORMATION

FINDINGS:

ADC North Central Unit is not an intake facility.

Standard #4-4287

REVISED JANUARY 2006. WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE FOR A RECEPTION PROGRAM FOR NEW INMATES UPON ADMISSION TO THE CORRECTIONAL SYSTEM. EXCEPT IN UNUSUAL CIRCUMSTANCE, INITIAL RECEPTION AND ORIENTATION OF INMATES IS COMPLETED WITHIN 30 CALENDAR DAYS AFTER ADMISSION.

FINDINGS:

ADC North Central Unit is not an intake facility.

Standard #4-4288

REVISED AUGUST 2013. WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT NEW INMATES RECEIVE WRITTEN ORIENTATION MATERIALS AND/OR TRANSLATIONS IN THEIR OWN LANGUAGE. THESE MATERIALS MAY ALSO BE PROVIDED ELECTRONICALLY, BUT INMATES IN SEGREGATION MUST BE PROVIDED THE INFORMATION IN A WRITTEN FORMAT SO THAT THEIR ACCESS TO THE INFORMATION IS NOT IMPEDED BY THEIR CUSTODY STATUS. WHEN A LITERACY PROBLEM EXISTS, A STAFF MEMBER ASSISTS THE INMATE IN UNDERSTANDING THE MATERIAL. COMPLETION OF ORIENTATION IS DOCUMENTED BY A STATEMENT SIGNED AND DATED BY THE INMATE.

FINDINGS:

ADC North Central Unit is not an intake facility.

Standard #4-4307

IF YOUTHFUL OFFENDERS ARE HOUSED IN THE FACILITY, WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT THEY ARE HOUSED IN A SPECIALIZED UNIT FOR YOUTHFUL OFFENDERS EXCEPT WHEN:

- A VIOLENT, PREDATORY YOUTHFUL OFFENDER POSES AN UNDUE RISK OF HARM TO OTHERS WITHIN THE SPECIALIZED UNIT
- A QUALIFIED MEDICAL OR MENTAL-HEALTH SPECIALIST DOCUMENTS THAT THE YOUTHFUL OFFENDER WOULD BENEFIT FROM PLACEMENT OUTSIDE THE UNIT.

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE FOR THE PREPARATION OF A WRITTEN STATEMENT OF THE SPECIFIC REASONS FOR HOUSING A YOUTHFUL OFFENDER OUTSIDE THE SPECIALIZED UNIT AND A CASE-MANAGEMENT PLAN SPECIFYING WHAT BEHAVIORS NEED TO BE MODIFIED AND HOW THE YOUTHFUL OFFENDER MAY RETURN TO THE UNIT. THE STATEMENT OF REASONS AND CASE-MANAGEMENT PLAN MUST BE APPROVED BY THE WARDEN OR HIS OR HER DESIGNEE. CASES ARE REVIEWED AT LEAST QUARTERLY BY THE CASE MANAGER, THE WARDEN OR HIS OR HER DESIGNEE, AND THE YOUTHFUL OFFENDER TO DETERMINE WHETHER A YOUTHFUL OFFENDER SHOULD BE RETURNED TO THE SPECIALIZED UNIT.

FINDINGS:

AR north central unit only houses inmates convicted as adults under the age of 16 in accordance with AR code 9-27-510.

Reference Arkansas code, title nine-family law, subtitle three-minors, chapter 27-juveniles courts and proceedings, subchapter five-extended juvenile jurisdiction. At code 9-27-510 department of correction-placement (a) (1) a juvenile who has received an adult sentence to the department of correction shall not be transported to the department of correction until the juvenile is sixteen (16) years of age. (2) if a juvenile receives a sentence to the department of correction prior to the juvenile's sixteenth birthday, the juvenile shall be housed by the division of youth services of the department of human services until that date, except as provided by court order or parole decision made by the post prison transfer board.

Standard #4-4308

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE FOR THE DIRECT SUPERVISION OF YOUTHFUL OFFENDERS HOUSED IN THE SPECIALIZED UNIT TO ENSURE SAFETY AND SECURITY.

FINDINGS:

AR north central unit only houses inmates convicted as adults under the age of 16 in accordance with AR code 9-27-510.

Reference Arkansas code, title nine-family law, subtitle three-minors, chapter 27-juveniles courts and proceedings, subchapter five-extended juvenile jurisdiction. At code 9-27-510 department of correction-placement (a) (1) a juvenile who has received an adult sentence to the department of correction shall not be transported to the department of correction until the juvenile is sixteen (16) years of age. (2) if a juvenile receives a sentence to the department of correction prior to the juvenile's sixteenth birthday, the juvenile shall be housed by the division of youth services of the department of human services until that date, except as provided by court order or parole decision made by the post prison transfer board.

Standard #4-4309

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE FOR CLASSIFICATION PLANS FOR YOUTHFUL OFFENDERS THAT DETERMINE LEVEL OF RISK AND PROGRAM NEEDS DEVELOPMENTALLY APPROPRIATE FOR ADOLESCENTS. CLASSIFICATION PLANS SHALL INCLUDE CONSIDERATION OF PHYSICAL, MENTAL, SOCIAL, AND EDUCATIONAL MATURITY OF THE YOUTHFUL OFFENDER.

FINDINGS:

AR north central unit only houses inmates convicted as adults under the age of 16 in accordance with AR code 9-27-510.

Reference Arkansas code, title nine-family law, subtitle three-minors, chapter 27-juveniles courts and proceedings, subchapter five-extended juvenile jurisdiction. At code 9-27-510 department of correction-placement (a) (1) a juvenile who has received an adult sentence to the department of correction shall not be transported to the department of correction until the juvenile is sixteen (16) years of age. (2) if a juvenile receives a sentence to the department of correction prior to the juvenile's sixteenth birthday, the juvenile shall be housed by the division of youth services of the department of human services until that date, except as provided by court order or parole decision made by the post prison transfer board.

Standard #4-4310

WRITTEN POLICY, PROCEDURE, AND PRACTICE REQUIRE THAT ADEQUATE PROGRAM SPACE BE PROVIDED TO MEET THE PHYSICAL, SOCIAL, AND EMOTIONAL NEEDS OF YOUTHFUL OFFENDER AND ALLOWS FOR THEIR PERSONAL INTERACTIONS AND GROUP-ORIENTED ACTIVITIES.

FINDINGS:

AR north central unit only houses inmates convicted as adults under the age of 16 in accordance with AR code 9-27-510.

Reference Arkansas code, title nine-family law, subtitle three-minors, chapter 27-juveniles courts and proceedings, subchapter five-extended juvenile jurisdiction. At code 9-27-510 department of correction-placement (a) (1) a juvenile who has received an adult sentence to the department of correction shall not be transported to the department of correction until the juvenile is sixteen (16) years of age. (2) if a juvenile receives a sentence to the department of correction prior to the juvenile's sixteenth birthday, the juvenile shall be housed by the division of youth services of the department of human services until that date, except as provided by court order or parole decision made by the post prison transfer board.

Standard #4-4311

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT YOUTHFUL OFFENDERS IN THE SPECIALIZED UNIT FOR YOUTHFUL OFFENDERS HAVE NO MORE THAN INCIDENTAL SIGHT OR SOUND CONTACT WITH ADULT OFFENDERS FROM OUTSIDE THE UNIT IN LIVING, PROGRAM, DINING, OR OTHER COMMON AREAS OF THE FACILITY. ANY OTHER SIGHT OR SOUND CONTACT IS MINIMIZED, BRIEF, AND IN CONFORMANCE WITH APPLICABLE LEGAL REQUIREMENTS.

FINDINGS:

AR north central unit only houses inmates convicted as adults under the age of 16 in accordance with AR code 9-27-510.

Reference Arkansas code, title nine-family law, subtitle three-minors, chapter 27-juveniles courts and proceedings, subchapter five-extended juvenile jurisdiction. At code 9-27-510 department of correction-placement (a) (1) a juvenile who has received an adult sentence to the department of correction shall not be transported to the department of correction until the juvenile is sixteen (16) years of age. (2) if a juvenile receives a sentence to the department of correction prior to the juvenile's sixteenth birthday, the juvenile shall be housed by the division of youth services of the department of human services until that date, except as provided by court order or parole decision made by the post prison transfer board.

Standard #4-4312

WRITTEN POLICY, PROCEDURE, AND PRACTICE REQUIRE THAT PROGRAM PERSONNEL WHO WORK WITH YOUTHFUL OFFENDERS FROM THE SPECIALIZED UNIT BE TRAINED IN THE DEVELOPMENTAL, SAFETY, AND OTHER SPECIFIC NEEDS OF YOUTHFUL OFFENDERS. WRITTEN JOB DESCRIPTIONS AND QUALIFICATIONS REQUIRE TRAINING FOR STAFF SPECIFICALLY ASSIGNED TO THE UNIT OR STAFF WHO ARE RESPONSIBLE FOR PROGRAMMING OF YOUTHFUL OFFENDERS IN THE SPECIALIZED UNIT BEFORE BEING ASSIGNED TO WORK WITH YOUTHFUL OFFENDERS. THE TRAINING SHOULD INCLUDE BUT NOT BE LIMITED TO THE FOLLOWING AREAS:

- ADOLESCENT DEVELOPMENT
- EDUCATIONAL PROGRAMMING
- CULTURAL AWARENESS
- CRISIS PREVENTION AND INTERVENTION
- LEGAL ISSUES
- HOUSING AND PHYSICAL PLANT
- POLICIES AND PROCEDURES
- THE MANAGEMENT OF, AND PROGRAMMING FOR, SEX OFFENDERS
- SUBSTANCE-ABUSE SERVICES
- COGNITIVE-BEHAVIORAL INTERVENTIONS, INCLUDING ANGER MANAGEMENT, SOCIAL SKILLS TRAINING, PROBLEM SOLVING, AND RESISTING PEER PRESSURE
- SUICIDE PREVENTION
- NUTRITION
- MENTAL-HEALTH ISSUES
- GENDER-SPECIFIC ISSUES
- CASE-MANAGEMENT PLANNING AND IMPLEMENTATION

FINDINGS:

AR north central unit only houses inmates convicted as adults under the age of 16 in accordance with AR code 9-27-510.

Reference Arkansas code, title nine-family law, subtitle three-minors, chapter 27-juveniles courts and proceedings, subchapter five-extended juvenile jurisdiction. At code 9-27-510 department of correction-placement (a) (1) a juvenile who has received an adult sentence to the department of correction shall not be transported to the department of correction until the juvenile is sixteen (16) years of age. (2) if a juvenile receives a sentence to the department of correction prior to the juvenile's sixteenth birthday, the juvenile shall be housed by the division of youth services of the department of human services until that date, except as provided by court order or parole decision made by the post prison transfer board.

Standard #4-4353-1

ADDED JANUARY 2003. WHERE NURSING INFANTS ARE ALLOWED TO REMAIN WITH THEIR MOTHERS, PROVISIONS ARE MADE FOR A NURSERY, STAFFED BY QUALIFIED PERSONS, WHERE THE INFANTS ARE PLACED WHEN THEY ARE NOT IN THE CARE OF THEIR MOTHERS.

FINDINGS:

ADC North Central Unit does not house female inmates.

Standard #4-4363-1

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE FOR EARLY IDENTIFICATION AND TREATMENT OF OFFENDERS WITH ALCOHOL AND DRUG ABUSE PROBLEMS THROUGH A STANDARDIZED BATTERY ASSESSMENT. THE BATTERY SHALL BE DOCUMENTED AND INCLUDE AT A MINIMUM THE FOLLOWING:

- SCREENING AND SORTING
- CLINICAL ASSESSMENT AND REASSESSMENT
- MEDICAL ASSESSMENT FOR APPROPRIATE DRUG AND ALCOHOL PROGRAM ASSIGNMENT TO THE NEEDS OF THE INDIVIDUAL INMATES
- REFERRALS

FINDINGS:

Alcohol and drug abuse assessment is completed at the intake facility. ADC North Central Unit only provides self-study programs.

Standard #4-4364

ALL IN-TRANSIT OFFENDERS RECEIVE HEALTH SCREENINGS HEALTH-TRAINED OR QUALIFIED HEALTH CARE PERSONNEL UPON ENTRY INTO THE AGENCY SYSTEM. FINDINGS ARE RECORDED ON A SCREENING FORM THAT WILL ACCOMPANY THE OFFENDER TO ALL SUBSEQUENT FACILITIES UNTIL THE OFFENDER REACHES HIS OR HER FINAL DESTINATION. HEALTH SCREENS WILL BE REVIEWED AT EACH FACILITY BY THE HEALTH-TRAINED OR QUALIFIED HEALTH CARE PERSONNEL. PROCEDURES WILL BE IN PLACE FOR CONTINUITY OF CARE.

FINDINGS:

ADC North Central Unit does not receive or house in-transit inmates.

Standard #4-4374

OFFENDERS WITH SEVERE MENTAL ILLNESS OR WHO ARE SEVERELY DEVELOPMENTALLY DISABLED RECEIVE A MENTAL HEALTH EVALUATION AND, WHERE APPROPRIATE, ARE REFERRED FOR PLACEMENT IN NONCORRECTIONAL FACILITIES OR IN UNITS SPECIALLY DESIGNATED FOR HANDLING THIS TYPE OF INDIVIDUAL.

FINDINGS:

ADC North Central Unit does not house inmates with severe mental illness or who are severely developmentally disabled.

Standard #4-4383

REVISED JANUARY 2006. WHEN INSTITUTIONS DO NOT HAVE QUALIFIED HEALTH CARE STAFF, HEALTH TRAINED PERSONNEL COORDINATE THE HEALTH DELIVERY SERVICES IN THE INSTITUTION UNDER THE JOINT SUPERVISION OF THE RESPONSIBLE HEALTH AUTHORITY AND WARDEN OR SUPERINTENDENT.

FINDINGS:

ADC North Central Unit has qualified health care staff on-site 24 hours a day, seven days a week.

Standard #4-4391

IF VOLUNTEERS ARE USED IN THE DELIVERY OF HEALTH CARE THERE IS A DOCUMENTED SYSTEM FOR SELECTION, TRAINING, STAFF SUPERVISION, FACILITY ORIENTATION, AND A DEFINITION OF TASKS, RESPONSIBILITIES, AND AUTHORITY THAT IS APPROVED BY THE HEALTH AUTHORITY. VOLUNTEERS MAY ONLY PERFORM DUTIES CONSISTENT WITH THEIR CREDENTIALS AND TRAINING. VOLUNTEERS AGREE IN WRITING TO ABIDE BY ALL FACILITY POLICIES, INCLUDING THOSE RELATING TO THE SECURITY AND CONFIDENTIALITY OF INFORMATION.

FINDINGS:

ADC North Central Unit does not allow for the use of volunteers in the delivery of health care services.

Standard #4-4392

ANY STUDENTS, INTERNS, OR RESIDENTS DELIVERING HEALTH CARE IN THE FACILITY, AS PART OF A FORMAL TRAINING PROGRAM, WORK UNDER STAFF SUPERVISION, COMMENSURATE WITH THEIR LEVEL OF TRAINING. THERE IS A WRITTEN AGREEMENT BETWEEN THE FACILITY AND TRAINING, OR EDUCATIONAL FACILITY THAT COVERS THE SCOPE OF WORK, LENGTH OF AGREEMENT, AND ANY LEGAL OR LIABILITY ISSUES. STUDENTS OR INTERNS AGREE IN WRITING TO ABIDE BY ALL FACILITY POLICIES, INCLUDING THOSE RELATING TO THE SECURITY AND CONFIDENTIALITY OF INFORMATION.

FINDINGS:

ADC North Central Unit does not utilize students, interns, or residents in the delivery of health care services.

Standard #4-4393

REVISED AUGUST 2008. UNLESS PROHIBITED BY STATE LAW, OFFENDERS (UNDER STAFF SUPERVISION) MAY PERFORM FAMILIAL DUTIES COMMENSURATE WITH THEIR LEVEL OF TRAINING. THESE DUTIES MAY INCLUDE:

- PEER SUPPORT AND EDUCATION
- HOSPICE ACTIVITIES
- ASSISTING IMPAIRED OFFENDERS ON A ONE-ON-ONE BASIS WITH ACTIVITIES OF DAILY LIVING
- SUICIDE COMPANION OR BUDDY IF QUALIFIED AND TRAINED THROUGH A FORMAL PROGRAM THAT IS PART OF A SUICIDE PREVENTION PLAN

- HANDLING DENTAL INSTRUMENTS FOR THE PURPOSE OF SANITIZING AND CLEANING, WHEN DIRECTLY SUPERVISED AND IN COMPLIANCE WITH APPLICABLE TOOL CONTROL POLICIES, WHILE IN A DENTAL ASSISTANTS TRAINING PROGRAM CERTIFIED BY THE STATE DEPARTMENT OF EDUCATION OR OTHER COMPARABLE APPROPRIATE AUTHORITY.

OFFENDERS ARE NOT TO BE USED FOR THE FOLLOWING DUTIES:

- PERFORMING DIRECT PATIENT CARE SERVICES
- SCHEDULING HEALTH CARE APPOINTMENTS
- DETERMINING ACCESS OF OTHER OFFENDERS TO HEALTH CARE SERVICES
- HANDLING OR HAVING ACCESS TO SURGICAL INSTRUMENTS, SYRINGES, NEEDLES, MEDICATIONS, OR HEALTH RECORDS
- OPERATING DIAGNOSTIC OR THERAPEUTIC EQUIPMENT EXCEPT UNDER DIRECT SUPERVISION (BY SPECIALLY TRAINED STAFF) IN A VOCATIONAL TRAINING PROGRAM

FINDINGS:

ADC North Central Unit does not use inmates to perform familial duties.

Standard #4-4436

WRITTEN POLICY, PROCEDURE, AND PRACTICE REQUIRE THAT COMPREHENSIVE COUNSELING AND ASSISTANCE ARE PROVIDED TO PREGNANT INMATES IN KEEPING WITH THEIR EXPRESSED DESIRES IN PLANNING FOR THEIR UNBORN CHILDREN.

FINDINGS:

ADC North Central Unit does not house female inmates.

Standard #4-4438

REVISED AUGUST 2009. WHERE A DRUG TREATMENT PROGRAM EXISTS, WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT THE ALCOHOL AND DRUG ABUSE TREATMENT PROGRAM HAS A WRITTEN TREATMENT PHILOSOPHY WITHIN THE CONTEXT OF THE TOTAL CORRECTIONS SYSTEM, AS WELL AS GOALS AND MEASURABLE OBJECTIVES.

INTERPRETATION AUGUST 2003. THE WORDS “WHERE A DRUG PROGRAM EXISTS” ARE INTERPRETED AS A THERAPEUTIC COMMUNITY.

FINDINGS:

ADC North Central Unit does not have a therapeutic community.

Standard #4-4439

WHERE A DRUG TREATMENT PROGRAM EXISTS, WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE FOR AN APPROPRIATE RANGE OF PRIMARY TREATMENT SERVICES FOR ALCOHOL AND OTHER DRUG ABUSING INMATES THAT INCLUDE, AT A MINIMUM, THE FOLLOWING:

- INMATE DIAGNOSIS
- IDENTIFIED PROBLEM AREAS
- INDIVIDUAL TREATMENT OBJECTIVES
- TREATMENT GOALS
- COUNSELING NEEDS
- DRUG EDUCATION PLAN
- RELAPSE PREVENTION AND MANAGEMENT
- CULTURALLY SENSITIVE TREATMENT OBJECTIVES, AS APPROPRIATE
- THE PROVISION OF SELF-HELP GROUPS AS AN ADJUNCT TO TREATMENT
- PRERELEASE AND TRANSITIONAL SERVICE NEEDS
- COORDINATION EFFORTS WITH COMMUNITY SUPERVISION AND TREATMENT STAFF DURING THE PRERELEASE PHASE TO ENSURE A CONTINUUM OF SUPERVISION AND TREATMENT

INTERPRETATION AUGUST 2003. THE WORDS “WHERE A DRUG PROGRAM EXISTS,” ARE INTERPRETED AS A THERAPEUTIC COMMUNITY.

FINDINGS:

ADC North Central Unit does not have a therapeutic community.

Standard #4-4440

WHERE A DRUG AND ALCOHOL TREATMENT PROGRAM EXISTS, WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT THE FACILITY USES A COORDINATED STAFF APPROACH TO DELIVER TREATMENT SERVICES. THIS APPROACH TO SERVICE DELIVERY SHALL BE DOCUMENTED IN TREATMENT PLANNING CONFERENCES AND INDIVIDUAL TREATMENT FILES.

INTERPRETATION AUGUST 2003. THE WORDS “WHERE A DRUG PROGRAM EXISTS,” ARE INTERPRETED AS A THERAPEUTIC COMMUNITY.

FINDINGS:

ADC North Central Unit does not have a therapeutic community.

Standard #4-4441

WHERE A DRUG AND ALCOHOL TREATMENT PROGRAM EXISTS, WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE INCENTIVES FOR TARGETED TREATMENT PROGRAMS TO INCREASE AND MAINTAIN THE INMATE'S MOTIVATION FOR TREATMENT.

INTERPRETATION AUGUST 2003. THE WORDS "WHERE A DRUG PROGRAM EXISTS" ARE INTERPRETED AS A THERAPEUTIC COMMUNITY.

FINDINGS:

ADC North Central Unit does not have a therapeutic community.

Standard #4-4447-1

ADDED JANUARY 2006. WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT DESIGNATED STAFF ARE RESPONSIBLE FOR COORDINATION OF VICTIM'S PROGRAMS AND THAT CURRICULUM IS ESTABLISHED FOR PROVIDING TRAINING TO STAFF INVOLVED WITH VICTIM'S ISSUES. THIS CURRICULUM INCLUDES THE FOLLOWING TOPICS:

- SPECIFIC SERVICES AVAILABLE TO CRIME VICTIMS
- CHANGES IN LAWS IMPACTING VICTIMS
- WAY(S) OF GAINING ACCESS TO THE SERVICES
- CONFIDENTIALITY OF VICTIM INFORMATION
- WAYS FOR VICTIMS TO COMMUNICATE COMPLAINTS AND OTHER CONCERNS
- PROGRAM EVALUATION MEASURES, WHICH INCLUDE VICTIM INPUT REGARDING THE EFFECTIVENESS OF SERVICES AND WAYS FOR THEM TO MAKE SUGGESTIONS REGARDING AGENCY POLICIES AND PRACTICES INTENDED TO ASSIST CRIME VICTIMS.

FINDINGS:

These functions are not within the jurisdiction of the Arkansas Department of Correction. Victim's programs and services are provided by the Arkansas Community Corrections Division.

Standard #4-4456

WHERE AN INDUSTRIES PROGRAM EXISTS, THERE WILL BE A STATUTE AND/OR WRITTEN POLICY AND PROCEDURE THAT AUTHORIZES THE ESTABLISHMENT OF AN INDUSTRIES PROGRAM AND DELINEATES THE AREAS OF AUTHORITY, RESPONSIBILITY, AND ACCOUNTABILITY FOR THE PROGRAM.

INTERPRETATION JANUARY 2002. THIS STANDARD IS APPLICABLE TO ALL FACILITIES. FOR RECEPTION AND DIAGNOSTIC CENTERS, THE STANDARD ONLY APPLIES AS FOLLOWS:

1. TO RECEPTION AND DIAGNOSTIC CENTERS WITH AN AVERAGE INMATE LENGTH OF STAY OF 90 DAYS OR LONGER.
2. TO RECEPTION AND DIAGNOSTIC CENTERS WITH A CADRE OF INMATES WHO ARE EXPECTED TO SERVE MORE THAN 90 DAYS OF CONFINEMENT WITHIN THE FACILITY OR FOR THOSE SENTENCED INMATES AWAITING TRANSFER TO ANOTHER FACILITY WHOSE STAY EXCEEDS 90 DAYS.

FINDINGS:

ADC North Central Unit does not have an industries program.

Standard #4-4457

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT THE SECURITY AND PROGRAM DETERMINATIONS NECESSARY FOR ANY INDIVIDUAL TO BE ELIGIBLE FOR INDUSTRIES WORK ARE MADE BY THE CLASSIFICATION COMMITTEE.

INTERPRETATION JANUARY 2002. THIS STANDARD IS APPLICABLE TO ALL FACILITIES. FOR RECEPTION AND DIAGNOSTIC CENTERS, THE STANDARD ONLY APPLIES AS FOLLOWS:

1. TO RECEPTION AND DIAGNOSTIC CENTERS WITH AN AVERAGE INMATE LENGTH OF STAY OF 90 DAYS OR LONGER.
2. TO RECEPTION AND DIAGNOSTIC CENTERS WITH A CADRE OF INMATES WHO ARE EXPECTED TO SERVE MORE THAN 90 DAYS OF CONFINEMENT WITHIN THE FACILITY OR FOR THOSE SENTENCED INMATES AWAITING TRANSFER TO ANOTHER FACILITY WHOSE STAY EXCEEDS 90 DAYS.

FINDINGS:

ADC North Central Unit does not have an industries program.

Standard #4-4458

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT THE NUMBER OF INMATES ASSIGNED TO INDUSTRIES OPERATIONS MEET THE REALISTIC WORKLOAD NEEDS OF EACH INDUSTRIES OPERATING UNIT.

INTERPRETATION JANUARY 2002. THIS STANDARD IS APPLICABLE TO ALL FACILITIES. FOR RECEPTION AND DIAGNOSTIC CENTERS, THE STANDARD ONLY APPLIES AS FOLLOWS:

1. TO RECEPTION AND DIAGNOSTIC CENTERS WITH AN AVERAGE INMATE LENGTH OF STAY OF 90 DAYS OR LONGER.
2. TO RECEPTION AND DIAGNOSTIC CENTERS WITH A CADRE OF INMATES WHO ARE EXPECTED TO SERVE MORE THAN 90 DAYS OF CONFINEMENT WITHIN THE FACILITY OR FOR THOSE SENTENCED INMATES AWAITING TRANSFER TO ANOTHER FACILITY WHOSE STAY EXCEEDS 90 DAYS.

FINDINGS:

ADC North Central Unit does not have an industries program.

Standard #4-4459

EACH INDUSTRIES OPERATING UNIT HAS A WRITTEN QUALITY CONTROL PROCEDURE THAT PROVIDES FOR RAW MATERIAL, IN PROCESS, AND FINAL PRODUCT INSPECTION.

INTERPRETATION JANUARY 2002. THIS STANDARD IS APPLICABLE TO ALL FACILITIES. FOR RECEPTION AND DIAGNOSTIC CENTERS, THE STANDARD ONLY APPLIES AS FOLLOWS:

1. TO RECEPTION AND DIAGNOSTIC CENTERS WITH AN AVERAGE INMATE LENGTH OF STAY OF 90 DAYS OR LONGER.
2. TO RECEPTION AND DIAGNOSTIC CENTERS WITH A CADRE OF INMATES WHO ARE EXPECTED TO SERVE MORE THAN 90 DAYS OF CONFINEMENT WITHIN THE FACILITY OR FOR THOSE SENTENCED INMATES AWAITING TRANSFER TO ANOTHER FACILITY WHOSE STAY EXCEEDS 90 DAYS.

FINDINGS:

ADC North Central Unit does not have an industries program.

Standard #4-4460

A COST ACCOUNTING SYSTEM FOR EACH OPERATING INDUSTRIES UNIT IS DESIGNED, IMPLEMENTED, AND MAINTAINED IN ACCORDANCE WITH GENERALLY ACCEPTED ACCOUNTING PRINCIPLES.

INTERPRETATION JANUARY 2002. THIS STANDARD IS APPLICABLE TO ALL FACILITIES. FOR RECEPTION AND DIAGNOSTIC CENTERS, THE STANDARD ONLY APPLIES AS FOLLOWS:

1. TO RECEPTION AND DIAGNOSTIC CENTERS WITH AN AVERAGE INMATE LENGTH OF STAY OF 90 DAYS OR LONGER.
2. TO RECEPTION AND DIAGNOSTIC CENTERS WITH A CADRE OF INMATES WHO ARE EXPECTED TO SERVE MORE THAN 90 DAYS OF CONFINEMENT WITHIN THE FACILITY OR FOR THOSE SENTENCED INMATES AWAITING TRANSFER TO ANOTHER FACILITY WHOSE STAY EXCEEDS 90 DAYS.

FINDINGS:

ADC North Central Unit does not have an industries program.

Standard #4-4462

PRIVATE INDUSTRIES ON THE INSTITUTION GROUNDS EMPLOYING INMATES IN POSITIONS NORMALLY FILLED BY PRIVATE CITIZENS PAY INMATES THE PREVAILING WAGE RATE FOR THE POSITION OCCUPIED.

INTERPRETATION JANUARY 2002. THIS STANDARD IS APPLICABLE TO ALL FACILITIES. FOR RECEPTION AND DIAGNOSTIC CENTERS, THE STANDARD ONLY APPLIES AS FOLLOWS:

1. TO RECEPTION AND DIAGNOSTIC CENTERS WITH AN AVERAGE INMATE LENGTH OF STAY OF 90 DAYS OR LONGER.
2. TO RECEPTION AND DIAGNOSTIC CENTERS WITH A CADRE OF INMATES WHO ARE EXPECTED TO SERVE MORE THAN 90 DAYS OF CONFINEMENT WITHIN THE FACILITY OR FOR THOSE SENTENCED INMATES AWAITING TRANSFER TO ANOTHER FACILITY WHOSE STAY EXCEEDS 90 DAYS.

FINDINGS:

ADC North Central Unit does not have private industries on grounds employing inmates.

Standard #4-4463

WRITTEN POLICY, PROCEDURE, AND PRACTICE PROVIDE THAT INMATES EMPLOYED IN THE COMMUNITY BY PUBLIC OR PRIVATE ORGANIZATIONS IN POSITIONS NORMALLY OCCUPIED BY PRIVATE CITIZENS ARE COMPENSATED AT THE PREVAILING WAGE RATE FOR THE POSITION OCCUPIED. INMATES RECEIVING SUCH COMPENSATION REIMBURSE THE JURISDICTION FOR A REASONABLE SHARE OF ITS COST IN MAINTAINING THEM.

INTERPRETATION JANUARY 2002. THIS STANDARD IS APPLICABLE TO ALL FACILITIES. FOR RECEPTION AND DIAGNOSTIC CENTERS, THE STANDARD ONLY APPLIES AS FOLLOWS:

1. TO RECEPTION AND DIAGNOSTIC CENTERS WITH AN AVERAGE INMATE LENGTH OF STAY OF 90 DAYS OR LONGER.
2. TO RECEPTION AND DIAGNOSTIC CENTERS WITH A CADRE OF INMATES WHO ARE EXPECTED TO SERVE MORE THAN 90 DAYS OF CONFINEMENT WITHIN THE FACILITY OR FOR THOSE SENTENCED INMATES AWAITING TRANSFER TO ANOTHER FACILITY WHOSE STAY EXCEEDS 90 DAYS.

FINDINGS:

ADC North Central Unit policy does not allow for inmate employment in the community.

Significant Incident Summary

This summary is required to be provided to the chair of your audit team upon their arrival. The information contained on this form will also be summarized in the narrative portion of the visiting committee report and will be incorporated into the final report. It should contain data for the last 12 months; indicate those months in the boxes provided. Please type the data. If you have questions on how to complete the form, please contact your regional manager.

Facility: ADC North Central Unit

Year: 2016

Incidents		Months											
		Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec
Assault: Offenders/ Offenders*	Indicate types (sexual**, physical, etc.)	Phy	Phy	Phy	Phy	Phy	Phy	Phy	Phy	Phy	Phy	Phy	Phy
	# With Weapon	0	0	0	0	0	0	0	0	0	0	0	0
	# Without Weapon	7	5	4	2	6	5	6	6	3	5	5	1
Assault: Offender/ Staff	Indicate types (sexual**, physical, etc.)	Phy	Phy	Phy	Phy	Phy	Phy	Phy	Phy	Phy	Phy	Phy	Phy
	# With Weapon	0	0	0	0	0	0	0	0	0	0	0	0
	# Without Weapon	0	2	2	1	4	3	6	1	2	1	2	1
Number of Forced Moves Used***	(Cell extraction or other forced relocation of offenders)	2	0	0	0	0	1	0	0	0	0	0	0
Disturbances****		0	0	0	0	0	0	0	0	0	0	0	0
Number of Times Chemical Agents Used		1	1	1	0	1	1	2	0	1	1	0	0
Number of Times Special Reaction Team Used		0	0	2	0	3	0	0	0	0	0	0	0
Four/Five Point Restraints	Number	0	0	0	0	0	0	2	0	0	0	0	0
	Indicate type (chair, bed, board, etc.)	0	0	0	0	0	0	Chair	0	0	0	0	0
Offender Medical Referrals as a Result of Injuries Sustained	#'s should reflect incidents on this form, not rec or other source	0	1	2	1	1	0	0	1	0	0	0	0
Escapes	# Attempted	0	0	0	0	0	0	0	0	0	0	0	0
	# Actual	0	0	0	0	0	0	0	0	0	0	0	0
Substantiated Grievances (resolved in favor of offender)	Reason (medical, food, religious, etc.)		48 hrs Property 2-Med Staff	Legal 2-Staff Religion	Ad/Seg Med	Job/Med Ad/Seg Funds Living	Clothes Staff Religion		DCR Med Staff	Med Food	Mail 3-Med Other	Enemy TV 3-Med	Living Med
	Number	0	5	4	2	5	3	0	3	2	5	5	2
Deaths	Reason (violent, illness, suicide, natural)	--	illness	illness	--	--	--	--	--	--	--	--	--
	Number	0	1	1	0	0	0	0	0	0	0	0	0

*Any physical contact that involves two or more offenders

**Oral, anal or vaginal copulation involving at least two parties

***Routine transportation of offenders is not considered "forced"

****Any incident that involves four or more offenders. Includes gang fights, organized multiple hunger strikes, work stoppages, hostage situations, major fires, or other large scale incidents



Name of Facility: ADC North Central Unit

Dates: Jan. – Dec. 2016

Number of Months of Data Collected: 12

Health Care Outcomes				
Standard	Outcome Measure	Numerator/Denominator	Value	Calculated O.M.
1A	(1)	Number of offenders diagnosed with a MRSA infection within the past twelve (12) months.	1	
	divided by	The average daily population.	829	0.001%
	(2)	Number of offenders diagnosed with active tuberculosis in the past twelve (12) months.	0	
	divided by	Average daily population.	829	0%
	(3)	Number of offenders who are new converters on a TB test that indicates newly acquired TB infection in the past twelve (12) months.	1	
	divided by	Number of offenders administered tests for TB infection in the past twelve (12) months as part of periodic or clinically-based testing, but not intake screening.	781	0.001%
	(4)	Number of offenders who completed treatment for latent tuberculosis infection in the past twelve (12) months.	8	
	divided by	Number of offenders treated for latent tuberculosis infection in the past twelve (12) months.	8	1.00%
	(5)	Number of offenders diagnosed with Hepatitis C viral infection at a given point in time.	124	
	divided by	Total offender population at that time.	829	0.15%
	(6)	Number of offenders diagnosed with HIV infection at a given point in time.	4	
	divided by	Total offender population at that time.	829	0.005%
	(7)	Number of offenders with HIV infection who are being treated with highly active antiretroviral treatment (HAART) at a given point in time.	4	
	divided by	Total number of offenders diagnosed with HIV infection at that time.	4	1.00%

	(8)	Number of selected offenders with HIV infection at a given point in time who have been on antiretroviral therapy for at least six months with a viral load of less than 50 cps/ml.	4	
	divided by	Total number of treated offenders with HIV infection that were reviewed.	4	1.00%
	(9)	Number of offenders diagnosed with an Axis I disorder (excluding sole diagnosis of substance abuse) at a given point in time.	217	
	divided by	Total offender population at that time.	829	0.261%
	(10)	Number of offender admissions to off-site hospitals in the past twelve (12) months.	10	
	divided by	Average daily population.	829	0.012%
	(11)	Number of offenders transported off-site for treatment of emergency health conditions in the past twelve (12) months.	39	
	divided by	Average daily population in the past twelve (12) months.	829	0.047%
	(12)	Number of offender specialty consults completed during the past twelve (12) months.	765	
	divided by	Number of specialty consults (on-site or off-site) ordered by primary health care practitioners in the past twelve (12) months.	765	1.00%
	(13)	Number of selected hypertensive offenders at a given point in time with a B/P reading > 140 mmHg/ >90 mm Hg .	2	
	divided by	Total number of offenders with hypertension who were reviewed.	26	0.08%
	(14)	Number of selected diabetic offenders at a given point in time who are under treatment for at least six months with a hemoglobin A1C level measuring greater than 9 percent.	9	
	divided by	Total number of diabetic offenders who were reviewed.	49	0.184%
	(15)	The number of completed dental treatment plans within the past twelve (12) months.	792	
	divided by	The average daily population during the reporting period.	829	0.955%
2A	(1)	Number of health care <i>staff</i> with lapsed licensure or certification during a twelve (12) month period.	0	
	divided by	Number of licensed or certified staff during a twelve (12) month period.	29	0.00%

	(2)	Number of new health care staff during a twelve (12) month period that completed orientation training prior to undertaking their job.	5	
	divided by	Number of new health care staff during the twelve (12) month period.	6	0.833%
	(3)	Number of occupational exposures to blood or other potentially infectious materials in the past twelve (12) months.	0	
	divided by	Number of employees.	29	0.00%
	(4)	Number of direct care staff (employees and contractors) with a conversion of a TB test that indicates newly acquired TB infection in the past twelve (12) months.	0	
	divided by	Number of direct care staff tested for TB infection in the past twelve (12) months during periodic or clinically indicated evaluations.	239	0.00%
3A	(1)	Number of offender grievances related to health care services found in favor of the offender in the past twelve (12) months.	10	
	divided by	Number of evaluated offender grievances related to health care services in the past twelve (12) months.	125	0.08%
	(2)	Number of offender grievances related to safety or sanitation sustained during a twelve (12) month period.	0	
	divided by	Number of evaluated offender grievances related to safety or sanitation during a twelve (12) month period.	0	0.00%
	(3)	Number of adjudicated offender lawsuits related to the delivery of health care found in favor of the offender in the past twelve (12) months.	0	
	divided by	Number of offender adjudicated lawsuits related to healthcare delivery in the past twelve (12) months.	4	0.00%
4A	(1)	Number of problems identified by quality assurance program that were corrected during a twelve (12) month period.	6	
	divided by	Number of problems identified by quality assurance program during a twelve (12) month period.	14	0.43%
	(2)	Number of high-risk events or adverse outcomes identified by the quality assurance program during a twelve (12) month period.	0	

	(3)	Number of offender suicide attempts in the past twelve (12) months.	1	
	divided by	Average daily population.	829	0.001%
	(4)	Number of offender suicides in the past twelve (12) months.	0	
	divided by	Average daily population.	829	0.00%
	(5)	Number of unexpected natural deaths in the past twelve (12) months.	0	
	divided by	Total number of deaths in the same reporting period.	2	0.00%
	(6)	Number of serious medication errors in the past twelve (12) months.	0	
5A	None			
6A	None			
7A	None			
7B	None			
7C	None			

